



# EXTENSIONS TO SIMPLY-TYPED LAMBDA CALCULUS

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# BASIC TYPES

- Practical programming needs numerical and Boolean values and types. (Of course these can be encoded in lambda calculus.)

$e ::= \dots$   
|  $v$   
|  $e_1 \text{ bop } e_2$  (binary ops:  $+$ ,  $-$ ,  $*$ ,  $/$ ,  $<$ ,  $>$ ,  $=$ ,  $<=$ ,  $>=$ , and, or)  
|  $\text{uop } e$  (unary ops:  $\sim$ , not, pred, succ)

$v ::= \backslash x.e$   
|  $\dots, -1, 0, 1, 2, \dots$  [all integers]  
| true | false

$t ::= \dots$   
| int  
| bool

- Semantics and typing rules for all the binary ops and unary ops are straight forward
- We dropped the type annotation from abstraction for brevity

# ASSOCIATIVITY AND PRECEDENCE

- A grammar can be used to define associativity and precedence among the operators in an expression.
  - E.g., + and - are left-associative operators in mathematics;
  - \* and / have higher precedence than + and - .
  - $a + b + c = (a + b) + c$ ;  $a ** b ** c = a ** (b ** c)$
- Consider the more interesting grammar  $G_1$  for arithmetic:

Expr ::=           Expr + Term  
                  | Expr - Term  
                  | Term

Term ::=           Term \* Factor  
                  | Term / Factor  
                  | Term % Factor  
                  | Factor

Factor ::=         Primary \*\* Factor  
                  | Primary

Primary ::= 0 | ... | 9 | ( Expr )

## AN AMBIGUOUS EXPRESSION GRAMMAR $G_2$

$Expr \rightarrow Expr \ Op \ Expr \mid ( Expr ) \mid Integer$

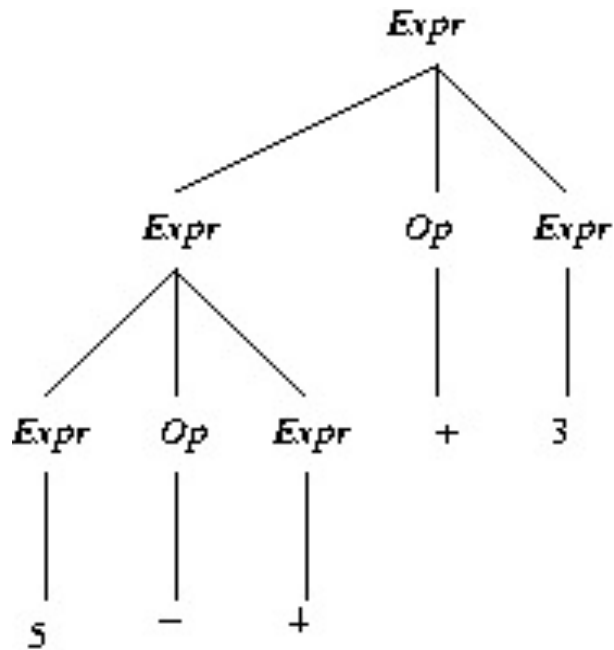
$Op \rightarrow + \mid - \mid * \mid / \mid \% \mid **$

Notes:

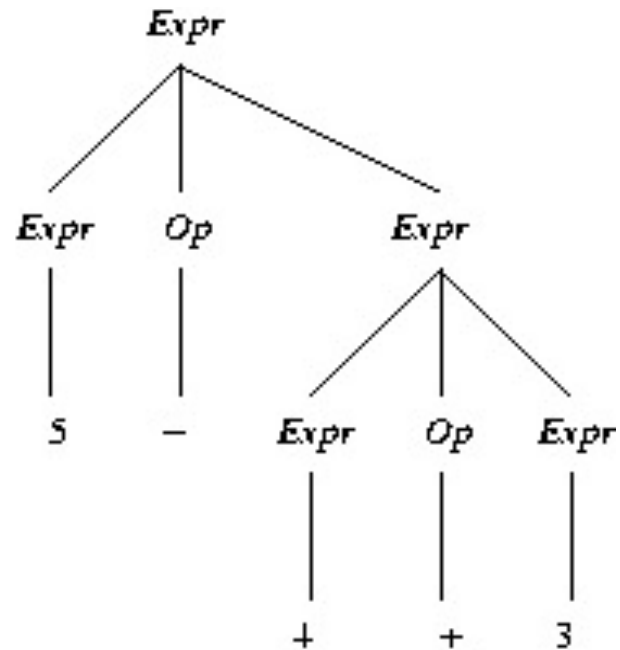
- $G_2$  is equivalent to  $G_1$ , *i.e.*, its language is the same.
- $G_2$  has fewer productions and non-terminals than  $G_1$ .
- However,  $G_2$  is ambiguous.
- Ambiguity can be resolved using the associativity and precedence table



# AMBIGUOUS PARSE OF 5-4+3 USING GRAMMAR $G_2$



(a)



(b)

# LET BINDING

- It is useful to bind intermediate results of computations to variables:

New syntax:

|                                      |                        |
|--------------------------------------|------------------------|
| <code>e ::= x</code>                 | (a variable)           |
| <code>true   false</code>            | (a boolean value)      |
| <code>if e1 then e2 else e3</code>   | (conditional)          |
| <code>\x.e</code>                    | (a nameless function)  |
| <code>e1 e2</code>                   | (function application) |
| <b><code>let x = e1 in e2</code></b> | (let expression)       |

 `x` is bound in `e2` (which is the scope of `x`)

# CALL-BY-VALUE SEMANTICS AND TYPING

$$e1 \rightarrow e1'$$

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$$\text{let } x=e1 \text{ in } e2 \rightarrow \text{let } x =e1' \text{ in } e2$$
 [e-let]

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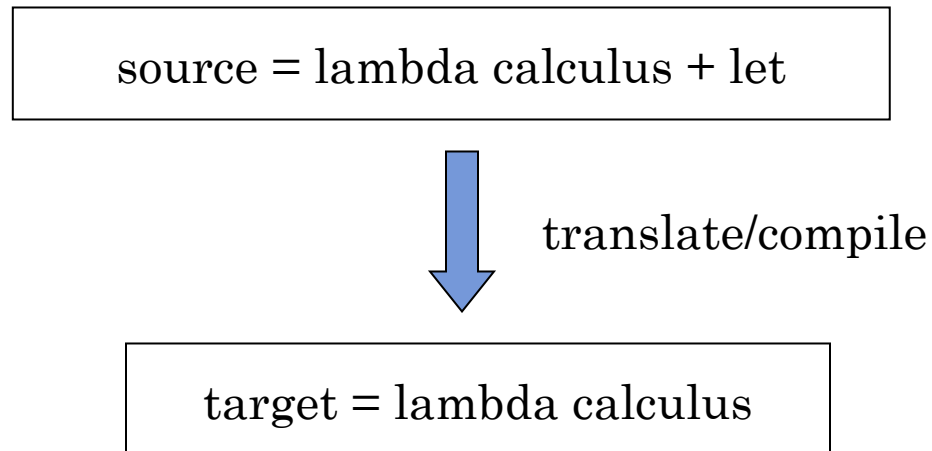
$$\text{let } x=v \text{ in } e2 \rightarrow e2 [v/x]$$
 [e-letv]
$$G \vdash e1 : t1 \quad G, x:t1 \vdash e2 : t2$$

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$$G \vdash \text{let } x=e1 \text{ in } e2 : t2$$
 [t-let]

# IMPLEMENTATION OF LET EXPRESSIONS

- Question: can we implement this idea in pure lambda calculus?





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translate (let  $x = e_1$  in  $e_2$ ) =  
 $(\lambda x.e_2) e_1$

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$\text{translate (let } x = e1 \text{ in } e2) =$   
 $(\lambda x. \text{translate } e2) (\text{translate } e1)$

# LET EXPRESSIONS

- Question: can we implement this idea in the lambda calculus?

translate (let x = e1 in e2) =

$(\lambda x. \text{translate } e2) (\text{translate } e1)$

translate (x) = x

translate  $(\lambda x.e)$  =  $\lambda x.\text{translate } e$

translate (e1 e2) = (translate e1) (translate e2)

# THE PRINCIPLE OF “BOUND VARIABLE NAMES DON’T MATTER”

When you write

```
let x = \z.z z in  
  let y = \w.w in (x y)
```

you assume you can change the declaration of  $y$  to a declaration of  $v$  (or other name) provided you systematically change the uses of  $y$ . E.g.:

```
let x = \z.z z in  
  let v = \w.w in (x v)
```

provided that the name you pick doesn’t conflict with the free variables of the expression. E.g.:

```
let x = \z.z z in  
  let x = \w.w in (x x) ← bad, original x captured
```

# STATIC VS. DYNAMIC SCOPING

- The **scope** of a name is the collection of expressions and/or statements which can access the name binding.
- In static scoping, a name is bound for a collection of statements according to its position in the source program → determined at compile time (static)
- In dynamic scoping, the valid association for a name X, at any point P of a program, is the most recent (in the temporal sense) association created for X which is still active when control flow arrives at P → determined at run time (dynamic)
- Most modern languages use static (or *lexical*) scoping.

## STATIC VS. DYNAMIC SCOPING (II)

let  $x = v1$  in

let  $y = (\text{let } x = v2 \text{ in } x)$

in  $x$

- This expression evaluates to
  - $v1$  (static scoping)
  - $v2$  (dynamic scoping)

# PAIRS

- Programming languages offer compound types.
- Simplest is *pairs*, or *2-tuples*.
- We introduce one new value  $\{v1, v2\}$
- One new product type:  $t1 * t2$ .

# PAIRS (SYNTAX)

$e ::= \dots$   
|  $\{e1, e2\}$   
|  $e.1$   
|  $e.2$

$v ::= \dots$   
|  $\{v1, v2\}$

$t ::= \dots$   
|  $t1 * t2$

expressions:  
pair  
first projection  
second projection

values:  
pair value

types:  
product type



# PAIRS (EVALUATION)

[ $e \rightarrow e'$ ]

$$\frac{}{\{v_1, v_2\}.1 \rightarrow v_1} \text{ (E - PairBeta1)}$$

$$\frac{}{\{v_1, v_2\}.2 \rightarrow v_2} \text{ (E - PairBeta2)}$$

$$\frac{e \rightarrow e'}{e.1 \rightarrow e'.1} \text{ (E - Proj1)}$$

$$\frac{e \rightarrow e'}{e.2 \rightarrow e'.2} \text{ (E - Proj2)}$$

$$\frac{e_1 \rightarrow e_1'}{\{e_1, e_2\} \rightarrow \{e_1', e_2\}} \text{ (E - Pair1)}$$

$$\frac{e_2 \rightarrow e_2'}{\{v_1, e_2\} \rightarrow \{v_1, e_2'\}} \text{ (E - Pair2)}$$

# EXAMPLE EVALUATIONS

Left to right evaluation:

- $\{\text{if } 3+2 > 0 \text{ then true else false, succ } 0\}.1$
- $\rightarrow \{\text{if } 5 > 0 \text{ then true else false, succ } 0\}.1$
- $\rightarrow \{\text{if true then true else false, succ } 0\}.1$
- $\rightarrow \{\text{true, succ } 0\}.1$
- $\rightarrow \{\text{true, 1}\}.1$
- $\rightarrow \text{true}$

Pairs must be evaluated to values before passing to functions:

- $(\backslash x:\text{int}*\text{int}. x.2) \{\text{pred } 1, 6/2\}$
- $\rightarrow (\backslash x:\text{int}*\text{int}. x.2) \{0, 6/2\}$
- $\rightarrow (\backslash x:\text{int}*\text{int}. x.2) \{0, 3\}$
- $\rightarrow \{0, 3\}.2$
- $\rightarrow 3$

# PAIRS (TYPING)

$[\Gamma \vdash e : t]$

$$\frac{\Gamma \mid - e_1 : t_1 \quad \Gamma \mid - e_2 : t_2}{\Gamma \mid - \{e_1, e_2\} : t_1 \times t_2} \quad (\text{T - Pair})$$

$$\frac{\Gamma \mid - e : t_1 \times t_2}{\Gamma \mid - e.1 : t_1} \quad (\text{T - Proj1})$$

$$\frac{\Gamma \mid - e : t_1 \times t_2}{\Gamma \mid - e.2 : t_2} \quad (\text{T - Proj2})$$

# TUPLES

- Tuples generalize from pairs: binary product  $\rightarrow$  n-ary product

$e ::= \dots$

|  $\{e_1, \dots, e_n\}$  (or  $\{e_i^{i \setminus \{1..n\}}\}$ )

|  $e.i$

expressions:

tuple

projection

$v ::= \dots$

|  $\{v_1, \dots, v_n\}$

values:

tuple value

$t ::= \dots$

|  $t_1 * \dots * t_n$  (or  $\{t_i^{i \setminus \{1..n\}}\}$ )

types:

tuple type

# TUPLE EVALUATION AND TYPING

$$\frac{}{\{v_i^{i \in 1..n}\}.j \rightarrow v_j} \quad (\text{E - ProjTuple})$$

$$\frac{e \rightarrow e'}{e.i \rightarrow e'.i} \quad (\text{E - ProjTuple1})$$

$$\frac{e_j \rightarrow e'_j}{\{v_1, \dots, v_{j-1}, e_j, \dots, e_n\} \rightarrow \{v_1, \dots, v_{j-1}, e'_j, \dots, e_n\}} \quad (\text{E - Tuple})$$

$$\frac{\text{for each } i : \Gamma \mid - e_i : t_i}{\Gamma \mid - \{e_i^{i \in 1..n}\} : \{t_i^{i \in 1..n}\}} \quad (\text{T - Tuple})$$

$$\frac{\Gamma \mid - e : \{t_i^{i \in 1..n}\}}{\Gamma \mid - e.j : t_j} \quad (\text{T - Proj})$$

- Note that order of elements in tuple is significant.
- Evaluation is from left to right.
- Projection is done after tuple becomes value.

# RECORDS

- Straightforward to extend tuples into records
- Elements are indexed by labels:
  - $\{y=10\}$
  - $\{id=1, salary=50000, active=true\}$
- The order of the record fields is often insignificant in most PL
  - $\{y=10, x=5\}$  is the same as  $\{x=5, y=10\}$
- To access fields of a record:
  - `a.id`
  - `b.salary`
- Syntax and semantic rules left as an exercise.

# SUMS

- Program needs to deal with heterogeneous collection of values – values that can take different shapes:
  - A binary tree node can be:
    - A leaf node, or
    - An interior node
  - An abstract syntax tree node of  $\lambda$ -calculus can be:
    - A variable
    - A function abstraction, or
    - An application, etc.
- *Sum* type: union of two types
- More generally, *variant* type: union of  $n$  types.

# SUM (SYNTAX)

$e ::= \dots$   
|  $\text{inl } e$   
|  $\text{inr } e$   
|  $\text{case } e \text{ of } \text{inl } x \Rightarrow e1 \mid \text{inr } x \Rightarrow e2$

$v ::= \dots$   
|  $\text{inl } v$   
|  $\text{inr } v$

$t ::= \dots$   
|  $t1 + t2$

expressions:  
injection (left)  
injection (right)  
case

values:  
injection value (left)  
injection value (right)

types:  
sum type



# SUMS (EXAMPLE)

- There are two types:
  - $\text{faculty} = \{\text{empid: int, position: string}\}$
  - $\text{student} = \{\text{stuid: int, level: int}\}$
- Define a sum type:
  - $\text{personnel} = \text{faculty} + \text{student}$
- We can “inject” element of *faculty* or *student* type into *personnel* type. Think of *inl* and *inr* as functions:
  - $\text{inl} : \text{faculty} \rightarrow \text{personnel}$
  - $\text{inr} : \text{student} \rightarrow \text{personnel}$
- To use a elements of sum type, we use the case expression:  
 $\text{getid} = \lambda p : \text{personnel} .$   
   $\text{case } p \text{ of}$   
     $\text{inl } x \Rightarrow x.\text{empid}$   
     $| \text{inr } x \Rightarrow x.\text{stuid}$

# SUMS (SEMANTICS)

$$\frac{}{\text{case (inl } v) \text{ of inl } x_1 \Rightarrow e_1 \mid \text{inr } x_2 \Rightarrow e_2 \rightarrow e_1[v/x_1]} \quad (\text{E - CaseInl})$$

$$\frac{}{\text{case (inr } v) \text{ of inl } x_1 \Rightarrow e_1 \mid \text{inr } x_2 \Rightarrow e_2 \rightarrow e_2[v/x_2]} \quad (\text{E - CaseInr})$$

$$\frac{e \rightarrow e'}{\text{case } e \text{ of inl } x_1 \Rightarrow e_1 \mid \text{inr } x_2 \Rightarrow e_2 \rightarrow \text{case } e' \text{ of inl } x_1 \Rightarrow e_1 \mid \text{inr } x_2 \Rightarrow e_2} \quad (\text{E - Case})$$

$$\frac{e \rightarrow e'}{\text{inl } e \rightarrow \text{inl } e'} \quad (\text{E - Inl})$$

$$\frac{e \rightarrow e'}{\text{inr } e \rightarrow \text{inr } e'} \quad (\text{E - Inr})$$