

# Solution 11 - Subtyping

\* If there is any problem, please contact TA.

Name:..... Student ID:..... Email: .....

**Problem 1.** (40 points) Remember in hw5, we extent tuples to records. Now we extend subtypes to records. Please give some subtyping rules for record type, then draw a derivation showing that  $\{x : Nat, y : Nat, z : Nat\}$  is a subtype of  $\{y : Nat\}$ .

*Solution.*

$$\begin{aligned} e &::= \dots | \{x_1 = e_1, \dots, x_n = e_n\} | e.x \\ v &::= \dots | \{x_1 = v_1, \dots, x_n = v_n\} \\ t &::= \dots | \{(x_1, t_1), \dots, (x_n, t_n)\} \end{aligned}$$

$$\frac{e_i \rightarrow e'_i}{\{x_1 = v_1, \dots, x_{i-1} = v_{i-1}, x_i = e_i, \dots\} \rightarrow \{x_1 = v_1, \dots, x_{i-1} = v_{i-1}, x_i = e'_i, \dots\}} \quad (E - Record1)$$

$$\frac{e \rightarrow e'}{e.x \rightarrow e'.x} \quad (E - Label1)$$

$$\frac{\{x_1 = v_1, \dots, x_n = v_n\}.x_i = v_i}{\Gamma \vdash e_i : t_i} \quad (E - Label2)$$

$$\frac{\Gamma \vdash \{x_1 = e_1, \dots, x_n = e_n\} : \{(x_1, t_1), \dots, (x_n, t_n)\}}{\Gamma \vdash e : \{(x_1, t_1), \dots, (x_n, t_n)\}} \quad (T - Record)$$

$$\frac{\Gamma \vdash e.x_i : t_i}{\Gamma \vdash e : \{(x_1, t_1), \dots, (x_n, t_n)\}} \quad (T - Label)$$

$$\frac{n \leq m}{\{l_i : T_i^{i \in 1 \dots m}\} \leq \{l_i : T_i^{1 \dots n}\}} \quad (S - RecordWidth)$$

$$\frac{\forall 0 \leq i \leq n : S_i \leq T_i}{\{l_i : S_i^{i \in 1 \dots n}\} \leq \{l_i : T_i^{1 \dots n}\}} \quad (S - RecordDep)$$

$$\frac{0 \leq i \leq j \leq n}{\{l_0 : S_0, \dots, l_i : S_i, \dots, l_j : S_j, \dots, l_n : S_n\} \leq \{l_0 : S_0, \dots, l_j : S_j, \dots, l_i : S_i, \dots, l_n : S_n\}} \quad (S - Exchange)$$

$$\frac{\{x:Nat,y:Nat,z:Nat\} \leq \{y:Nat,x:Nat,z:Nat\} (S - Exchange) \quad \{y:Nat,x:Nat,z:Nat\} \leq \{y:Nat\} (S - RecordWidth)}{\{x : Nat, y : Nat, z : Nat\} \leq \{y; Nat\}}$$

□

**Problem 2.** (60 points) Prove Lemma [Inversion of the subtype relation]:

1. If  $S \leq T_1 \rightarrow T_2$ , then  $S$  has the form  $S_1 \rightarrow S_2$ , with  $T_1 \leq S_1$  and  $S_2 \leq T_2$ .
2. If  $S \leq \{l_i : T_i^{i \in 1 \dots n}\}$ , then  $S$  has the form  $\{k_j : S_j^{j \in 1 \dots m}\}$ , with at least the labels  $\{l_i^{i \in 1 \dots n}\}$  (i.e.,  $\{l_i^{i \in 1 \dots n}\} \subseteq \{k_j^{j \in 1 \dots m}\}$ ) and with  $S_j \leq T_i$  for each common label  $l_i = k_j$ .

*Solution.*

1. Prove by the derivation of  $S \leq T_1 \rightarrow T_2$

- (1.) Case  $\frac{T_1 \leq S_1 \quad S_2 \leq T_2}{S_1 \rightarrow S_2 \leq T_1 \rightarrow T_2}$  (S-Function)
  - (1)  $S = S_1 \rightarrow S_2$  (by assumption)
  - (2)  $T_1 \leq S_1$  (by assumption)
  - (3)  $S_2 \leq T_2$  (by assumption)
- (2.) Case  $\overline{S \leq S}$  (S-Reflexivity)
  - (1)  $S = T_1 \rightarrow T_2$  (by assumption)
  - (2)  $T_1 \leq T_1$  (by S-Reflexivity)
  - (3)  $T_2 \leq T_2$  (by S-Reflexivity)
- (3.) Case  $\frac{S \leq Q \quad Q \leq T}{S \leq T}$  (S-Transitivity)
  - (1)  $T = T_1 \rightarrow T_2$  (by assumption)
  - (2)  $Q \leq T$  (by assumption)
  - (3)  $Q \leq T_1 \rightarrow T_2$  (by (1) and (2))
  - (4)  $Q = Q_1 \rightarrow Q_2$  and  $T_1 \leq Q_1$  and  $Q_2 \leq T_2$  (by (3) and I.H.)
  - (5)  $S \leq Q$  (by assumption)
  - (6)  $S \leq Q_1 \rightarrow Q_2$  (by (4) and (5))
  - (7)  $S = S_1 \rightarrow S_2$  and  $Q_1 \leq S_1$  and  $S_2 \leq Q_2$  (by (6) and I.H.)
  - (8)  $T_1 \leq Q_1 \leq S_1$  and  $S_2 \leq Q_2 \leq T_2$  (by (4), (7) and S-Transitivity)

2. Prove by the derivation of  $S \leq \{l_i : T_i^{i \in 1 \dots n}\}$

- (1.) Case  $\overline{S \leq S}$  (S-Reflexivity)
  - (1)  $S \leq \{l_i : T_i^{i \in 1 \dots n}\}$  (by assumption)
  - (2)  $\{l_i^{i \in 1 \dots n}\} \subseteq \{l_i^{i \in 1 \dots n}\}$
  - (3)  $\forall l_i = l_i : T_i \leq T_i$  (by S-Reflexivity)
- (2.) Case  $\frac{S \leq Q \quad Q \leq T}{S \leq T}$  (S-Transitivity)
  - (1)  $T = \{l_i : T_i^{i \in 1 \dots n}\}$  (by assumption)
  - (2)  $Q \leq T$  (by assumption)
  - (3)  $Q \leq \{l_i : T_i^{i \in 1 \dots n}\}$  (by (1) and (2))
  - (4)  $Q = \{w_p : Q_p^{p \in 1 \dots r}\}$  and  $\{l_i^{i \in 1 \dots n}\} \subseteq \{w_p^{p \in 1 \dots r}\}$  and  $\forall l_i = w_p : Q_p \leq T_i$  (by (3) and I.H.)
  - (5)  $S \leq Q$  (by assumption)
  - (6)  $S \leq \{w_p : Q_p^{p \in 1 \dots r}\}$  (by (4) and (5))
  - (7)  $S = \{k_j : S_j^{j \in 1 \dots m}\}$  and  $\{w_p^{p \in 1 \dots r}\} \subseteq \{k_j^{j \in 1 \dots m}\}$  and  $\forall w_p = k_j : S_j \leq Q_p$  (by (6) and I.H.)
  - (8)  $\{l_i^{i \in 1 \dots n}\} \subseteq \{w_p^{p \in 1 \dots r}\} \subseteq \{k_j^{j \in 1 \dots m}\}$  and  $\forall l_i = k_j : S_j \leq T_i$  (by (4), (7) and S-Transitivity)

- (3.) Case  $\frac{n \leq m}{\{l_i : T_i^{i \in 1 \dots m}\} \leq \{l_i : T_i^{1 \dots n}\}}$  (S-RecordWidth)
- (1)  $S = \{l_i : T_i^{i \in 1 \dots m}\}$  (by assumption)
  - (2)  $n \leq m$  (by assumption)
  - (3)  $\{l_i^{i \in 1 \dots n}\} \subseteq \{l_i^{i \in 1 \dots m}\}$  (by (2))
  - (4)  $\forall 0 \leq i \leq n : T_i \leq T_i$  (by S-Reflexivity)
- (4.) Case  $\frac{\forall 0 \leq i < n : S_i \leq T_i}{\{l_i : S_i^{i \in 1 \dots n}\} \leq \{l_i : T_i^{1 \dots n}\}}$  (S-RecordDep)
- (1)  $S = \{l_i : S_i^{i \in 1 \dots n}\}$  (by assumption)
  - (2)  $\{l_i^{i \in 1 \dots n}\} \subseteq \{l_i^{i \in 1 \dots n}\}$
  - (3)  $\forall 0 \leq i \leq n : S_i \leq T_i$  (by assumption)
  - (4)  $\forall l_i = l_i : S_i \leq T_i$  (by (3))
- (5.) Case  $\frac{0 \leq i \leq j \leq n}{\{l_0 : S_0, \dots, l_i : S_i, \dots, l_j : S_j, \dots, l_n : S_n\} \leq \{l_0 : S_0, \dots, l_j : S_j, \dots, l_i : S_i, \dots, l_n : S_n\}}$  (S-Exchange)
- (1)  $S = \{l_0 : S_0, \dots, l_i : S_i, \dots, l_j : S_j, \dots, l_n : S_n\}$  (by assumption)
  - (2)  $\{l_0, \dots, l_i, \dots, l_j, \dots, l_n\} \subseteq \{l_0, \dots, l_j, \dots, l_i, \dots, l_n\}$
  - (3)  $\forall l_i = l_i : T_i \leq T_i$  (by S-Reflexivity)

□