

## Reducibility and Degree\*

Xiaofeng Gao

Department of Computer Science and Engineering  
Shanghai Jiao Tong University, P.R.China

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## General Remark

A problem is a set of numbers.

A reduction is a way of defining a solution of a problem with the help of the solutions of another problem.

There are several inequivalent ways of reducing a problem to another problem.

The differences between different reductions consists in the manner and extent to which information about  $B$  is allowed to settle questions about  $A$ .

## Outline

- 1 Reduction and Degree
  - Many-One Reduction
  - Degrees
  - m-Complete r.e. Set
- 2 Relative Computability
- 3 Turing Reducibility

## Many-One Reduction

The set  $A$  is **many-one reducible** (m-reducible) to the set  $B$  if there is a total computable function  $f$  such that  $x \in A$  iff  $f(x) \in B$  for all  $x$ .

We shall write  $A \leq_m B$  or more explicitly  $f : A \leq_m B$ .

If  $f$  is injective, then we are talking about **one-one reducibility**.

## Examples

1.  $K$  is m-reducible to  $\{x \mid \phi_x = \mathbf{0}\}$ ,  $\{x \mid c \in W_x\}$  and  $\{x \mid \phi_x \text{ is total}\}$ .

$$f_0(x,y) = \begin{cases} 0 & \text{if } x \in W_x \\ \uparrow & \text{if } x \notin W_x \end{cases} \quad f_{\mathbb{N}}(x,y) = \begin{cases} y & \text{if } x \in W_x \\ \uparrow & \text{if } x \notin W_x \end{cases}$$

2. Rice Theorem is proved by showing that  $K \leq_m \{x \mid \phi_x \in \mathcal{B}\}$ .

$$f_g(x,y) = \begin{cases} g(y) & \text{if } x \in W_x \\ \uparrow & \text{if } x \notin W_x \end{cases} \quad \begin{matrix} x \in W_x \Rightarrow \phi_k(x) = g \in \mathcal{B} \\ x \notin W_x \Rightarrow \phi_k(x) = f_{\emptyset} \notin \mathcal{B} \end{matrix}$$

3.  $\{x \mid \phi_x \text{ is total}\} \leq_m \{x \mid \phi_x = \mathbf{0}\}$ .

$$\phi_{k(x)} = \mathbf{0} \circ \phi_x$$

## Elementary Properties

Let  $A, B, C$  be sets.

1.  $\leq_m$  is reflexive:  $A \leq_m A$ .

$f : A \leq_m A$  is the identity function.

2.  $\leq_m$  transitive:  $A \leq_m B, B \leq_m C \Rightarrow A \leq_m C$ .

Let  $f : A \leq_m B, g : B \leq_m C$ , then  $g \circ f : A \leq_m C$ .

3.  $A \leq_m B$  iff  $\bar{A} \leq_m \bar{B}$ .

If  $g : A \leq_m B$ , then  $x \in A \Leftrightarrow f(x) \in B$ ; so  $x \in \bar{A} \Leftrightarrow g(x) \in \bar{B}$ .  
Hence  $g : \bar{A} \leq_m \bar{B}$ .

## Elementary Properties (2)

4. If  $A$  is recursive and  $B \leq_m A$ , then  $B$  is recursive.

$g : B \leq_m A$ ; then  $c_B(x) = c_A(g(x))$ . So  $c_B$  is computable.

5. If  $A$  is recursive and  $B \neq \emptyset, \mathbb{N}$ , then  $A \leq_m B$ .

Let  $b \in B, c \notin B, f(x) = \begin{cases} b & \text{if } x \in A; \\ c & \text{if } x \notin A. \end{cases}$ ; then  $f$  is computable.  
 $x \in A \Leftrightarrow f(x) \in B$ .

6. If  $A$  is r.e. and  $B \leq_m A$ , then  $B$  is r.e.

Let  $g : B \leq_m A, A = \text{Dom}(h), (h \in \mathcal{C}_1)$ ; then  $B = \text{Dom}(h \circ g)$  ( $B$  is r.e.)

## Elementary Properties (3)

7. (i).  $A \leq_m \mathbb{N}$  iff  $A = \mathbb{N}$ ; (ii).  $A \leq_m \emptyset$  iff  $A = \emptyset$ .

(i). " $\Leftarrow$ ": By reflexivity,  $\mathbb{N} \leq_m \mathbb{N}$ .

(i). " $\Rightarrow$ ": Let  $f : A \leq_m \mathbb{N}$ , then  $x \in A \Leftrightarrow f(x) \in \mathbb{N}$ . Thus  $A = \mathbb{N}$ .

(ii).  $A \leq_m \emptyset \Leftrightarrow \bar{A} \leq_m \mathbb{N} \Leftrightarrow \bar{A} = \mathbb{N} \Leftrightarrow A = \emptyset$ .

8. (i).  $\mathbb{N} \leq_m A$  iff  $A \neq \emptyset$ ; (ii).  $\emptyset \leq_m A$  iff  $A \neq \mathbb{N}$ .

(i). " $\Rightarrow$ ": Let  $f : \mathbb{N} \leq_m A$ , then  $A = \text{Ran}(f)$ , so  $A \neq \emptyset$  ( $f$  is total).

(i). " $\Leftarrow$ ": If  $A \neq \emptyset$ , choose  $c \in A$ . If  $g(x) = c$ , we have  $g : \mathbb{N} \leq_m A$ .

(ii).  $\emptyset \leq_m A \Leftrightarrow \mathbb{N} \leq_m \bar{A} \Leftrightarrow \bar{A} \neq \emptyset \Leftrightarrow A \neq \mathbb{N}$ .

## Corollary

**Corollary.** Neither  $\{x \mid \phi_x \text{ is total}\}$  nor  $\{x \mid \phi_x \text{ is not total}\}$  is  $m$ -reducible to  $K$ .

*Proof.* By contradiction, if  $\{x \mid \phi_x \text{ is total}\} \leq_m K$ , and  $K$  is r.e., then  $\{x \mid \phi_x \text{ is total}\}$  is r.e. (same as  $\{x \mid \phi_x \text{ is not total}\}$ ).

However, by Rice-Shapiro Theorem, Neither  $\{x \mid \phi_x \text{ is total}\}$  nor  $\{x \mid \phi_x \text{ is not total}\}$  is r.e.

## Theorem

**Theorem.**  $A$  is r.e. iff  $A \leq_m K$ .

*Proof.* " $\Leftarrow$ ". Since  $A \leq_m K$ , and  $K$  is r.e., then  $A$  is r.e.

Suppose  $A$  is r.e. Let  $f(x, y)$  be  $f(x, y) = \begin{cases} 1, & \text{if } x \in A, \\ \uparrow, & \text{if } x \notin A. \end{cases}$

By s-m-n Theorem  $\exists s(x) : \mathbb{N} \rightarrow \mathbb{N}$  such that  $f(x, y) = \phi_{s(x)}(y)$ .

It is clear that  $x \in A$  iff  $\phi_{s(x)}(s(x))$  is defined iff  $s(x) \in K$ . Hence  $A \leq_m K$ .

**Notation.**  $K$  is the most difficult partially decidable problem.

## Corollary (2)

**Fact.** If  $A$  is r.e. and is not recursive, then  $\bar{A} \not\leq_m A$  and  $A \not\leq_m \bar{A}$ .

*Proof.* " $\bar{A} \not\leq_m A$ ": By contradiction, if  $\bar{A} \leq_m A$ , then  $\bar{A}$  is r.e., then  $A$  is recursive!

" $A \not\leq_m \bar{A}$ ": By contradiction, if  $A \leq_m \bar{A}$ , then  $\bar{A} \leq_m A$ , then  $A$  is recursive!

**Notation:** It contradicts to our intuition that  $A$  and  $\bar{A}$  are equally difficult.

## Many-One Equivalence

**Definition.** Two sets  $A, B$  are **many-one equivalent**, notation  $A \equiv_m B$  (abbreviated  $m$ -equivalent), if  $A \leq_m B$  and  $B \leq_m A$ .

**Theorem.**  $\equiv_m$  is an equivalence relation.

*Proof.*

(1). Reflexivity:  $A \leq_m A \Rightarrow A \equiv_m A$ .

(2). Symmetry:  $A \equiv_m B \Rightarrow B \leq_m A, A \leq_m B \Rightarrow B \equiv_m A$ .

(3). Transitivity:  $A \equiv_m B, B \equiv_m C \Rightarrow A \leq_m C, C \leq_m A \Rightarrow A \equiv_m C$ .

## Examples

1.  $\{x \mid c \in W_x\} \equiv_m K$ .

“ $\Leftarrow$ ”:  $f_{\mathbb{N}}(x, y) = \begin{cases} y & \text{if } x \in W_x \\ \uparrow & \text{if } x \notin W_x \end{cases} \Rightarrow K \leq_m \{x \mid c \in W_x\}$

“ $\Rightarrow$ ”:  $\{x \mid c \in W_x\}$  is r.e., so  $\{x \mid c \in W_x\} \leq_m K$ .

Thus  $\{x \mid c \in W_x\} \equiv_m K$ .

2. If  $A$  is recursive,  $A \neq \emptyset, \mathbb{N}$ , then  $A \equiv_m \bar{A}$ .

$A \neq \emptyset, \mathbb{N} \Rightarrow \bar{A} \neq \emptyset, \mathbb{N}$ .

$A$  is recursive, by previous theorem  $A \leq_m \bar{A}$ . Similarly,  $\bar{A} \leq_m A$ .

## m-Degree

**Definition.** Let  $d_m(A)$  be  $\{B \mid A \equiv_m B\}$ .

**Definition.** An **m-degree** is an equivalence class of sets under the relation  $\equiv_m$ . It is any class of sets of the form  $d_m(A)$  for some set  $A$ .

A **recursive m-degree** is an m-degree that contains a recursive set.

An **r.e. m-degree** is an m-degree that contains an r.e. set.

## Example (2)

3. If  $A$  is r.e. but not recursive, then  $A \not\equiv_m \bar{A}$ .

$A$  is r.e. but not recursive  $\Rightarrow A \not\leq_m \bar{A}, \bar{A} \not\leq_m A$ .

4.  $\{x \mid \phi_x = \mathbf{0}\} \equiv_m \{x \mid \phi_x \text{ is total}\}$ .

“ $\Leftarrow$ ”:  $\phi_{k(x)} = \mathbf{0} \circ \phi_x \Rightarrow \{x \mid \phi_x \text{ is total}\} \leq_m \{x \mid \phi_x = \mathbf{0}\}$ .

“ $\Rightarrow$ ”: Let  $\phi_{k(x)}(y) = \begin{cases} 0 & \text{if } \phi_x(y) = 0; \\ \uparrow & \text{if } \phi_x(y) \neq 0. \end{cases}$

Then  $\phi_x = \mathbf{0} \Leftrightarrow \phi_{k(x)}$  is total  $\Rightarrow \{x \mid \phi_x = \mathbf{0}\} \leq_m \{x \mid \phi_x \text{ is total}\}$ .

## Expression

**Definition.** The set of  $m$ -degrees is ranged over by  $\mathbf{a}, \mathbf{b}, \mathbf{c}, \dots$

**Definition (Partial Order on m-Degree).** Let  $\mathbf{a}, \mathbf{b}$  be  $m$ -degrees.

(1).  $\mathbf{a} \leq_m \mathbf{b}$  iff  $A \leq_m B$  for some  $A \in \mathbf{a}$  and  $B \in \mathbf{b}$ .

(2).  $\mathbf{a} <_m \mathbf{b}$  iff  $\mathbf{a} \leq_m \mathbf{b}$  and  $\mathbf{b} \not\leq_m \mathbf{a}$  ( $\mathbf{a} \neq \mathbf{b}$ ).

The relation  $<_m$  is a partial order.

**Notation.** From the definition of  $\equiv_m$ ,

$\mathbf{a} \leq_m \mathbf{b} \Leftrightarrow \forall A \in \mathbf{a}, B \in \mathbf{b}, A \leq_m B$ .

## Theorem

**Theorem.** The relation  $<_m$  is a partial ordering of  $m$ -degrees.

*Proof.*

(1) By transitivity  $\mathbf{a} \leq_m \mathbf{b}$ ,  $\mathbf{b} \leq_m \mathbf{c}$  implies  $\mathbf{a} \leq_m \mathbf{c}$ .

If  $\mathbf{a} \leq_m \mathbf{b}$  and  $\mathbf{b} \leq_m \mathbf{a}$ , we have to prove that  $\mathbf{a} = \mathbf{b}$ .

(2) **Irreflexivity:** Let  $A \in \mathbf{a}$  and  $B \in \mathbf{b}$ , then we have  $A \leq_m B$  and  $B \leq_m A$ , so  $A \equiv_m B$ . Hence  $\mathbf{a} = \mathbf{b}$ .

Consequently,  $<_m$  is partial ordering.

## Some Facts

1.  $\mathbf{o}$  and  $\mathbf{n}$  are respectively the recursive  $m$ -degrees  $\{\emptyset\}$  and  $\{\mathbb{N}\}$ .

$$A \leq_m \mathbf{N} \Leftrightarrow A = \mathbb{N}; A \leq_m \emptyset \Leftrightarrow A = \emptyset.$$

2. The **recursive  $m$ -degree**  $\mathbf{0}_m$  consists of all the recursive sets except  $\emptyset, \mathbb{N}$ .

$\mathbf{0}_m \leq_m \mathbf{a}$  for any  $m$ -degree  $\mathbf{a}$  other than  $\mathbf{o}$  and  $\mathbf{n}$ .

$A$  is recursive,  $B \leq_m A \Rightarrow B$  is recursive;

$A$  is recursive and  $B \neq \emptyset, \mathbb{N} \Rightarrow A \leq_m B$ .

3.  $\forall m$ -degree  $\mathbf{a}$ ,  $\mathbf{o} \leq_m \mathbf{a}$  provided  $\mathbf{a} \neq \mathbf{n}$ ;  $\mathbf{n} \leq_m \mathbf{a}$  provided  $\mathbf{a} \neq \mathbf{o}$ .

$$\mathbb{N} \leq_m A \Leftrightarrow A \neq \emptyset; \emptyset \leq_m A \Leftrightarrow A \neq \mathbb{N}.$$

## Facts (2)

4. An r.e.  $m$ -degree consists of only r.e. sets.

If  $A$  is r.e. and  $B \leq_m A$ , then  $B$  is r.e.

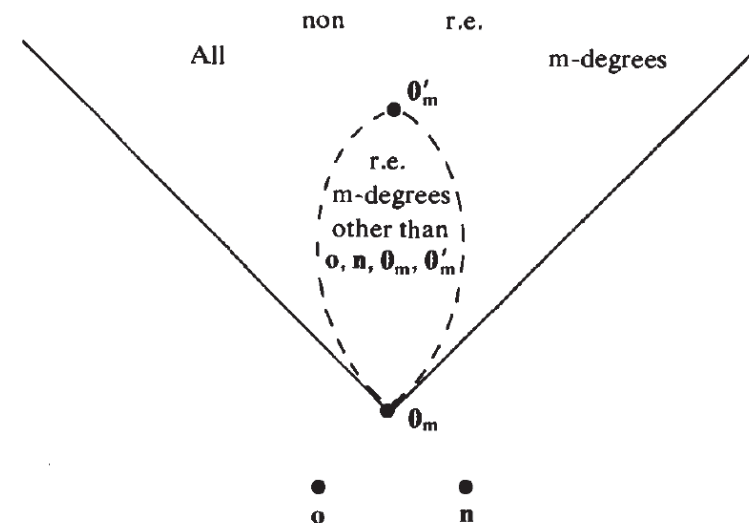
5. If  $\mathbf{a} \leq_m \mathbf{b}$  and  $\mathbf{b}$  is an r.e.  $m$ -degree, then  $\mathbf{a}$  is also an r.e.  $m$ -degree.

If  $A$  is r.e. and  $B \leq_m A$ , then  $B$  is r.e.

6. The maximum r.e.  $m$ -degree  $d_m(K)$  is denoted by  $\mathbf{0}'_m$ .

A set  $A$  is r.e. iff  $A \leq_m K$ .

## Illumination



## Facts about r.e. $m$ -Degrees

1. Excluding  $\mathbf{0}$  and  $\mathbf{n}$ , there is a minimum r.e.  $m$ -degree  $\mathbf{0}_m$  (in fact  $\mathbf{0}_m$  is minimum among all  $m$ -degrees).
2. The r.e.  $m$ -degrees form an **initial segment** of the  $m$ -degrees; i.e., anything below an r.e.  $m$ -degree is also r.e.
3. There is a maximum r.e.  $m$ -degree  $\mathbf{0}'_m$ .
4. While there are uncountably many  $m$ -degrees, only countably many of these are r.e.

## Group

In mathematics, a **group** is an algebraic structure consisting of a set together with an operation  $(G, \bullet)$  that combines any two of its elements to form a third element.

To qualify as a group, the set and the operation must satisfy four conditions (**group axioms**), namely **closure**, **associativity**, **identity** and **invertibility**.

**closure:**  $a, b \in G \Rightarrow a \bullet b \in G$ .

**associativity:**  $(a \bullet b) \bullet c = a \bullet (b \bullet c)$ .

**identity:**  $\forall a \in G, \exists$  identity element  $e \in G$ , s.t.  $e \bullet a = a \bullet e = a$ .

**invertibility:**  $\forall a \in G, \exists$  inverse  $b \in G$  s.t.  $a \bullet b = b \bullet a = e$  ( $b = a^{-1}$ ).

## Algebraic Structure

**Theorem.** The  $m$ -degrees form an **upper semi-lattice**.

## Lattice

In mathematics, a **lattice** is a **partially ordered set** (poset)  $(L, \leq)$  in which any two elements have a unique **supremum** (also called a least upper bound or join) and a unique **infimum** (also called a greatest lower bound or meet).

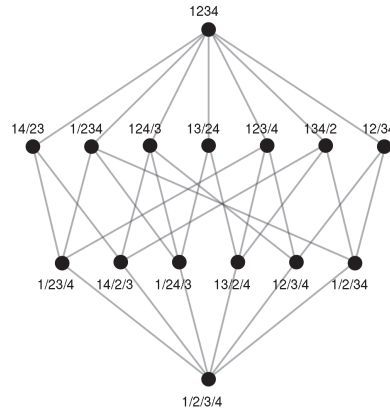
To qualify as a lattice, the set and the operation must satisfy two conditions: **join-semilattice**, **meet-semilattice**.

**join-semilattice:**  $\forall a, b \in L$ , the set  $\{a, b\}$  has a **join**  $a \vee b$ .  
(the least upper bound)

**meet-semilattice:**  $\forall a, b \in L$ , the set  $\{a, b\}$  has a **meet**  $a \wedge b$ .  
(the greatest lower bound)

# The Name "Lattice"

The name "lattice" is suggested by the form of the [Hasse diagram](#) depicting it. I.e., the right picture is the lattice of partitions of a four-element set  $\{1, 2, 3, 4\}$ , ordered by the relation "is a refinement of".



# Proof

(i). Pick  $A \in \mathbf{a}$ ,  $B \in \mathbf{b}$ , and let  $C = A \oplus B$ , i.e.,

$$C = \{2x \mid x \in A\} \cup \{2x + 1 \mid x \in B\}.$$

Then

$$x \in A \Leftrightarrow 2x \in C \implies A \leq_m C;$$

$$x \in B \Leftrightarrow 2x + 1 \in C \implies B \leq_m C;$$

Thus  $\mathbf{c}$  is an upper bound of  $\mathbf{a}$ ,  $\mathbf{b}$ .

# Upper Semi-lattice

**Theorem.** Any pair of  $m$ -degrees  $\mathbf{a}$ ,  $\mathbf{b}$  have a least upper bound; i.e. there is an  $m$ -degree  $\mathbf{c}$  such that

- (i).  $\mathbf{a} \leq_m \mathbf{c}$  and  $\mathbf{b} \leq_m \mathbf{c}$  ( $\mathbf{c}$  is an upper bound);
- (ii).  $\mathbf{c} \leq_m$  any other upper bound of  $\mathbf{a}$ ,  $\mathbf{b}$ .

# Proof (2)

(ii). Let  $\mathbf{d}$  is an  $m$ -degree such that  $\mathbf{a} \leq_m \mathbf{d}$ , and  $\mathbf{b} \leq_m \mathbf{d}$ .

$\forall D \in \mathbf{d}$ , suppose  $f : A \leq_m D$  and  $g : B \leq_m D$ . Then

$$x \in C \Leftrightarrow (x \text{ is even } \& \frac{x}{2} \in A) \vee (x \text{ is odd } \& \frac{x-1}{2} \in B)$$

$$\Leftrightarrow (x \text{ is even } \& f(\frac{x}{2}) \in D) \vee (x \text{ is odd } \& g(\frac{x-1}{2}) \in D)$$

Thus we have  $h : C \leq_m D$  if we define  $h = \begin{cases} f(\frac{x}{2}) & \text{if } x \text{ is even;} \\ g(\frac{x-1}{2}) & \text{if } x \text{ is odd.} \end{cases}$

Hence  $\mathbf{c} \leq_m \mathbf{d}$ .

## Definition

**Definition.** An r.e. set is **m-complete** if every r.e. set is m-reducible to it.

**Notation.**  $\mathbf{0}'_m$ , the  $m$ -degree of  $K$  is maximum among all r.e.  $m$ -degrees, and thus  $K$  is  **$m$ -complete r.e. set** (or just called  **$m$ -complete set**).

## Examples

The following sets are m-complete.

- (i)  $\{x \mid c \in W_x\}$ .
- (ii) Every non-trivial r.e. set of the form  $\{x \mid \phi_x \in \mathcal{B}\}$ .
- (iii)  $\{x \mid \phi_x(x) = 0\}$ .
- (iv).  $\{x \mid x \in E_x\}$ .

## Theorem

**Theorem.** The following statements are valid.

- (i)  $K$  is  $m$ -complete.
- (ii)  $A$  is  $m$ -complete iff  $A \equiv_m K$  iff  $A$  is r.e. and  $K \leq_m A$ .
- (iii)  $\mathbf{0}'_m$  consists exactly of all the  $m$ -complete sets.

## Creative Set

**Theorem.** Any  $m$ -complete set is **creative**.

*Proof.* If  $A$  is  $m$ -complete,  $A$  is r.e. set.

Also,  $K \leq_m A$ , so  $\bar{K} \leq_m \bar{A}$ . Thus  $\bar{A}$  is productive.



## Myhill's Theorem

**Myhill's Theorem.** A set is m-complete iff it is creative.

## Comparison

m-reducibility has two unsatisfactory features:

- (i) The exceptional behavior of  $\emptyset$  and  $\mathbb{N}$ .
- (ii) The invalidity of  $A \not\equiv_m \bar{A}$  in general.

The problem is due to the restricted use of oracles.

E.g.  $x \in \bar{A}$  iff  $x \notin A$

## m-Complete r.e. Sets

**Corollary.** If  $\mathbf{a}$  is the  $m$ -degree of any simple set, then  $\mathbf{0}_m <_m \mathbf{a} <_m \mathbf{0}'_m$  (Simple sets are not  $m$ -complete).

*Proof.* Simple sets are designed to be neither recursive nor creative.

## Relative Computability

Suppose  $\chi$  is a **total** unary function.

Informally a function  $f$  is computable relative to  $\chi$ , or  $\chi$ -computable, if  $f$  can be computed by an algorithm that is effective in the usual sense, except from time to time during computations  $f$  is allowed to consult the **oracle function**  $\chi$ .

Such an algorithm is called a  $\chi$ -algorithm.

## URMO - Unlimited Register Machine with Oracle

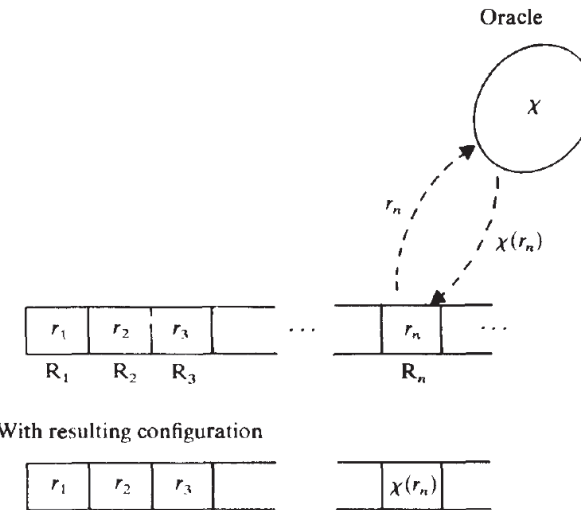
A **URM with oracle**, URMO for short, can recognize a fifth kind of instruction,  $O(n)$ , for every  $n \geq 1$ .

If  $\chi$  is the oracle, then the effect of  $O(n)$  is to replace the content  $r_n$  of  $R_n$  by  $\chi(r_n)$ .

$P^\chi$  denote the program  $P$  when used with the function  $\chi$  in the oracle.

$P^\chi(\mathbf{a}) \downarrow b$  means the computation  $P^\chi(\mathbf{a})$  with initial configuration  $a_1, a_2, \dots, a_n, 0, 0, \dots$  stops with the number  $b$  is register  $R_1$ .

## Illumination



## URMO-Computable

Let  $\chi$  be a unary total function, and suppose the  $f$  is a partial function from  $\mathbb{N}^n$  to  $\mathbb{N}$ .

- (a) Let  $P$  be a URMO program, then  $P$  **URMO-computes  $f$  relative to  $\chi$**  (or  $f$  is  $\chi$ -computable by  $P$ ) if, for every  $\mathbf{a} \in \mathbb{N}^n$  and  $b \in \mathbb{N}$ ,  $P^\chi(\mathbf{a}) \downarrow b$  iff  $f(\mathbf{a}) \simeq b$ .
- (b) The function  $f$  is **URMO-computable relative to  $\chi$**  (or  $\chi$ -computable) if there is a URMO program that URMO-computes it relative to  $\chi$ .

$\mathcal{C}^\chi$  is the set of all  $\chi$ -computable functions.

## Facts

- (i)  $\chi \in \mathcal{C}^\chi$ .  
Use URMO program  $O(1)$ .
- (ii)  $\mathcal{C} \subseteq \mathcal{C}^\chi$ .  
Any URM program is a URMO program.
- (iii) If  $\chi$  is computable, then  $\mathcal{C} = \mathcal{C}^\chi$ .  
Since  $\mathcal{C} \subseteq \mathcal{C}^\chi$ , we need to prove  $\mathcal{C}^\chi \subseteq \mathcal{C}$ .  $\chi$  is computable, then whenever a value of  $\chi$  is requested simply compute it by the algorithm for  $\chi$ . By Church's thesis,  $f$  is computable.
- (iv)  $\mathcal{C}^\chi$  is closed under substitution, recursion and minimalisation.  
Construct corresponding URMO programs.
- (v) If  $\psi$  is a total unary function that is  $\chi$ -computable, then  $\mathcal{C}^\psi \subseteq \mathcal{C}^\chi$ .  
By Church's thesis.

## Partial Recursive Function

The class  $\mathcal{R}^\chi$  of  $\chi$ -partial recursive functions is the smallest class of functions such that

- (a) the basic functions are in  $\mathcal{R}^\chi$ .
- (b)  $\chi \in \mathcal{R}^\chi$ .
- (c)  $\mathcal{R}^\chi$  is closed under substitution, recursion, and minimalisation.

$\chi$ -recursive,  $\chi$ -primitive recursive are defined in the obvious way.

**Theorem.** For any  $\chi$ ,  $\mathcal{R}^\chi = \mathcal{C}^\chi$ .

## Numbering URMO programs

Let's fix an effective enumeration of all URMO programs

$$Q_0, Q_1, Q_2, \dots$$

Let  $\phi_m^{\chi, n}$  be the  $n$ -ary function  $\chi$ -computed by  $Q_m$ .

Let  $\phi_m^\chi$  be  $\phi_m^{\chi, 1}$ .

$W_m^\chi$  is  $Dom(\phi_m^\chi)$  and  $E_m^\chi$  is  $Ran(\phi_m^\chi)$ .

## Numbering URMO programs

**S-m-n Theorem.** For each  $m, n \geq 1$  there is a total computable  $(m + 1)$ -ary function  $s_n^m(e, \mathbf{x})$  such that for any  $\chi$

$$\phi_e^{\chi, m+n}(\mathbf{x}, \mathbf{y}) \simeq \phi_{s_n^m(e, \mathbf{x})}^{\chi, n}(\mathbf{y}).$$

Notice that  $s_n^m(e, \mathbf{x})$  does not refer to  $\chi$ .

## Universal Programs for Relative Computability

**Universal Function Theorem.** For each  $n$ , the universal function  $\psi_U^{\chi, n}$  for  $n$ -ary  $\chi$ -computable functions given by

$$\psi_U^{\chi, n}(e, \mathbf{x}) \simeq \phi_e^{\chi, n}(\mathbf{x})$$

is  $\chi$ -computable.

## Relativization

Once we have the S-m-n Theorem and the Universal Function Theorem, we can do the recursion theory **relative to** an oracle.

## $\chi$ -Recursive and $\chi$ -r.e. Sets

Let  $A$  be a set

- (a)  $A$  is  $\chi$ -recursive if  $c_A$  is  $\chi$ -computable.
- (b)  $A$  is  $\chi$ -r.e. if the partial characteristic function

$$f(x) = \begin{cases} 1 & \text{if } x \in A, \\ \uparrow & \text{if } x \notin A \end{cases} \text{ is } \chi\text{-computable.}$$

## $\chi$ -Recursive and $\chi$ -r.e. Sets

**Theorem.** The following statements are valid.

- (i) For any set  $A$ ,  $A$  is  $\chi$ -recursive iff  $A$  and  $\bar{A}$  are  $\chi$ -r.e.
- (ii) For any set  $A$ , the following are equivalent.
  - $A$  is  $\chi$ -r.e.
  - $A = W_m^\chi$  for some  $m$ .
  - $A = E_m^\chi$  for some  $m$ .
  - $A = \emptyset$  or  $A$  is the range of a total  $\chi$ -computable function.
  - For some  $\chi$ -decidable predicate  $R(x, y)$ ,  $x \in A$  iff  $\exists y.R(x, y)$ .
- (iii)  $K^\chi \stackrel{\text{def}}{=} \{x \mid x \in W_x^\chi\}$  is  $\chi$ -r.e. but not  $\chi$ -recursive.

## Computability Relative to a Set

Computability relative to a **set**  $A$  means computability relative to its characteristic function  $c_A$ .

For example:

$P^A$  for  $P^{c_A}$  (if  $P$  is a URMO program),

$\mathcal{C}^A$  for  $\mathcal{C}^{c_A}$ ,

$\phi_m^A$  for  $\phi_m^{c_A}$ .

$W_m^A$  for  $W_m^{c_A}$ ,

$E_m^A$  for  $E_m^{c_A}$ ,

$K^A$  for  $K^{c_A}$ ,

$A$ -recursive for  $c_A$ -recursive

$A$ -r.e. for  $c_A$ -r.e.

...

## Turing Reducibility and Turing Degrees

The set  $A$  is **Turing reducible** to  $B$ , notation  $A \leq_T B$ , if  $A$  has a  **$B$ -computable** characteristic function  $c_A$ .

The sets  $A, B$  are **Turing equivalent**, notation  $A \equiv_T B$ , if  $A \leq_T B$  and  $B \leq_T A$ .

## Facts.

(i)  $\leq_T$  is reflexive and transitive.

$$A \leq_T B \text{ iff } \mathcal{C}^A \subseteq \mathcal{C}^B;$$

(ii)  $\equiv_T$  is an equivalence relation.

$$A \equiv_T B \text{ iff } \mathcal{C}^A = \mathcal{C}^B;$$

(iii) If  $A \leq_m B$  then  $A \leq_T B$ .

If  $f : A \leq_m B$  and  $P$  is URM program to compute  $f$ , then the URM program  $P, O(1)$  is  $B$ -compute  $c_A$ .

(iv)  $A \equiv_T \bar{A}$  for all  $A$ .

$$c_{\bar{A}} = \overline{sg} \circ c_A, \bar{A} \text{ is } A\text{-recursive} \implies \bar{A} \leq_T A. \text{ (Similarly } A \leq_T \bar{A}.)$$

## Notation

Suppose  $A \leq_T B$  and  $P$  is the URMO program that computes  $c_A$  relative to  $B$ . Then  $\forall x, P^B(x)$  converges and

$$\begin{aligned} P^B(x) &\downarrow 1 \text{ if } x \in A \\ P^B(x) &\downarrow 0 \text{ if } x \notin A \end{aligned}$$

When calculating  $P^B(x)$  there will be a finite number of requests to the oracle for a value  $c_B(n)$  of  $c_B$ . These requests amount to a finite number of questions of the form ' $n \in B?$ '.

So for any  $x$ , ' $x \in A?$ ' is settled in a mechanical way by answering a finite number of questions about  $B$ .

## Facts. (2)

(v) If  $A$  is recursive, then  $A \leq_T B$  for all  $B$ .

$$\text{Since } \mathcal{C} \subseteq \mathcal{C}^x.$$

(vi) If  $B$  is recursive and  $A \leq_T B$ , then  $A$  is recursive.

$$\text{If } \chi \text{ is computable, then } \mathcal{C} = \mathcal{C}^x.$$

(vii) If  $A$  is r.e. then  $A \leq_T K$ .

$$\text{If } A \leq_m B \text{ then } A \leq_T B; \text{ A set } A \text{ is r.e. iff } A \leq_m K.$$

## Turing Degrees

A set  $A$  is **T-complete** if  $A$  is r.e. and  $B \leq_T A$  for every r.e. set  $B$ .

The equivalence class  $d_T(A) = \{B \mid A \equiv_T B\}$  is called **Turing degree** of  $A$ , or T-degree of  $A$ .

A T-degree containing a recursive set is called a **recursive T-degree**.

A T-degree containing an r.e. set is called an **r.e. T-degree**.

## Theorem

(i) There is **precisely one** recursive degree  $\mathbf{0}$ , which consists of all the recursive sets and is the unique minimal degree.

If  $A$  is recursive, then  $A \leq_T B$  for all  $B$ ; If  $B$  is recursive and  $A \leq_T B$ , then  $A$  is recursive.

(ii) Let  $\mathbf{0}'$  be the degree of  $K$ . Then  $\mathbf{0} < \mathbf{0}'$  and  $\mathbf{0}'$  is a maximum among all r.e. degrees.

From (i),  $\mathbf{0} \leq \mathbf{0}'$ ;  $\mathbf{0} \neq \mathbf{0}'$  since  $K$  is not recursive. Since  $A$  is r.e.  $\Rightarrow A \leq_T K$ , we have if  $\mathbf{a}$  is any r.e. degree,  $\mathbf{a} \leq \mathbf{0}'$ .

(iii)  $d_m(A) \subseteq d_T(A)$ ; and if  $d_m(A) \leq_m d_m(B)$  then  $d_T(A) \leq d_T(B)$ .

If  $A \leq_m B$  then  $A \leq_T B$ .

## Turing Reducibility and Turing Degrees

The set of degrees is ranged over by  $\mathbf{a}, \mathbf{b}, \mathbf{c}, \dots$

$\mathbf{a} \leq \mathbf{b}$  iff  $A \leq_T B$  for all  $A \in \mathbf{a}$  and  $B \in \mathbf{b}$ .

$\mathbf{a} < \mathbf{b}$  iff  $\mathbf{a} \leq \mathbf{b}$  and  $\mathbf{a} \neq \mathbf{b}$ .

The relation  $\leq$  is a partial order.

## Jump Operation

**Theorem.** The following statements are valid.

(i)  $K^A \stackrel{\text{def}}{=} \{x \mid x \in W_x^A\}$  is  $A$ -r.e.

Since  $K^x$  is  $x$ -r.e.

(ii) If  $B$  is  $A$ -r.e., then  $B \leq_T K^A$ .

By relativised s-m-n theorem, if  $B$  is  $A$ -r.e., then  $B \leq_m K^A$ .

(iii) If  $A$  is recursive then  $K^A \equiv_T K$ .

" $\Leftarrow$ "  $K \leq_T K^A$  since  $K$  is  $A$ -r.e. for any  $A$ ;

" $\Rightarrow$ " If  $A$  is recursive then  $A$ -computable partial characteristic function of  $K^A$  is actually computable (if  $\chi$  is computable, then  $\mathcal{C} = \mathcal{C}^x$ ). Hence  $K^A$  is r.e., and  $K^A \leq_T K$ .

(iv)  $A <_T K^A$ .

" $A \leq_T K^A$ " is given by (ii). " $A \not\equiv_T K^A$ " is given by " $K^x$  is  $x$ -r.e. but not  $x$ -recursive."

## Relativization

(v) If  $A \leq_T B$  then  $K^A \leq_T K^B$ .

If  $A \leq_T B$ , then since  $K^A$  is  $A$ -r.e. it is also  $B$ -r.e., so  $K^A \leq_T K^B$ .

(vi) If  $A \equiv_T B$  then  $K^A \equiv_T K^B$ .

Follows immediately from (v).

## Basic Properties

**Theorem.** For any degree  $\mathbf{a}$  and  $\mathbf{b}$ , the following statements are valid.

(i)  $\mathbf{a} < \mathbf{a}'$ .

(ii) If  $\mathbf{a} < \mathbf{b}$  then  $\mathbf{a}' < \mathbf{b}'$ .

(iii) If  $B \in \mathbf{b}$ ,  $A \in \mathbf{a}$  and  $B$  is  $A$ -r.e. then  $\mathbf{b} \leq \mathbf{a}'$ .

## Jump Operation

$K^A$  is a **T-complete  $A$ -r.e.** set. Also called the **completion** of  $A$ , or the **jump** of  $A$ , and denoted as  $A'$ .

**Definition.** The **jump** of  $\mathbf{a}$ , denoted  $\mathbf{a}'$ , is the degree of  $K^A$  for any  $A \in \mathbf{a}$ .

**Notation (1).** By Relativization jump is a valid definition because the degree of  $K^A$  is the same for every  $A \in \mathbf{a}$ .

**Notation (2).** The new definition of  $\mathbf{0}'$  as the jump of  $\mathbf{0}$  accords with our earlier definition of  $\mathbf{0}'$  as the degree of  $K$ .

## Important Results

**Theorem.** Any degrees  $\mathbf{a}, \mathbf{b}$  have a unique least upper bound.

**Theorem.** Any non-recursive r.e. degree contains a simple set.

**Theorem.** There are r.e. sets  $A, B$  s.t.  $A \not\leq_T B$  and  $B \not\leq_T A$ . Hence, if  $\mathbf{a}, \mathbf{b}$  are  $d_T(A), d_T(B)$  respectively,  $\mathbf{a} \not\leq \mathbf{b}$  and  $\mathbf{b} \not\leq \mathbf{a}$ , and thus  $\mathbf{0} < \mathbf{a} < \mathbf{0}'$  and  $\mathbf{0} < \mathbf{b} < \mathbf{0}'$ .

Degrees  $\mathbf{a}, \mathbf{b}$  such that  $\mathbf{a} \not\leq \mathbf{b}$  and  $\mathbf{b} \not\leq \mathbf{a}$  are called **incomparable degrees**, denoted as  $\mathbf{a} \mid \mathbf{b}$ .

**Theorem.** For any r.e. degree  $\mathbf{a} > \mathbf{0}$ , there is an r.e. degree  $\mathbf{b}$  such that  $\mathbf{b} \mid \mathbf{a}$ .

## Important Results (2)

**Sack's Density Theorem.** For any r.e. degrees  $\mathbf{a} < \mathbf{b}$  there is an r.e. degree  $\mathbf{c}$  with  $\mathbf{a} < \mathbf{c} < \mathbf{b}$ .

**Sack's Splitting Theorem.** For any r.e. degrees  $\mathbf{a} > \mathbf{0}$  there are r.e. degrees  $\mathbf{b}, \mathbf{c}$  such that  $\mathbf{b} < \mathbf{a} < \mathbf{b} \cup \mathbf{c}$  and  $\mathbf{a} = \mathbf{b} \cup \mathbf{c}$  (hence  $\mathbf{b} \mid \mathbf{c}$ ).

**Lachlan, Yates Theorem.**

(a).  $\exists$  r.e. degrees  $\mathbf{a}, \mathbf{b} > \mathbf{0}$  such that  $\mathbf{0}$  is the greatest lower bound of  $\mathbf{a}$  and  $\mathbf{b}$ .

(b).  $\exists$  r.e. degrees  $\mathbf{a}, \mathbf{b}$  having no greatest lower bound (either among all degrees or among r.e. degrees).

**Shoenfield Theorem.** There is a non-r.e. degree  $\mathbf{a} < \mathbf{0}'$ .

**Spector Theorem.** There is a minimal degree. (A minimal degree is a degree  $\mathbf{m} > \mathbf{0}$  such that there is no degree  $\mathbf{a}$  with  $\mathbf{0} < \mathbf{a} < \mathbf{m}$ ).

**Theorem.** For any r.e.  $m$ -degree  $\mathbf{a} >_m \mathbf{0}_m$ ,  $\exists$  an r.e.  $m$ -degree  $\mathbf{b}$  s.t.  $\mathbf{b} \mid \mathbf{a}$