K Nearest Neighbor Queries and KNN-Joins in Large Relational Databases (Almost) for Free

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### Introduction

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- Our goal: design relational algorithms for KNN and KNN-Joins.
  - Readily applied on relational databases without updating the engine.
  - Augmented with ad-hoc query conditions and optimized by the query optimizer.
  - Do it in SQL!

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- kNN-Join solution:
  - the iJoin algorithm
  - the Gorder algorithm

Data set P stored in table  $R_P$ : { $pid, Y_1, \dots, Y_d, A_1, \dots, A_h$ }. Query set Q stored in table  $R_Q$ : { $qid, X_1, \dots, X_d, B_1, \dots, B_g$ }.

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 $(A \subseteq \mathsf{R}_P) \land (|A| = k) \land (\forall a \in A, \forall r \in \mathsf{R}_P - A, |a, q| \le |r, q|).$ 

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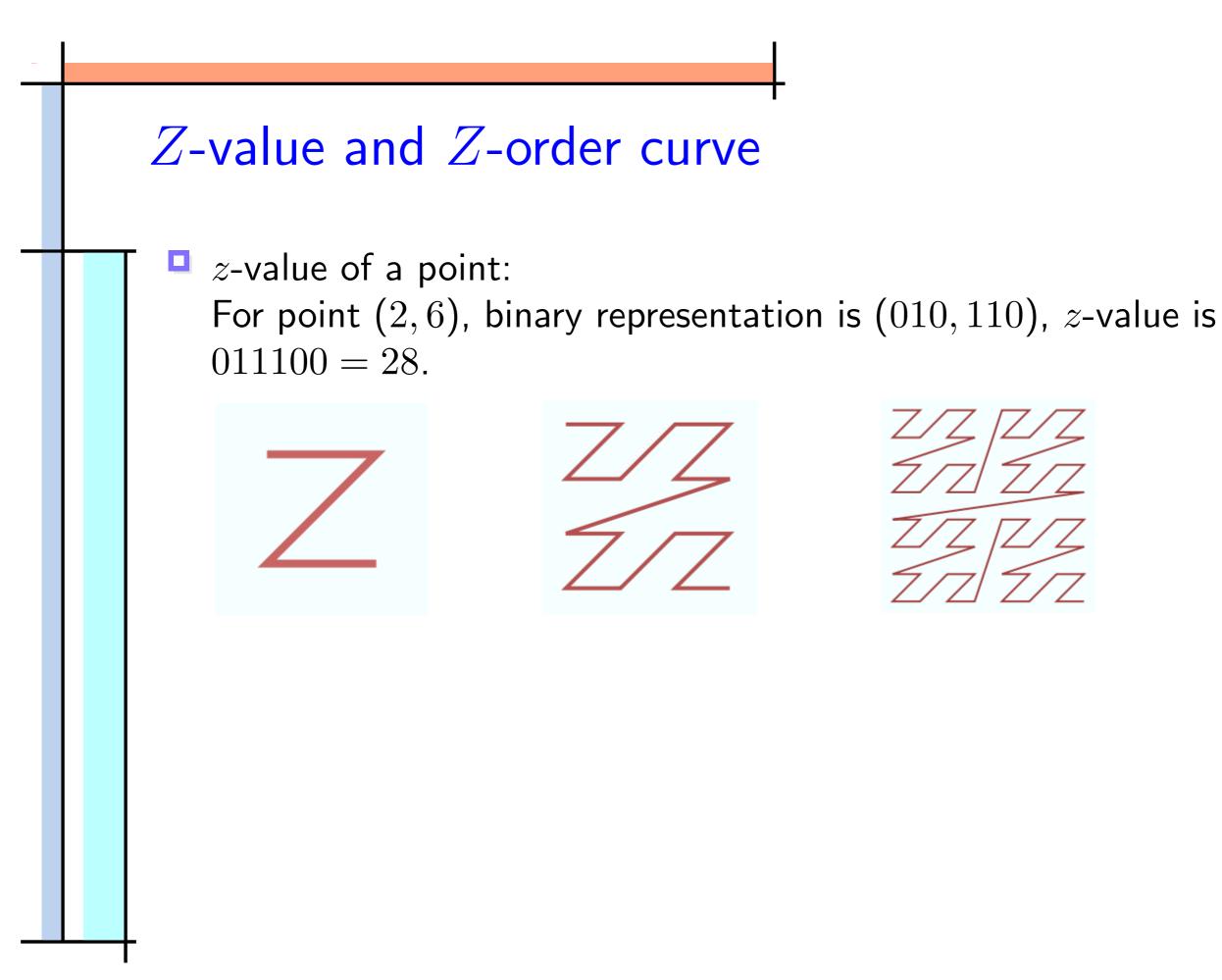
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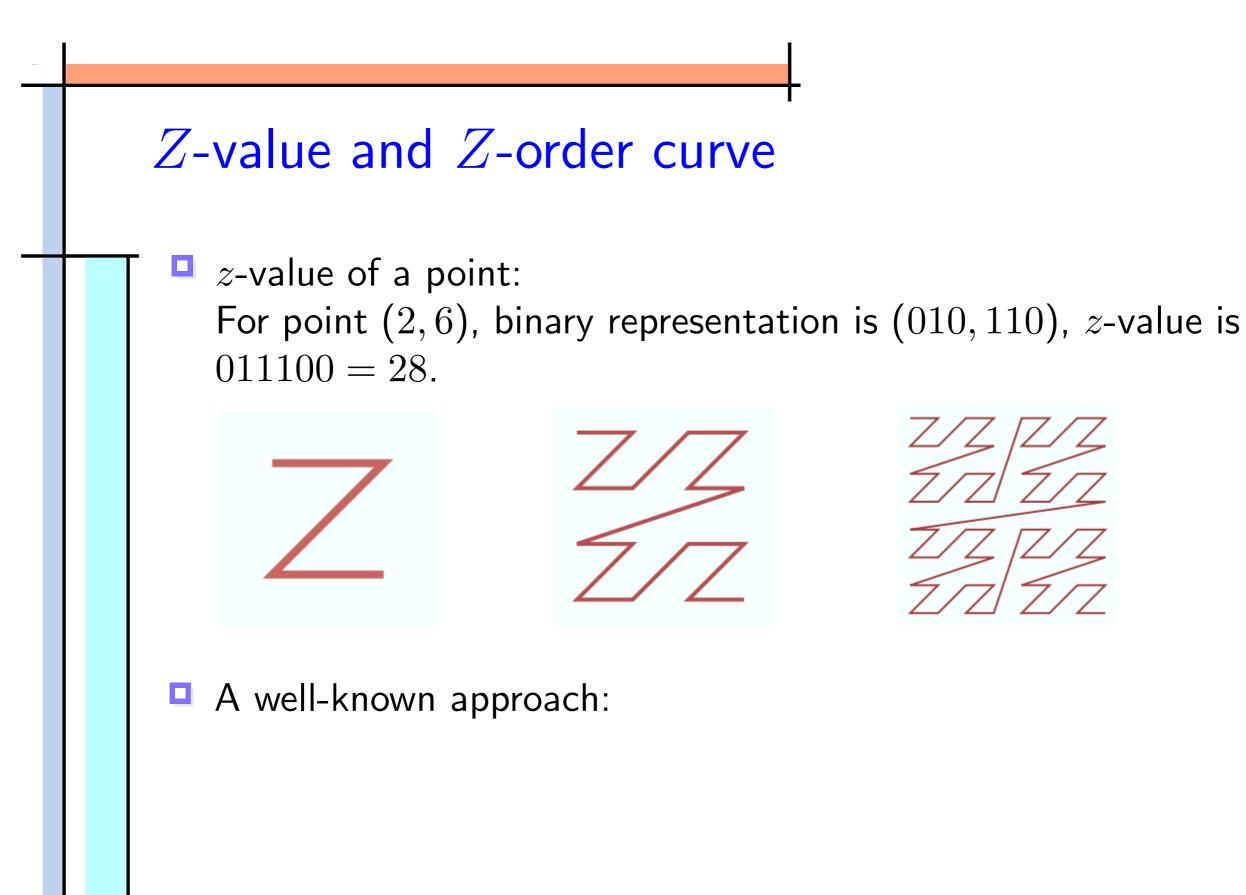
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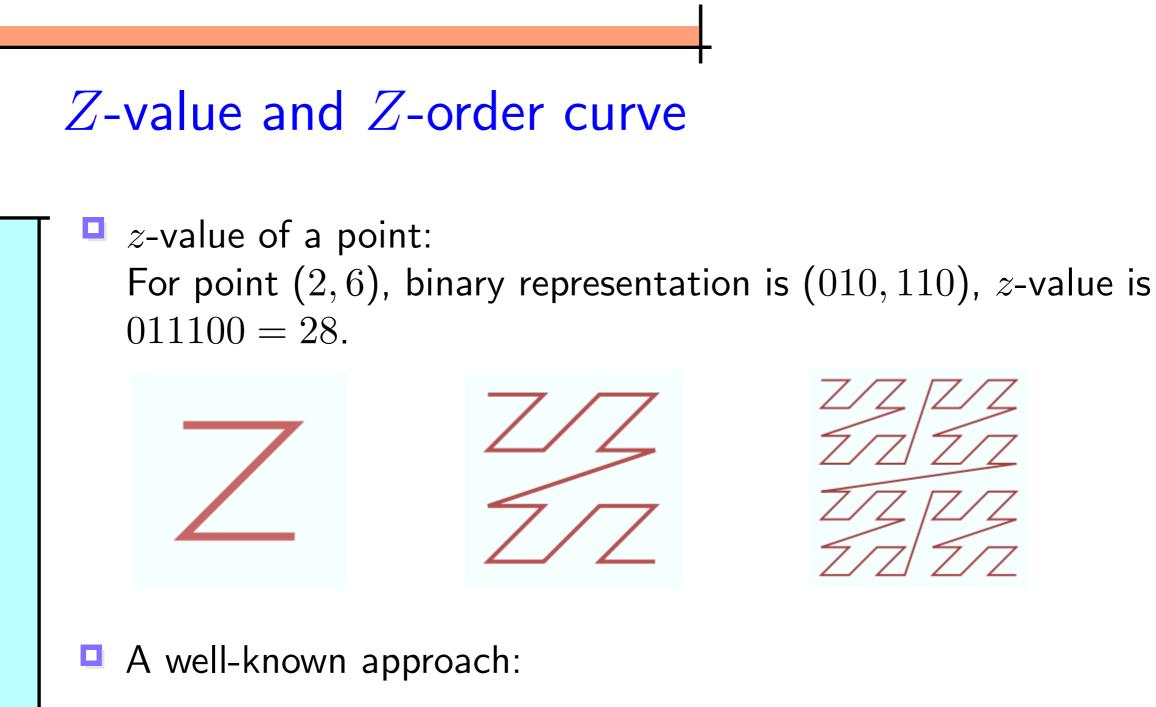
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Approximate k nearest neighbors: Suppose q's kth nn from P is  $p^*$  and  $r^* = |q, p^*|$ , p be the kth NN of q for some kNN algorithm A and  $r^p = |q, p|$ ,  $(p, r^p) \in \mathbb{R}^d \times \mathbb{R}$  is  $(1 + \epsilon)$ -approximate solution of kNN if  $r^* \leq r^p \leq (1 + \epsilon)r^*$ .

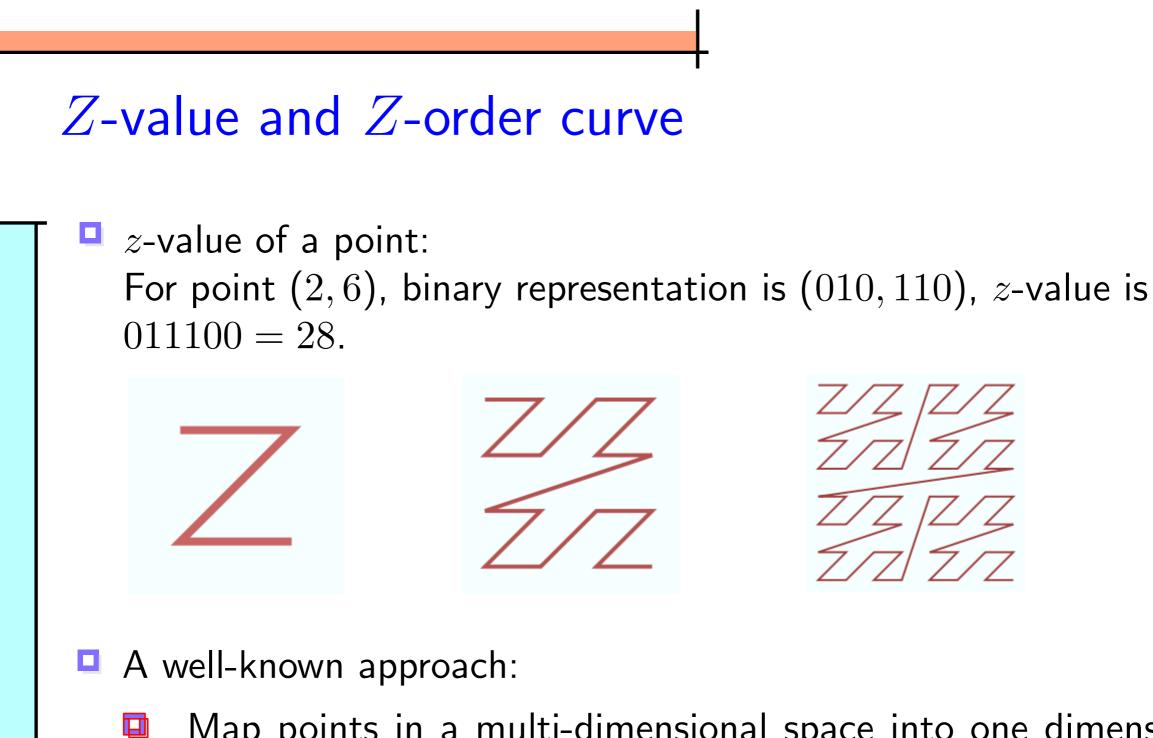






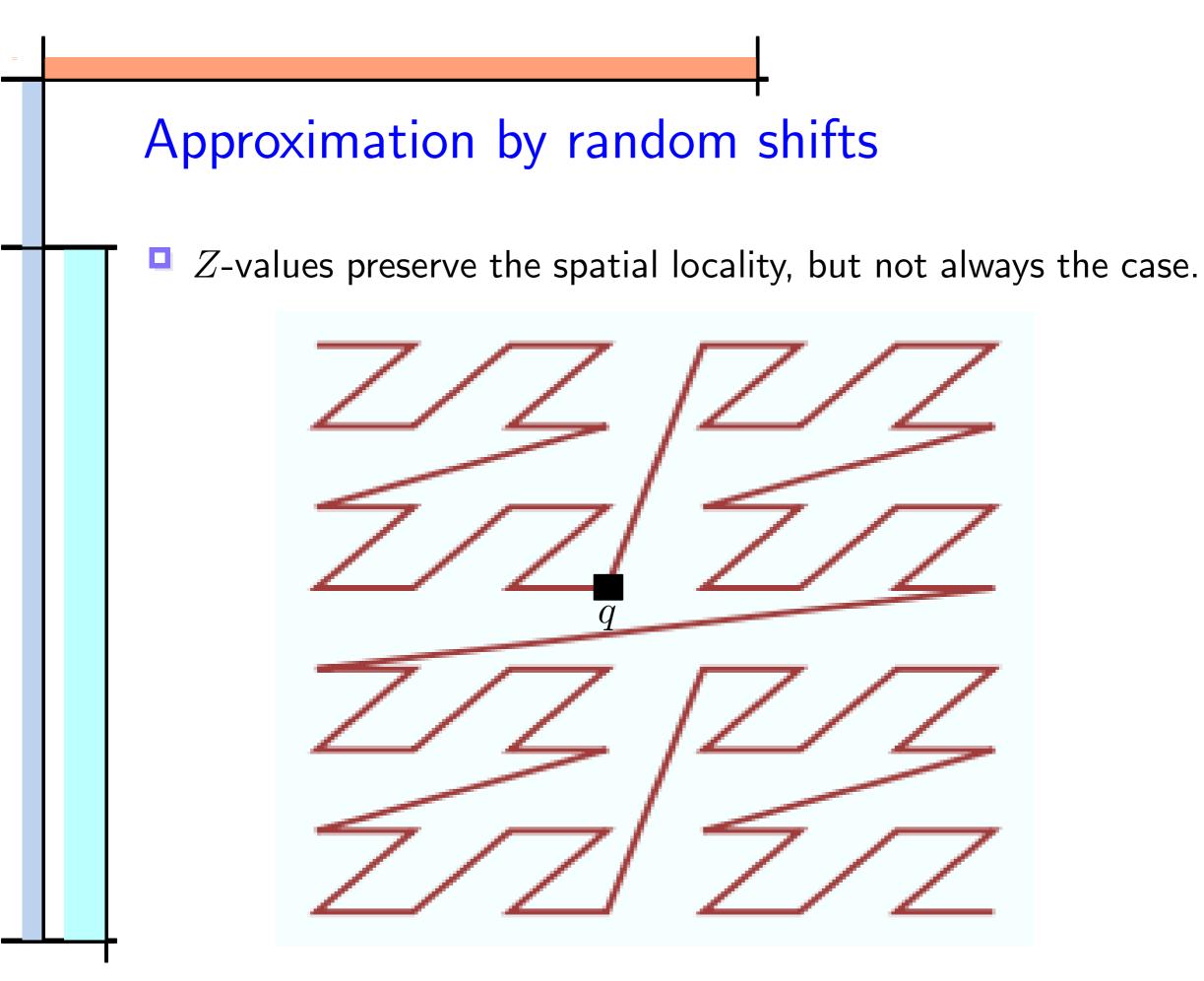


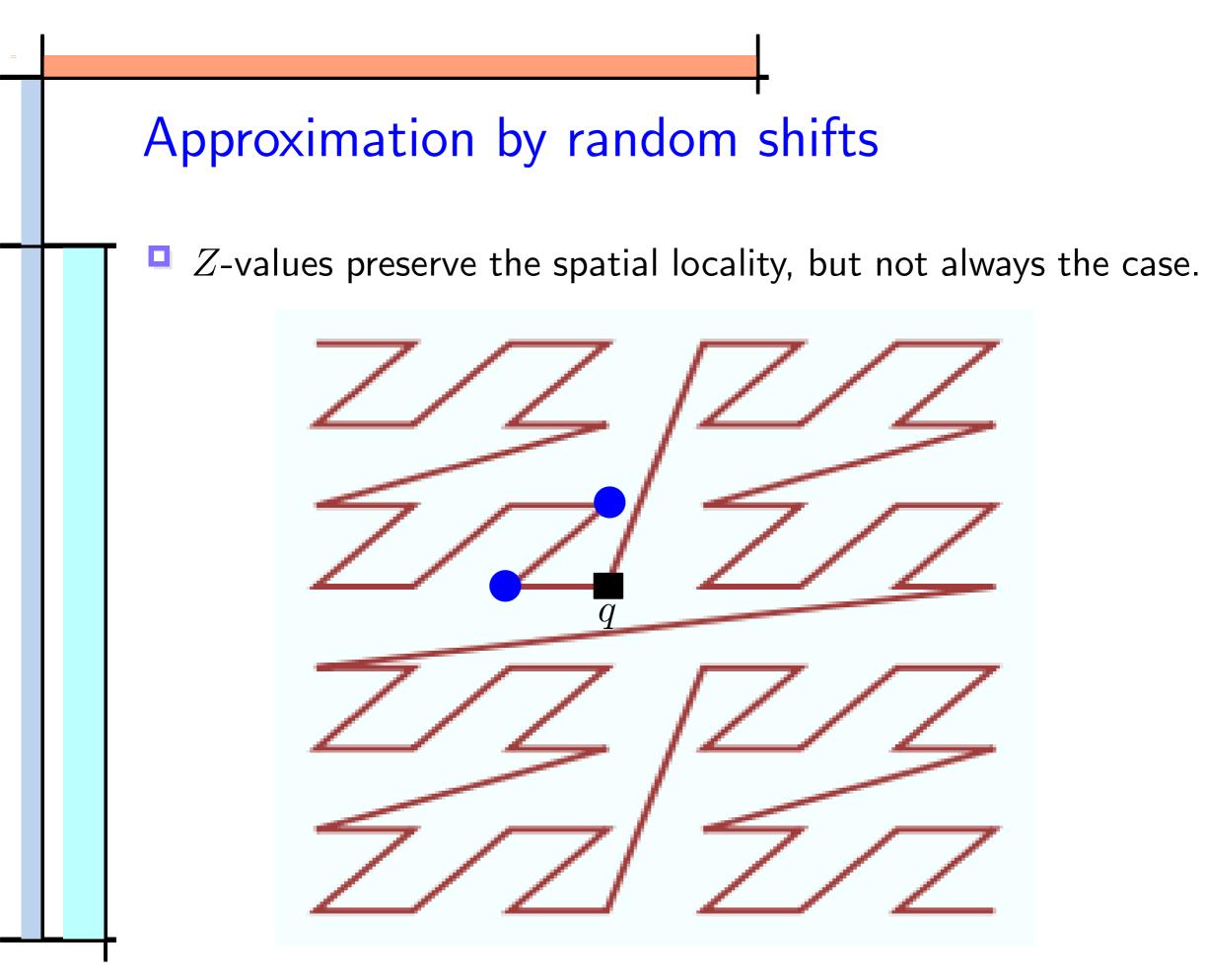
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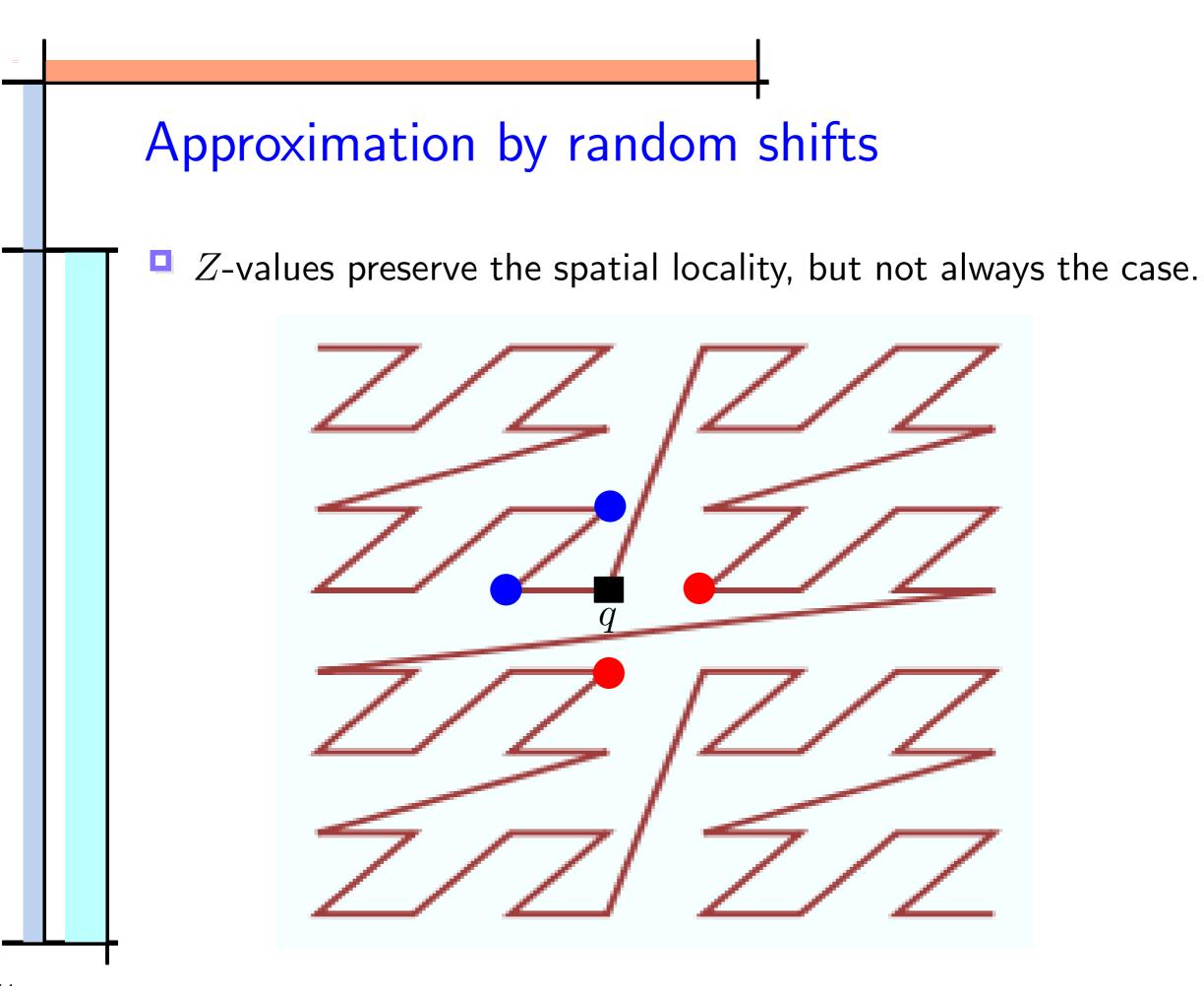


- Map points in a multi-dimensional space into one dimension by using z-values.
- Translate the kNN search into one dimensional range search on the z-values.

 $\Box$  Z-values preserve the spatial locality, but not always the case.

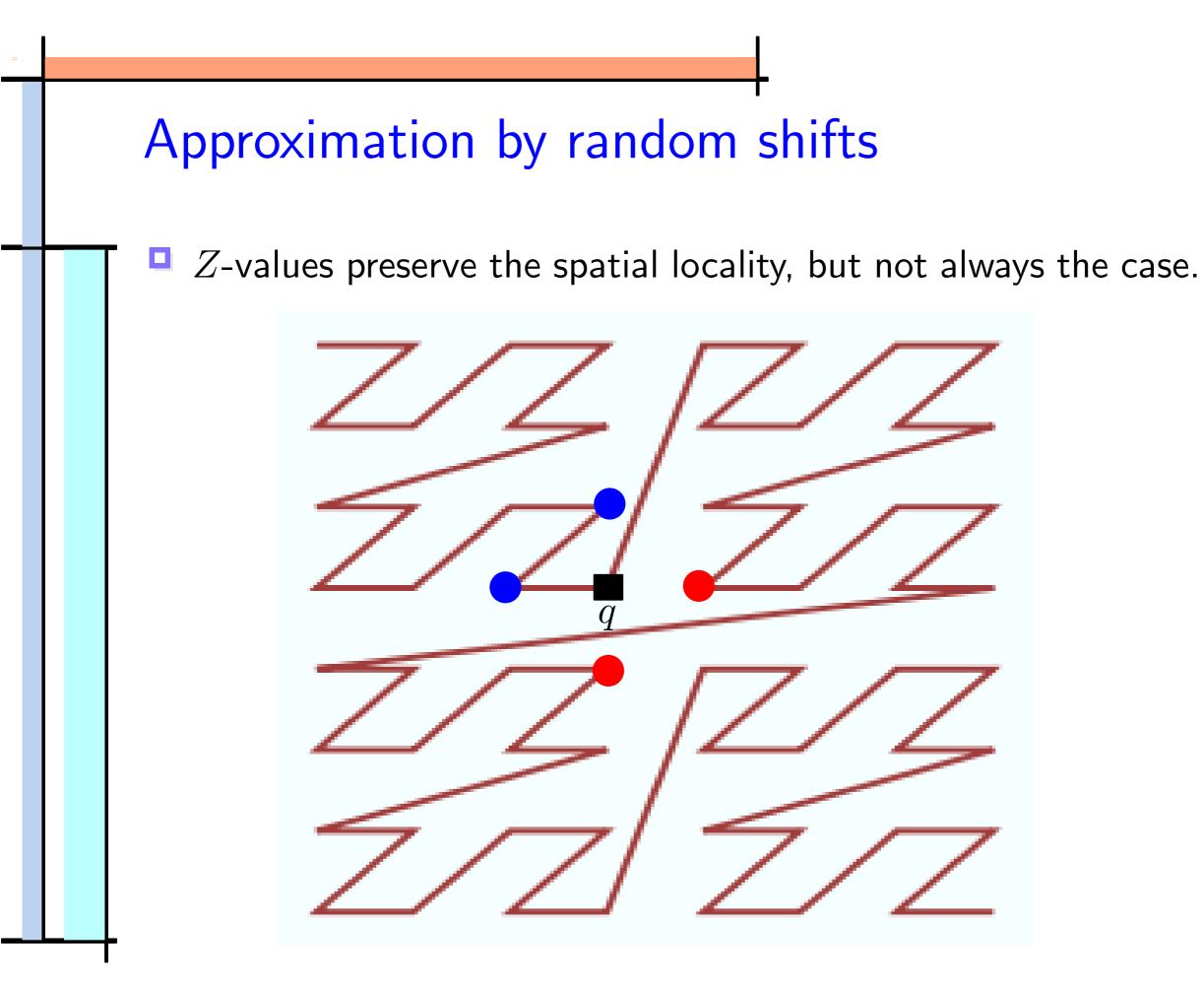


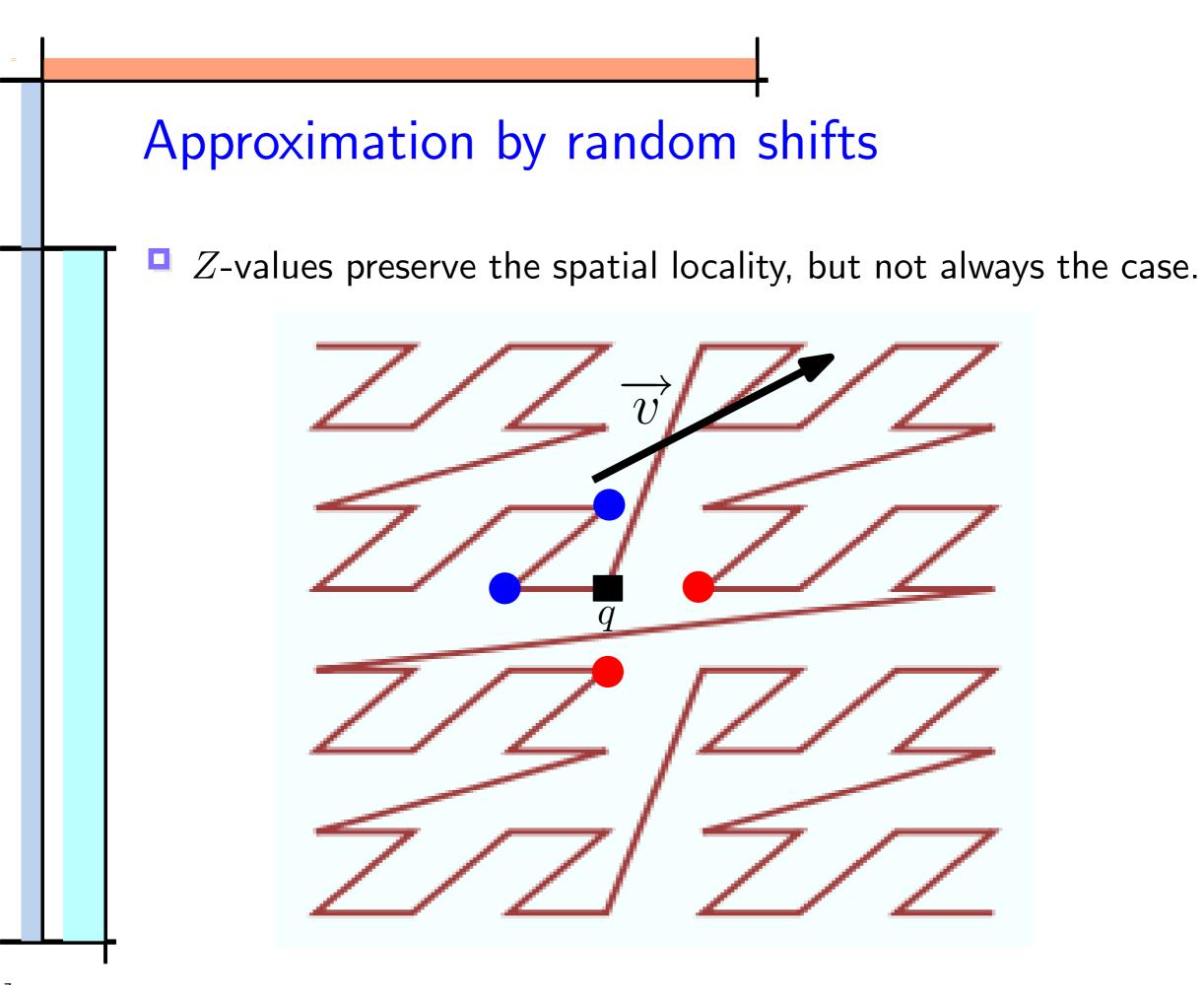


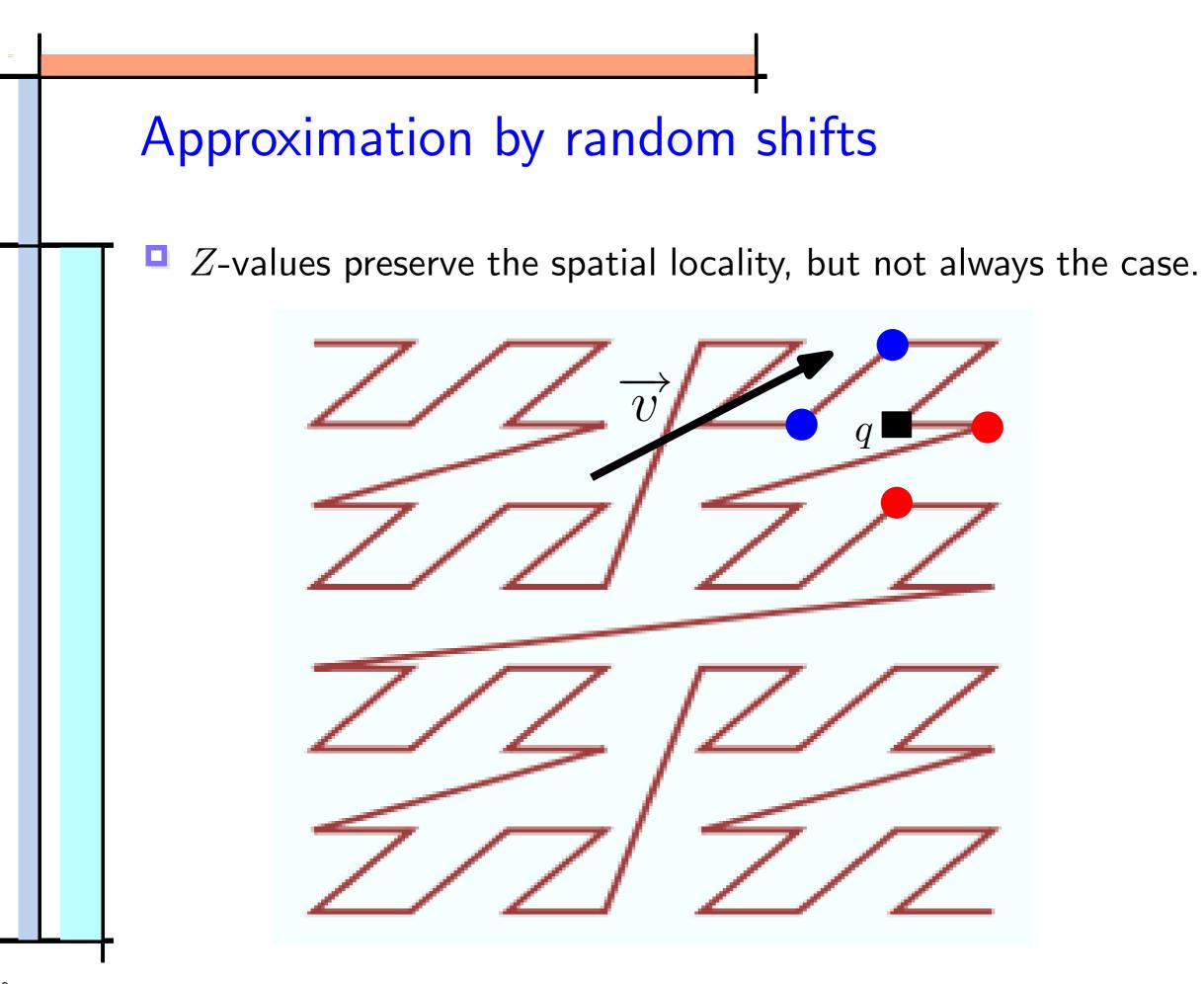


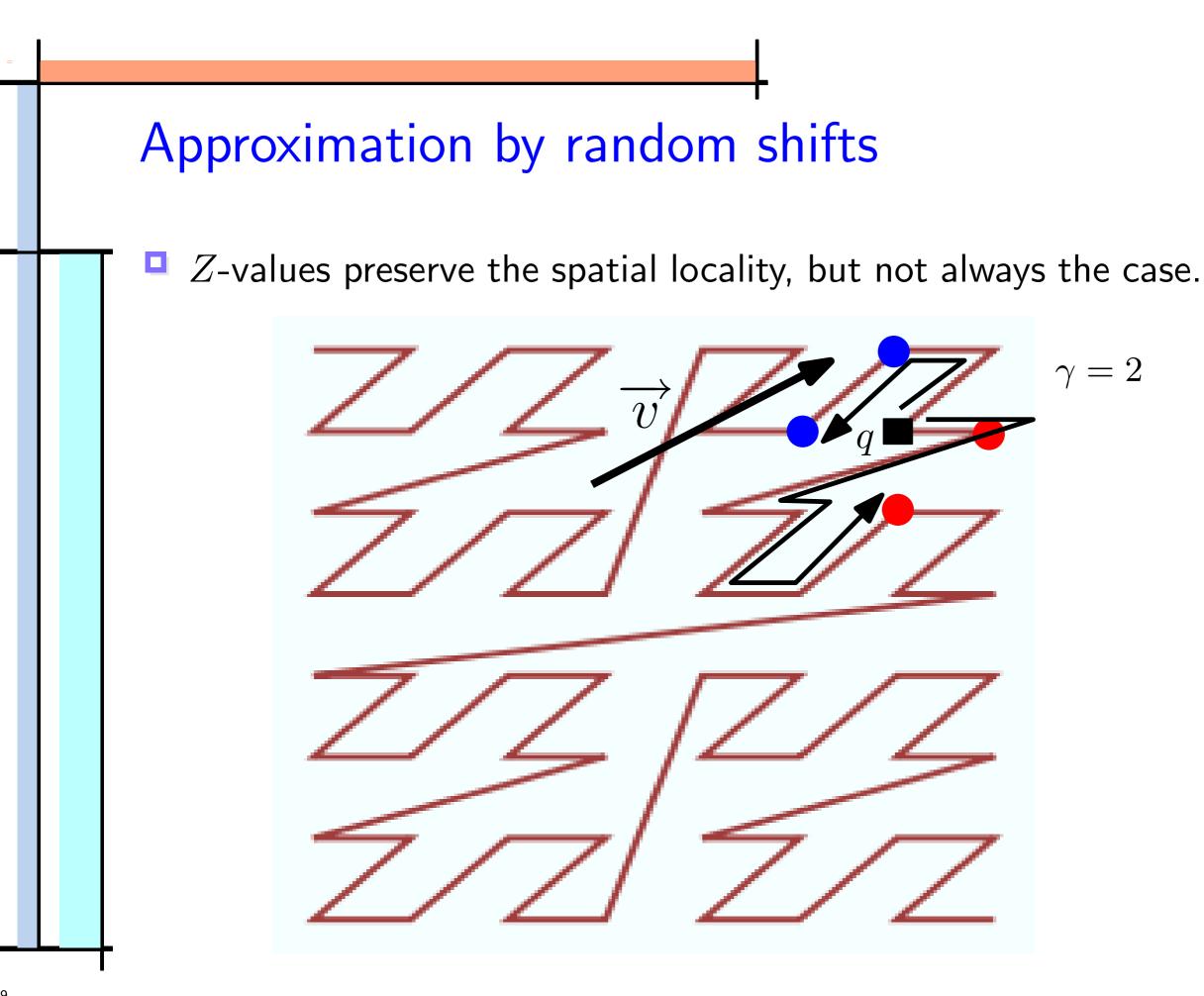
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• Our idea: produce  $\alpha$  randomly shifted copies of the input data set  $(P^0, \ldots, P^{\alpha})$  and repeat the one dimensional range search  $(\gamma = O(k)$  points up and down next to the q) for each copy.









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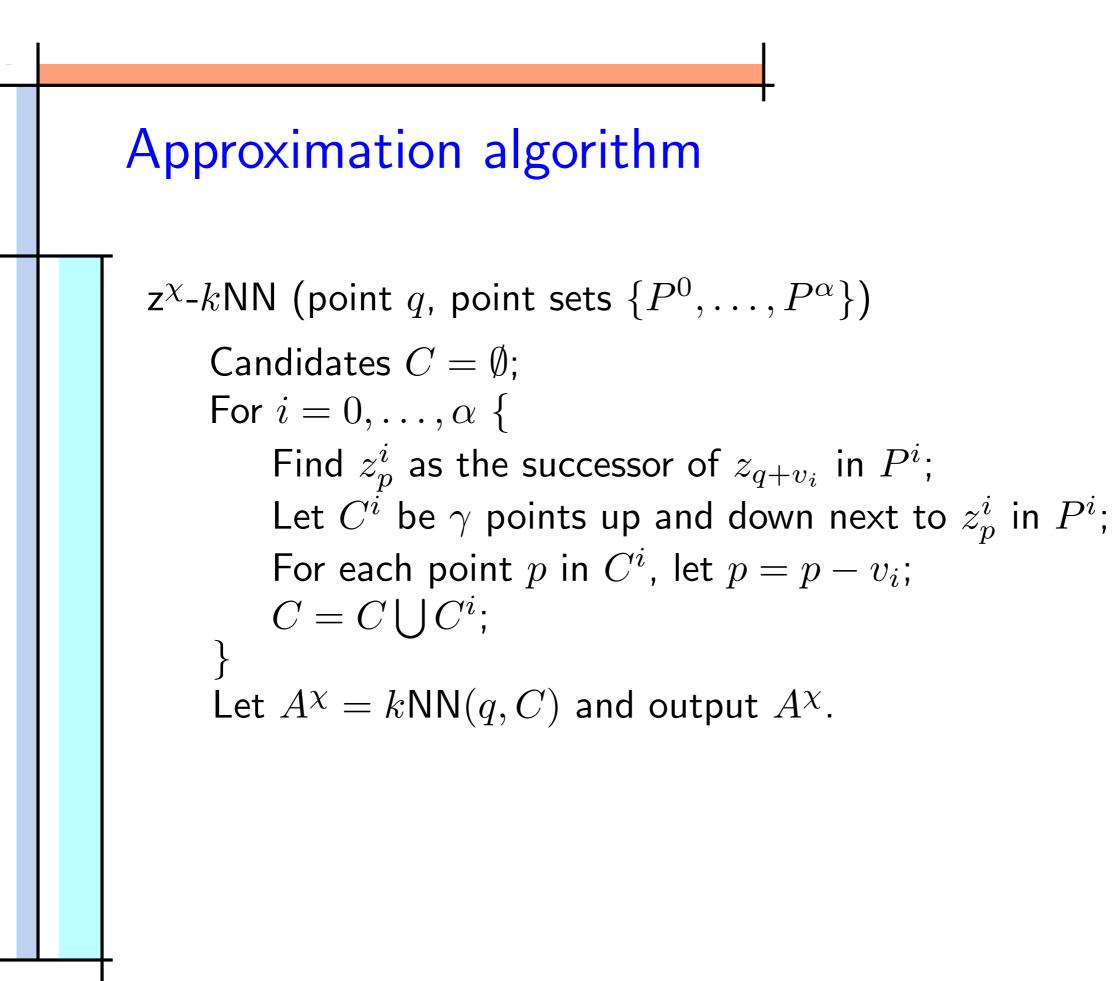
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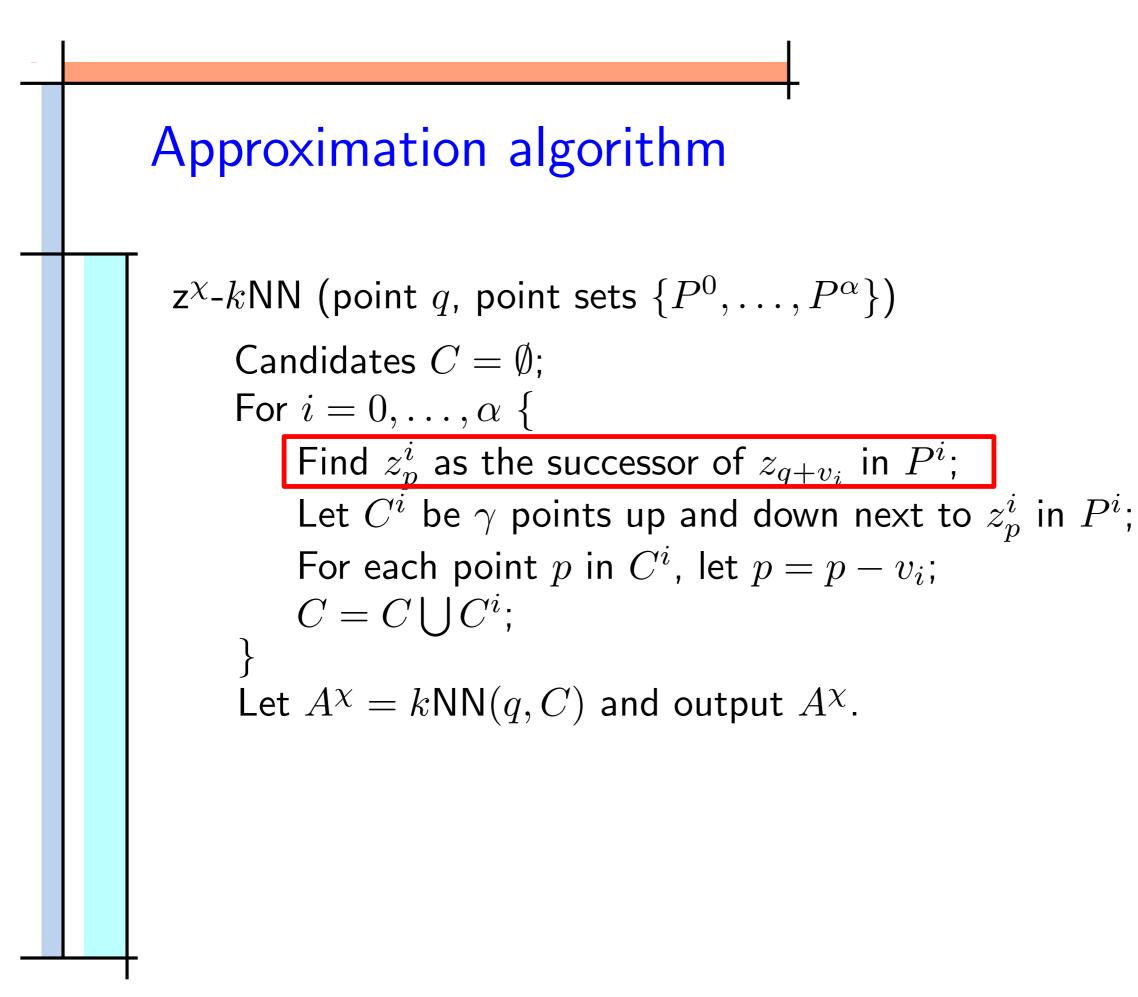
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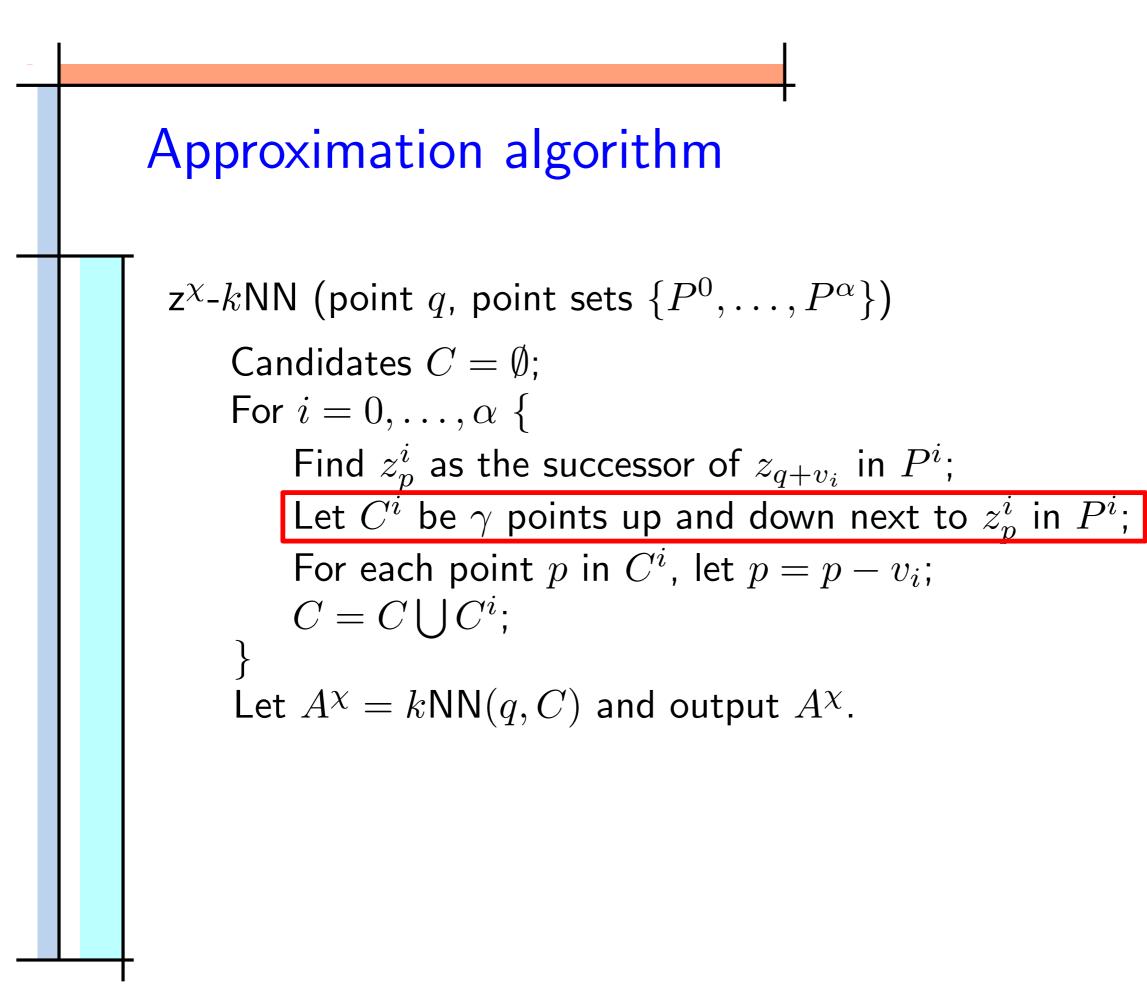
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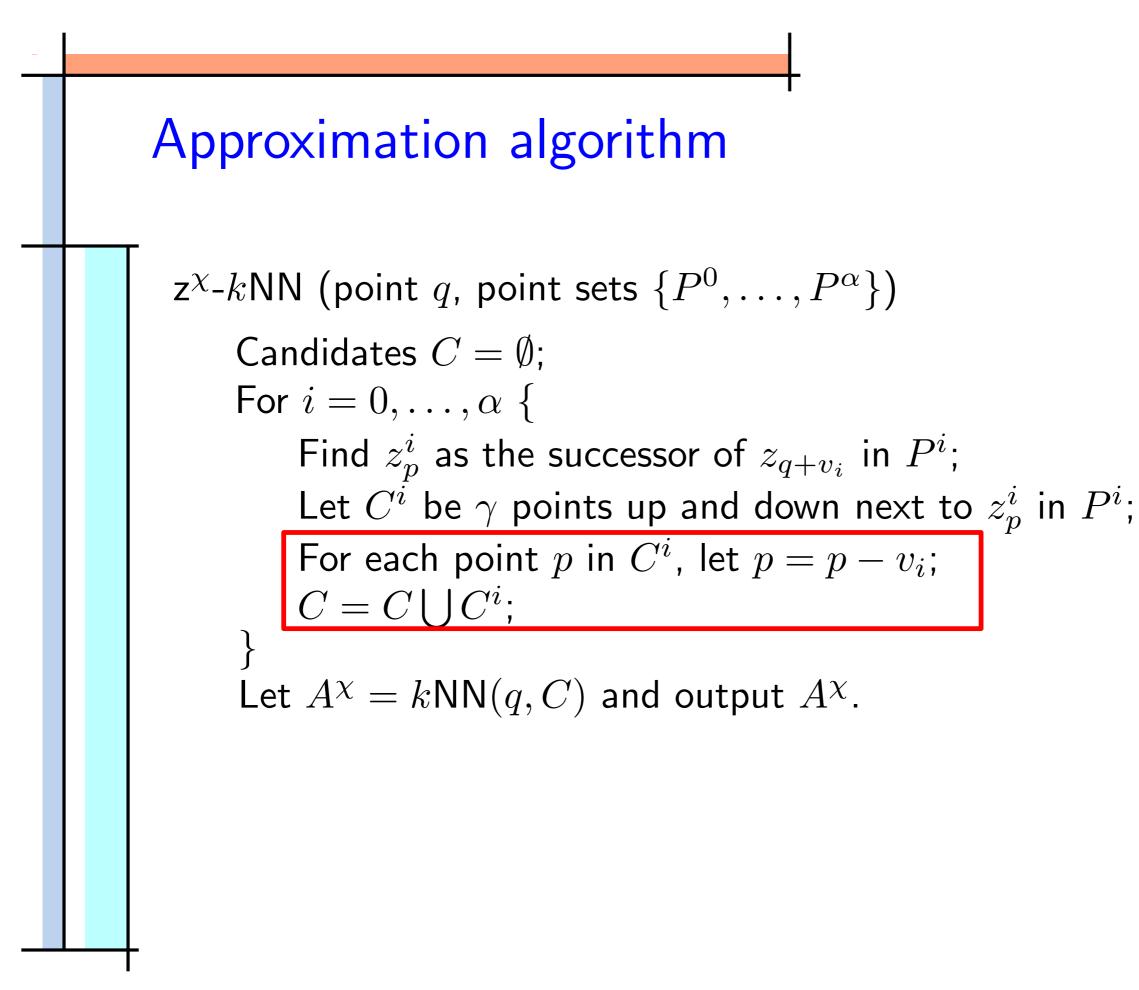
#### Theorem 1:

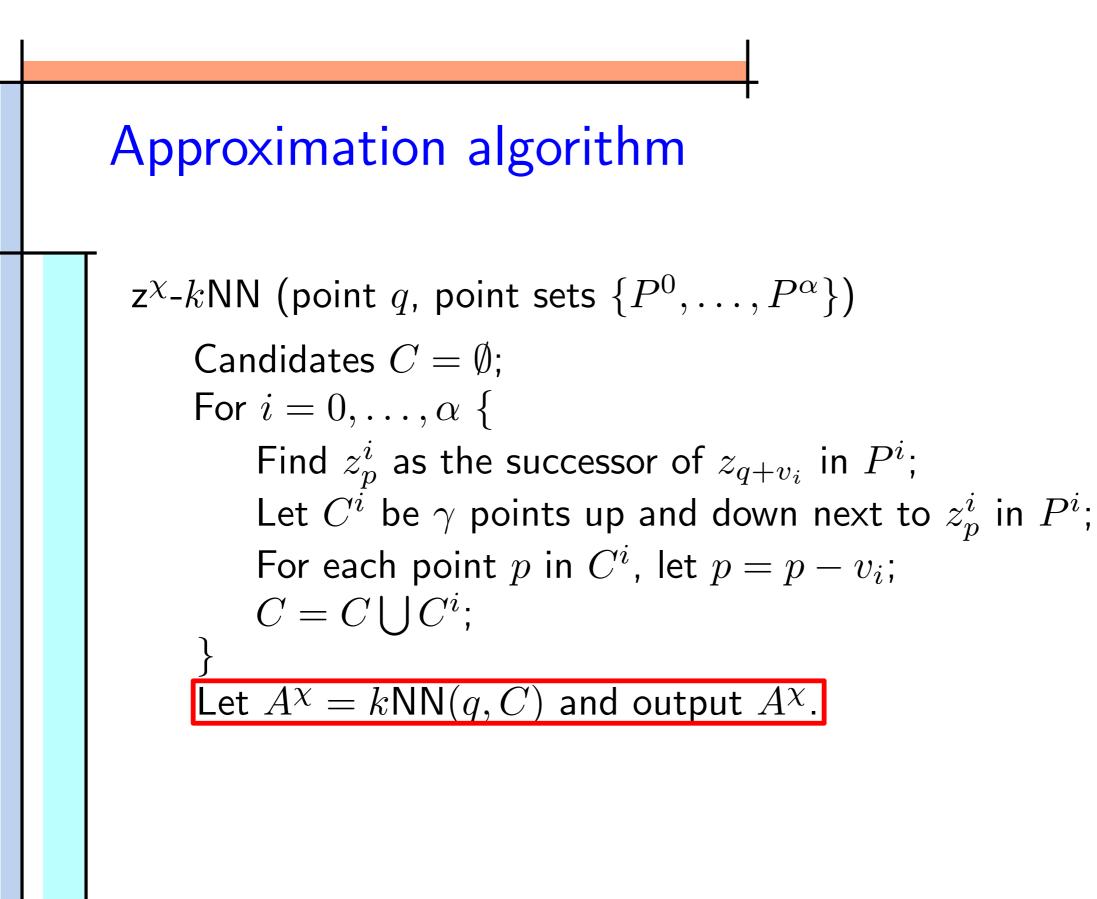
Using  $\alpha = O(1)$  and  $\gamma = O(k)$ ,  $z^{\chi}$ -kNN guarantees an expected constant factor approximate kNN result with  $O(\log_f \frac{N}{B} + k/B)$  number of page accesses (clustered index on z-values ).





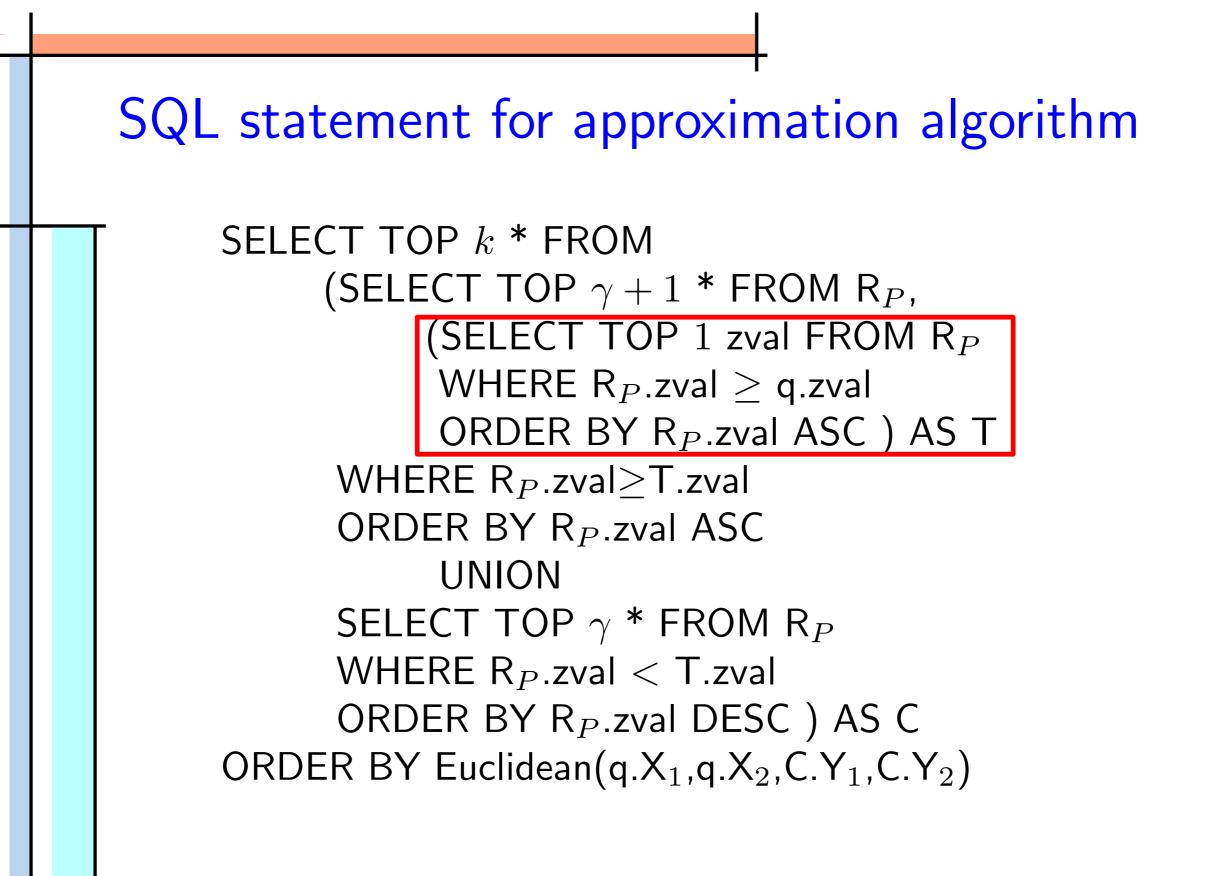


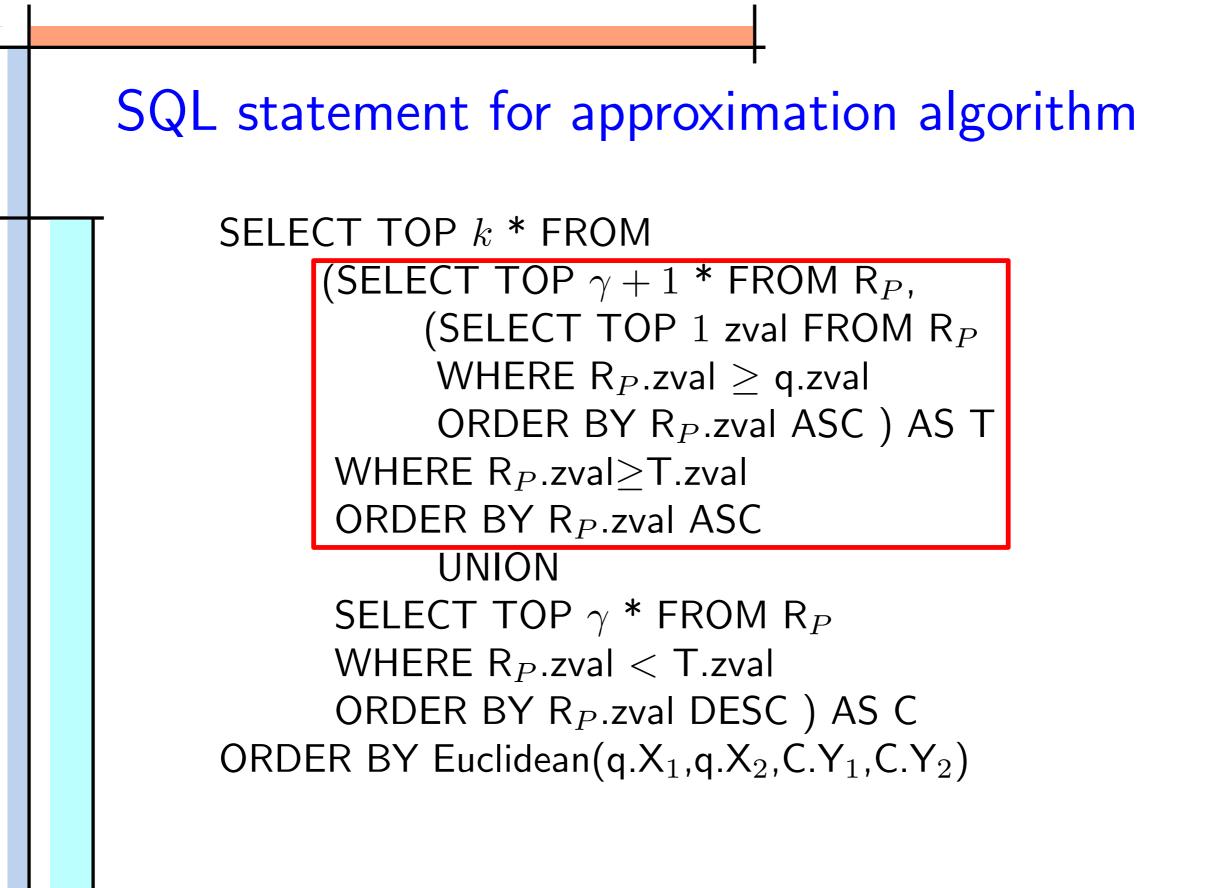


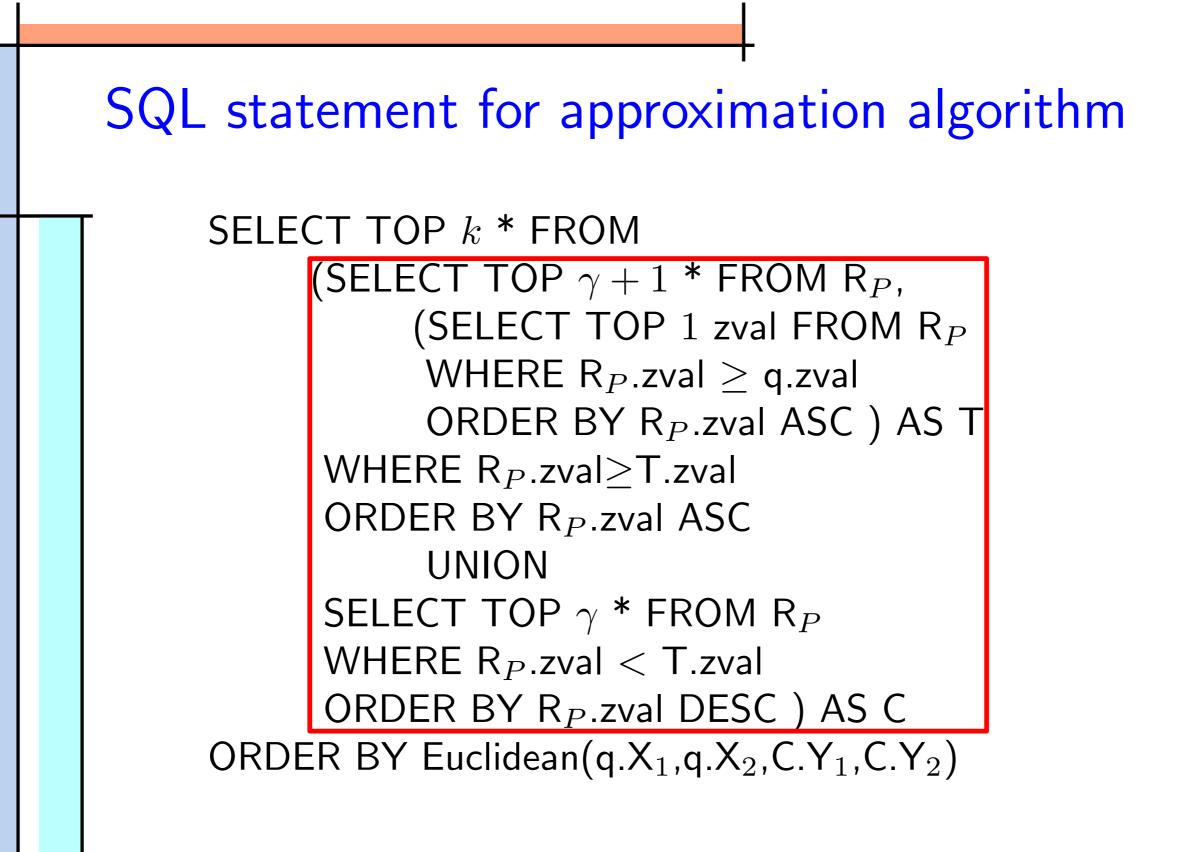


## SQL statement for approximation algorithm

SELECT TOP k \* FROM(SELECT TOP  $\gamma + 1 * \text{FROM } R_P$ , (SELECT TOP 1 zval FROM  $R_P$ WHERE  $R_P$ .zval > q.zval ORDER BY  $R_P$ .zval ASC ) AS T WHERE  $R_P.zval \ge T.zval$ ORDER BY R<sub>P</sub>.zval ASC UNION SELECT TOP  $\gamma$  \* FROM R<sub>P</sub> WHERE  $R_P$ .zval < T.zval ORDER BY  $R_P$ .zval DESC ) AS C ORDER BY Euclidean $(q.X_1,q.X_2,C.Y_1,C.Y_2)$ 

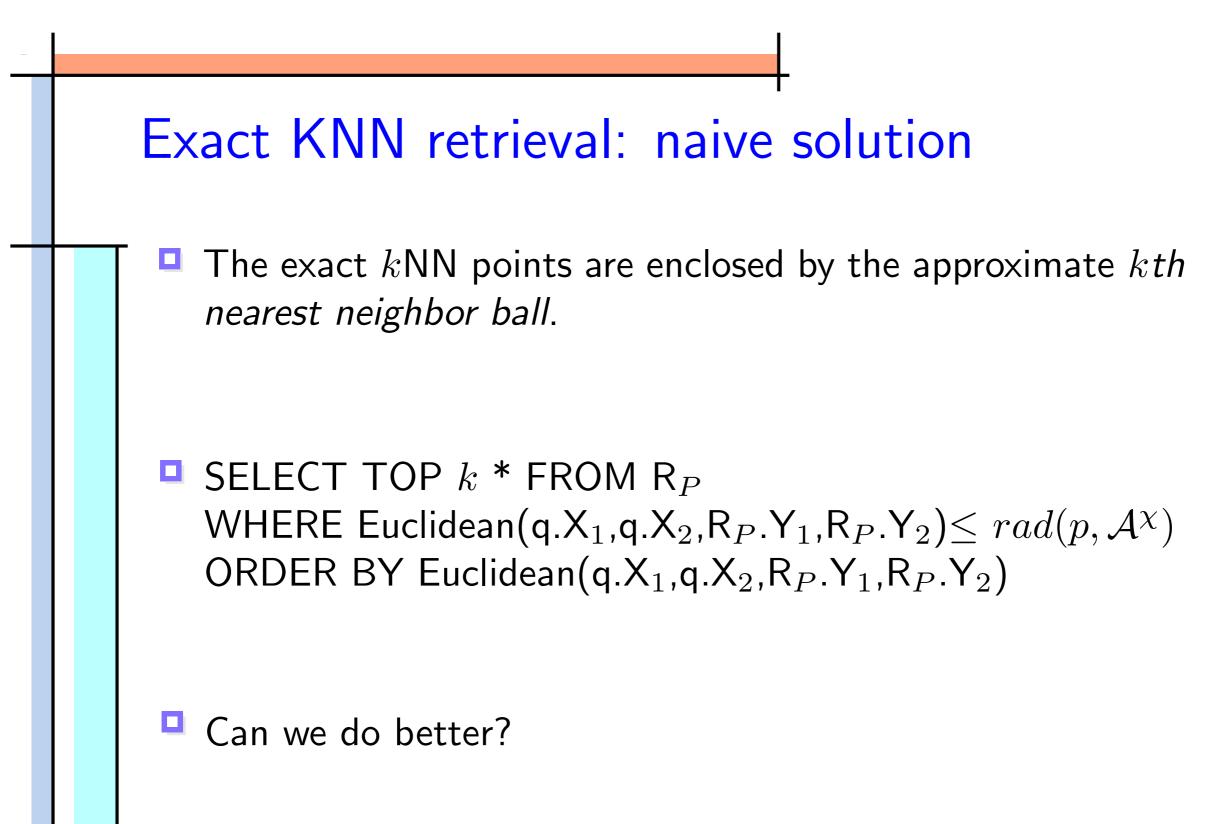


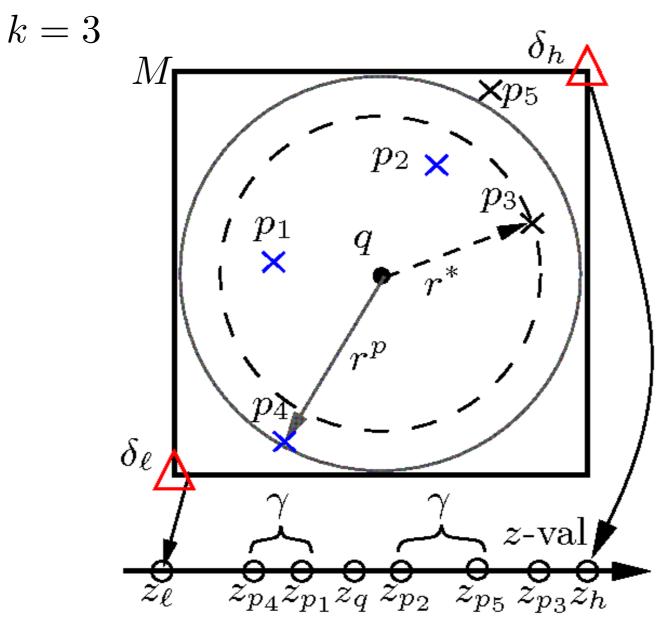


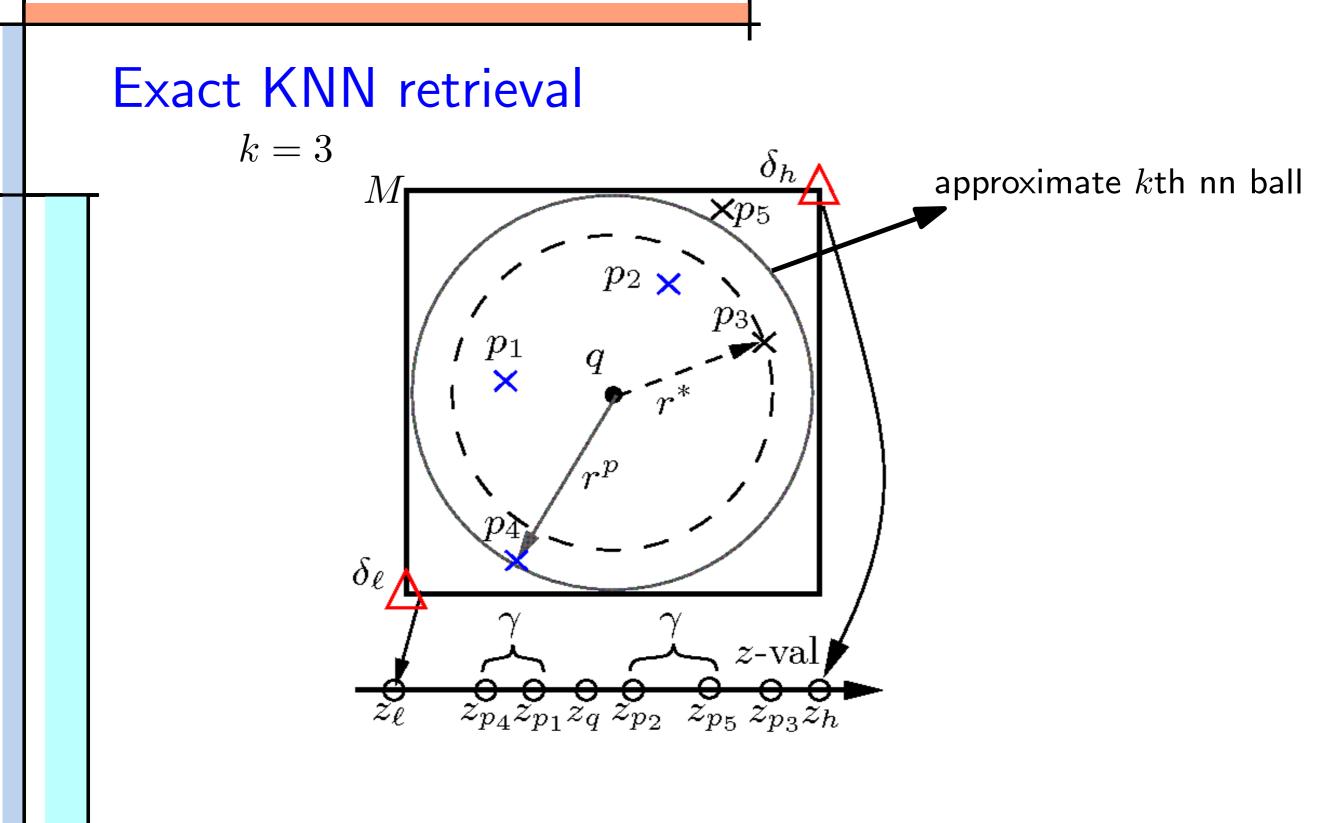


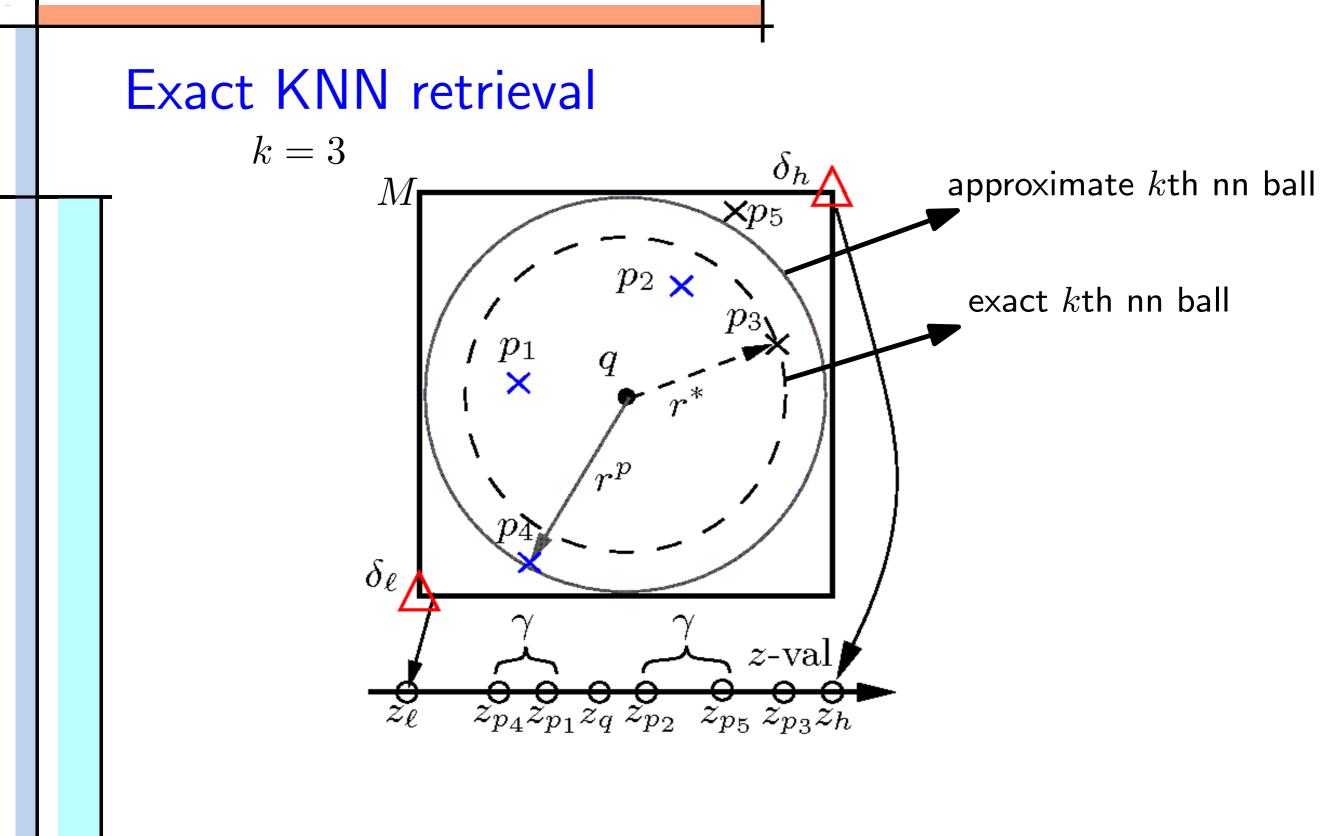
# Exact KNN retrieval: naive solution The exact kNN points are enclosed by the approximate kthnearest neighbor ball.

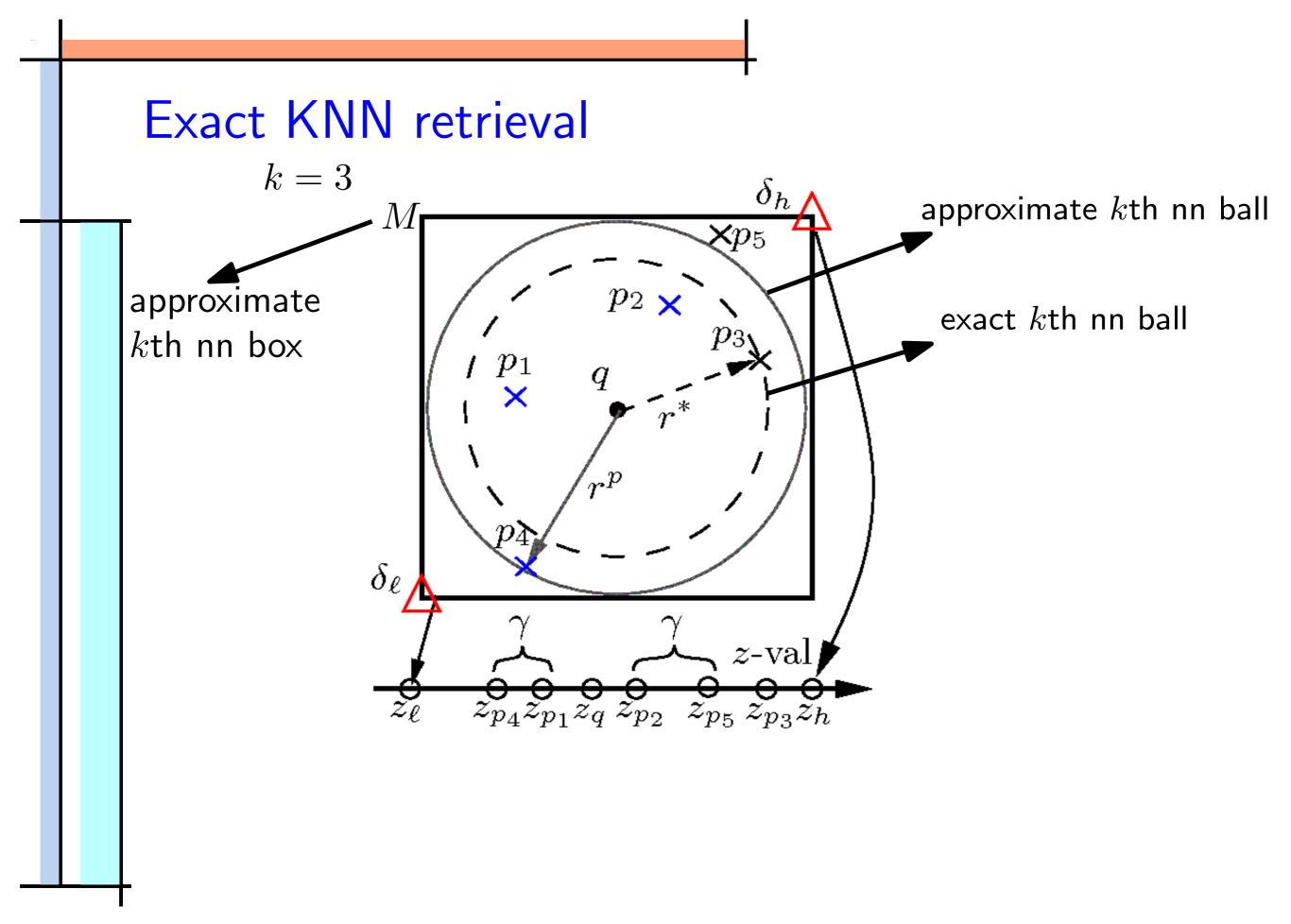
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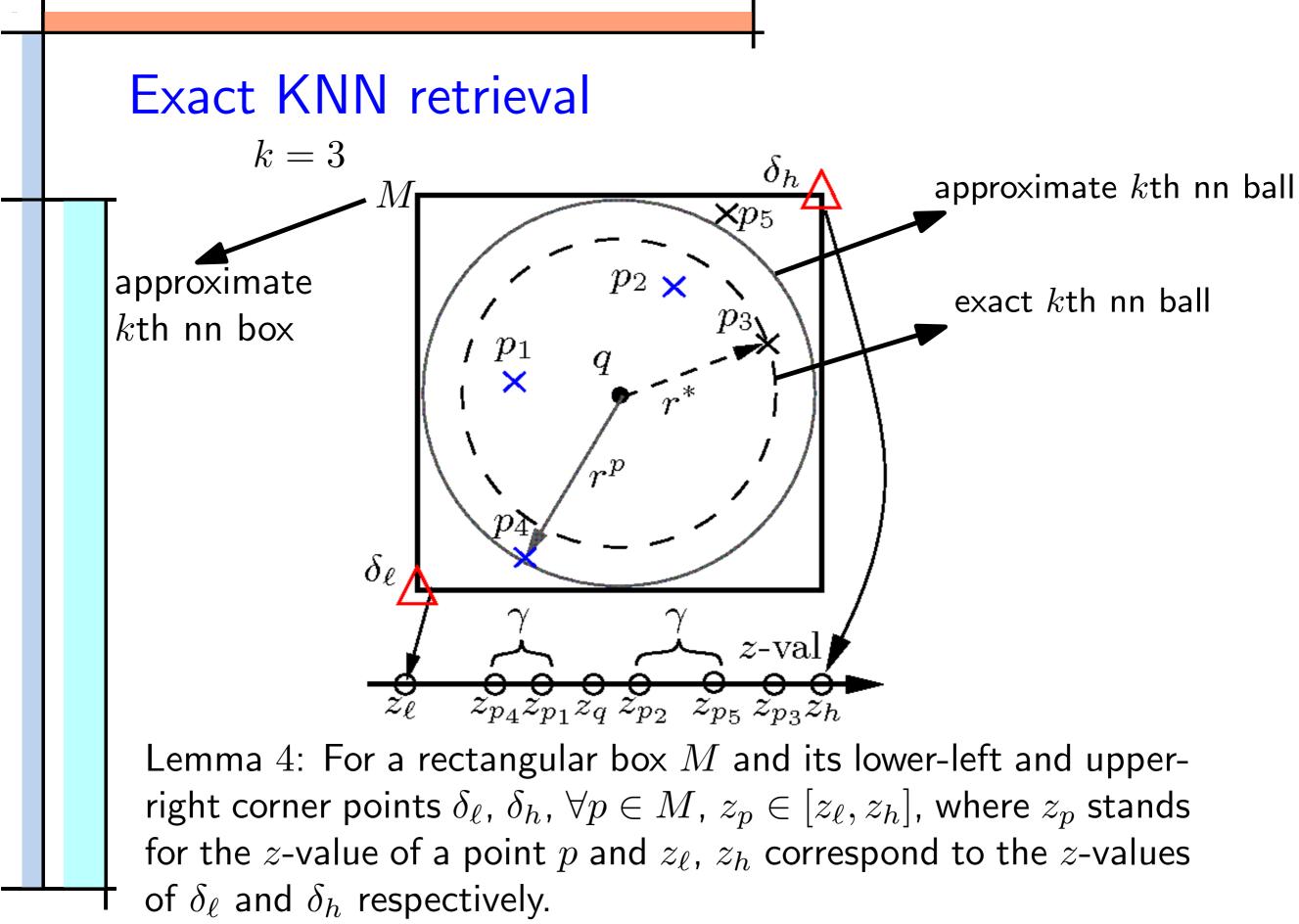


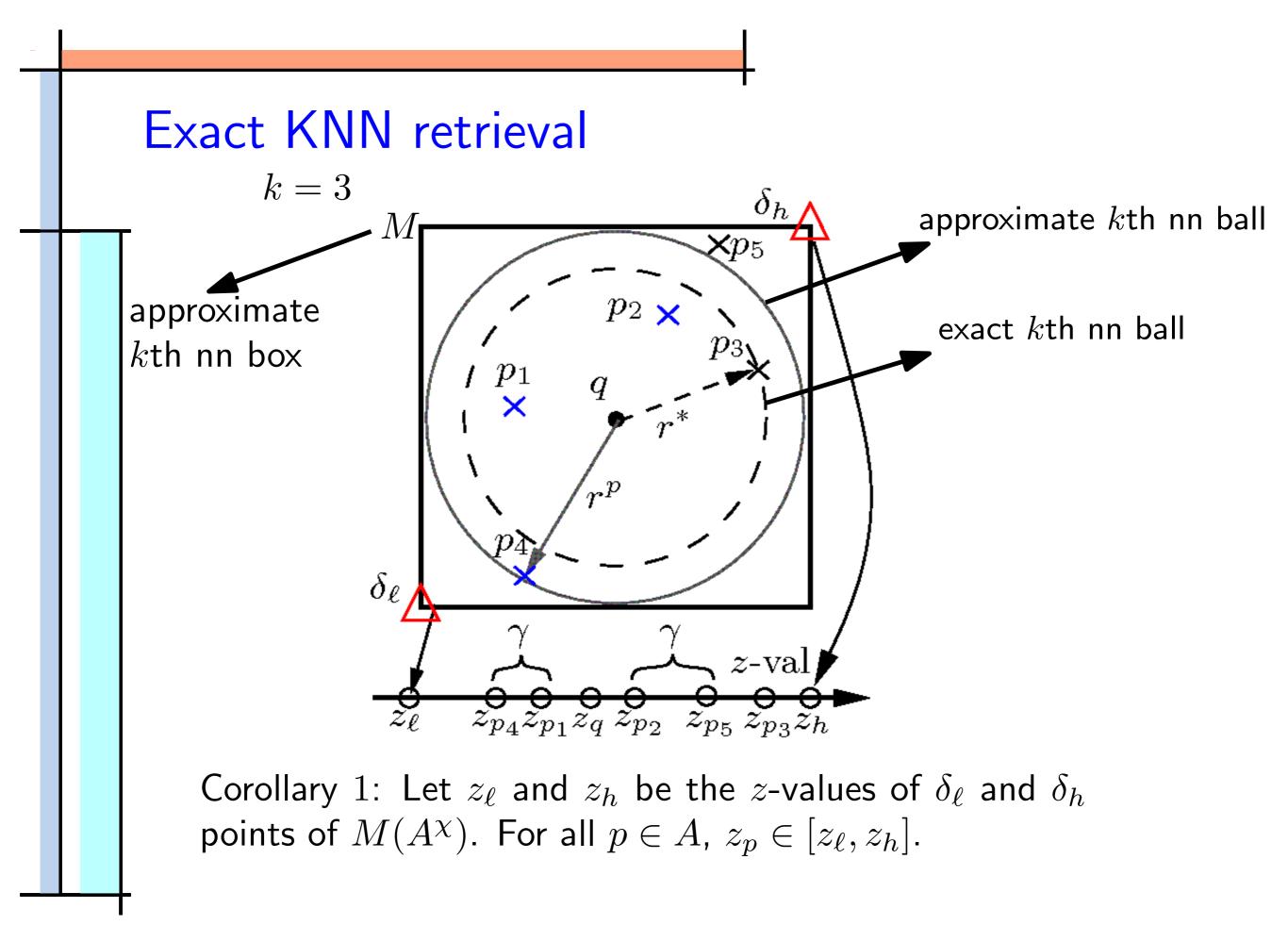


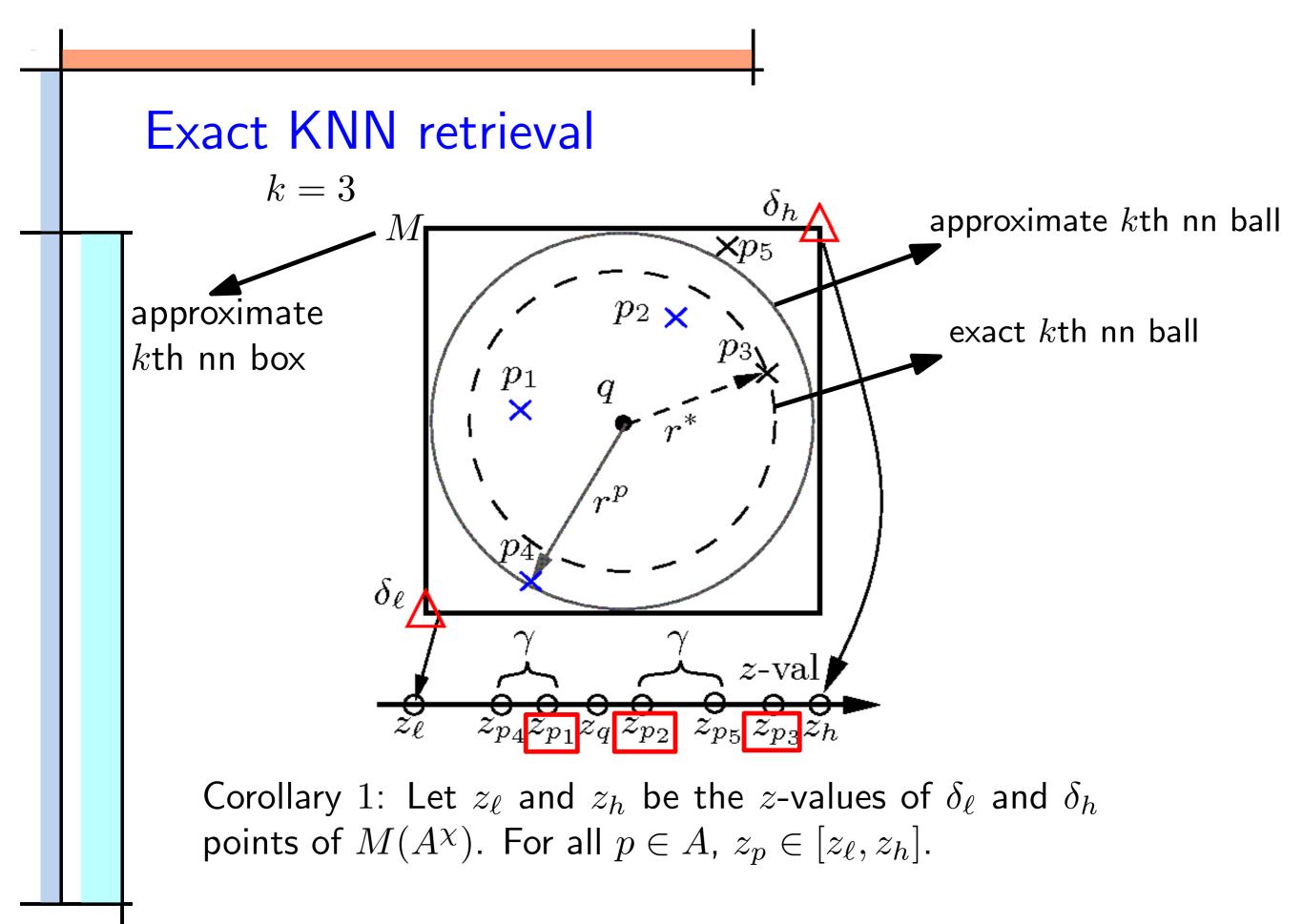


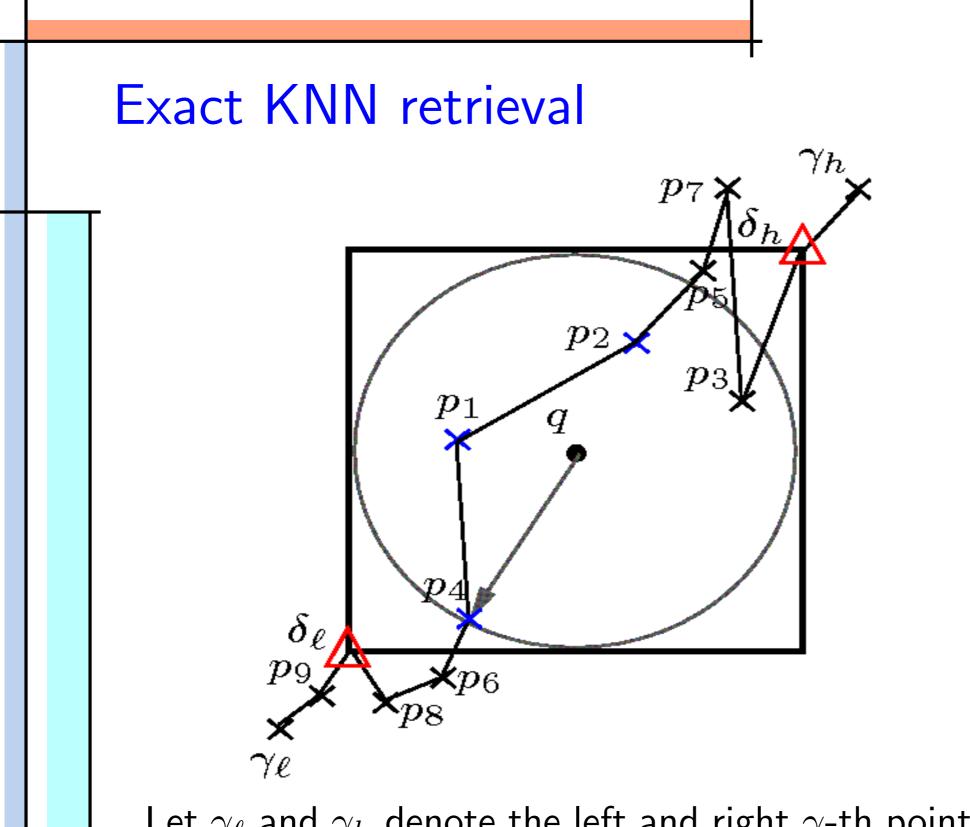




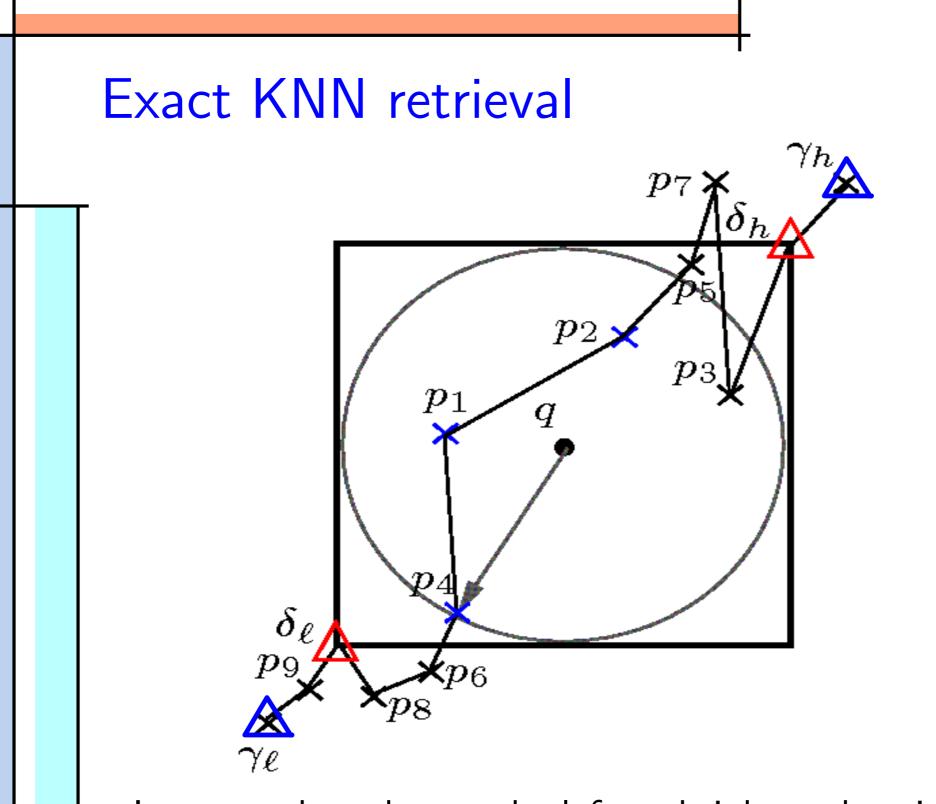




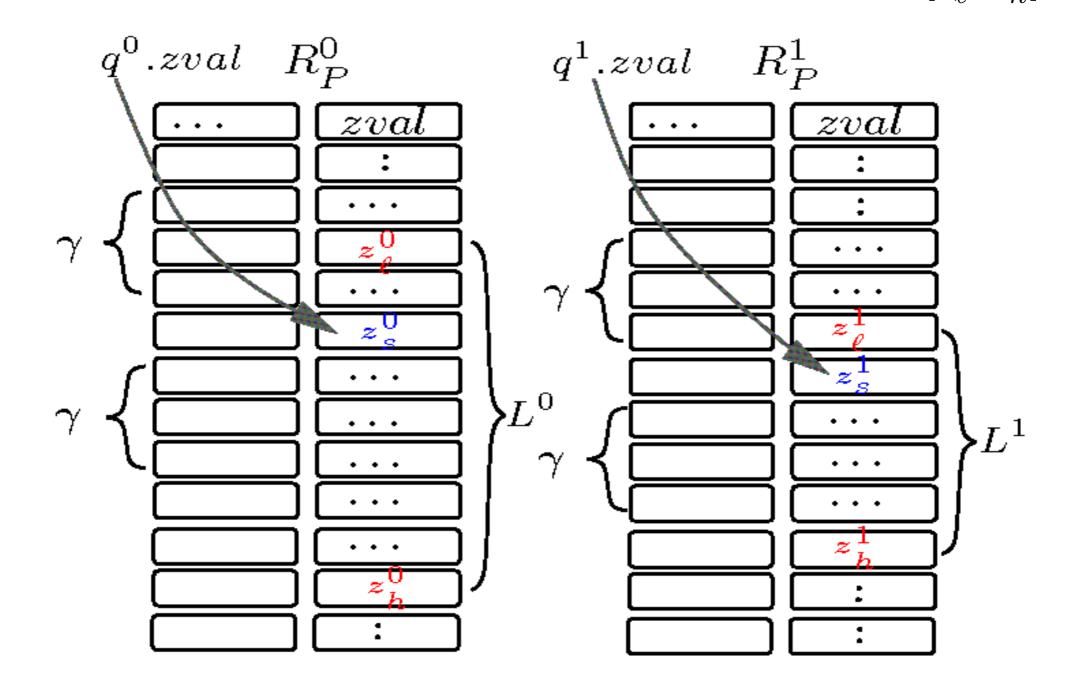


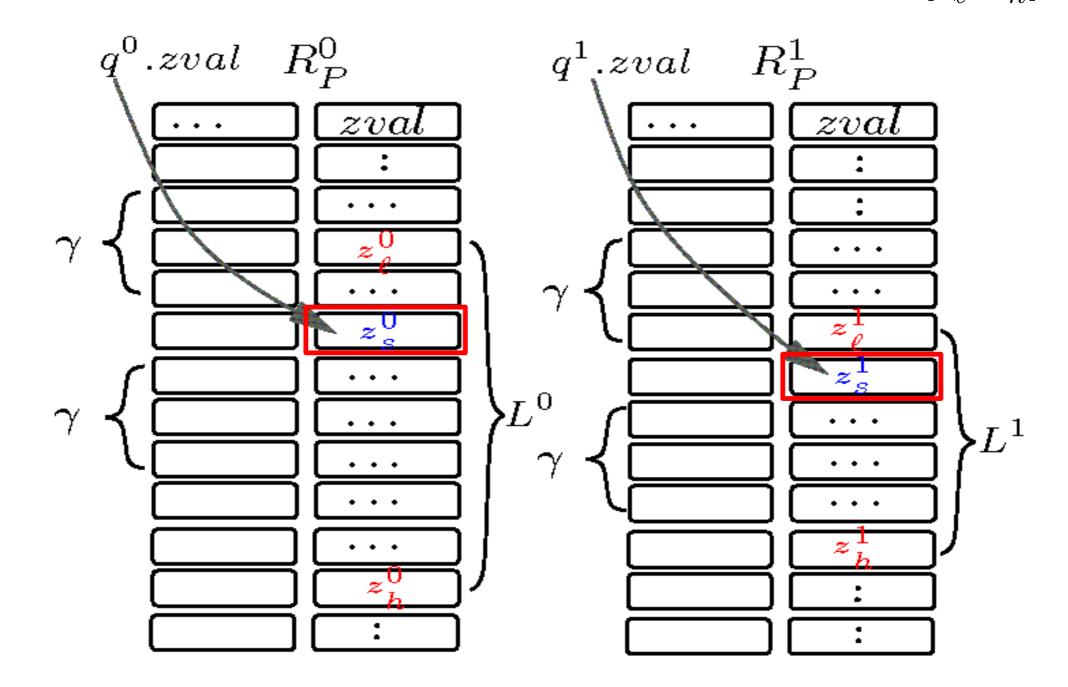


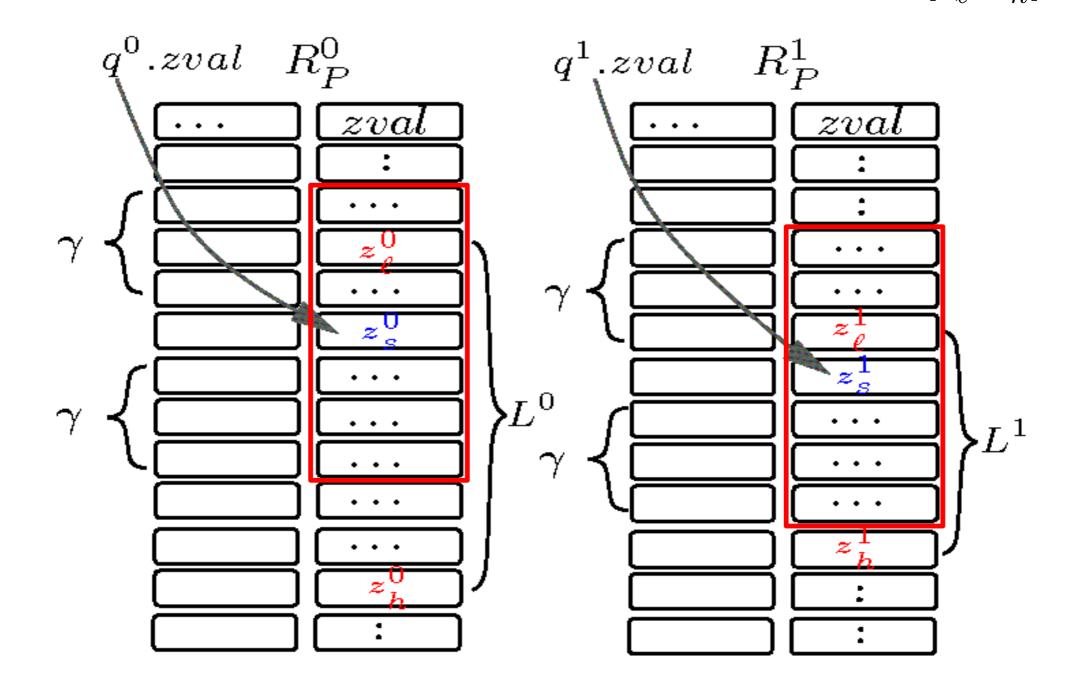
Let  $\gamma_{\ell}$  and  $\gamma_h$  denote the left and right  $\gamma$ -th points close to the query point, if  $z_{\gamma_{\ell}} \leq z_{\ell}$  and  $z_{\gamma_h} \geq z_h$  in *at least* one of the  $\alpha$  tables,  $A^{\chi} = A$ 

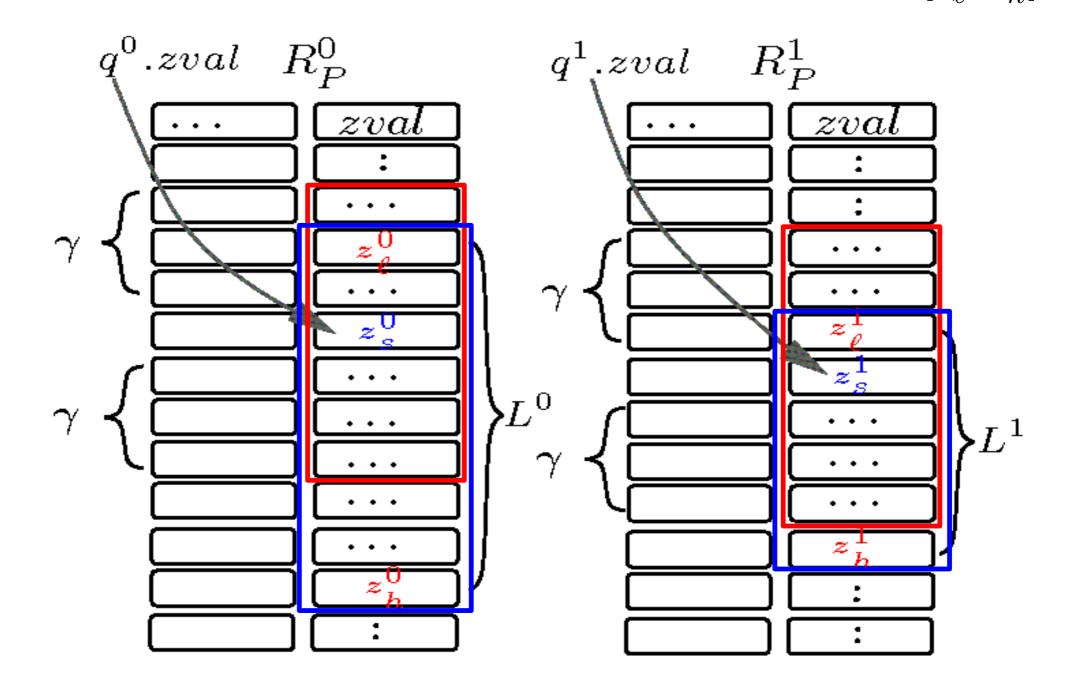


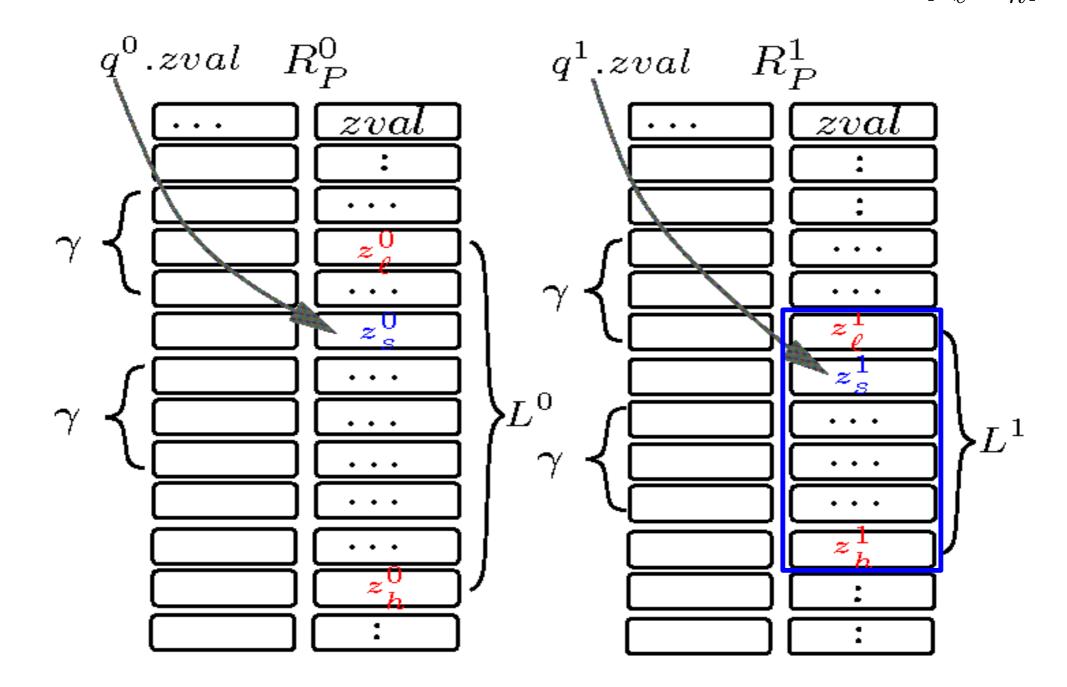
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- Updates: for deletion, delete record r based on its *pid* from all talbes R<sup>0</sup>,..., R<sup>α</sup>; for insertion, calculate the z-values of the point for all randomly shifted versions, insert them into corresponding tables.

 All algorithms are implemented in Microsoft SQL Server 2005. Experiments are conducted on an Intel Xeon CPU @ 2.33GHz. The memory of the SQL Server is set to 1.5GB.

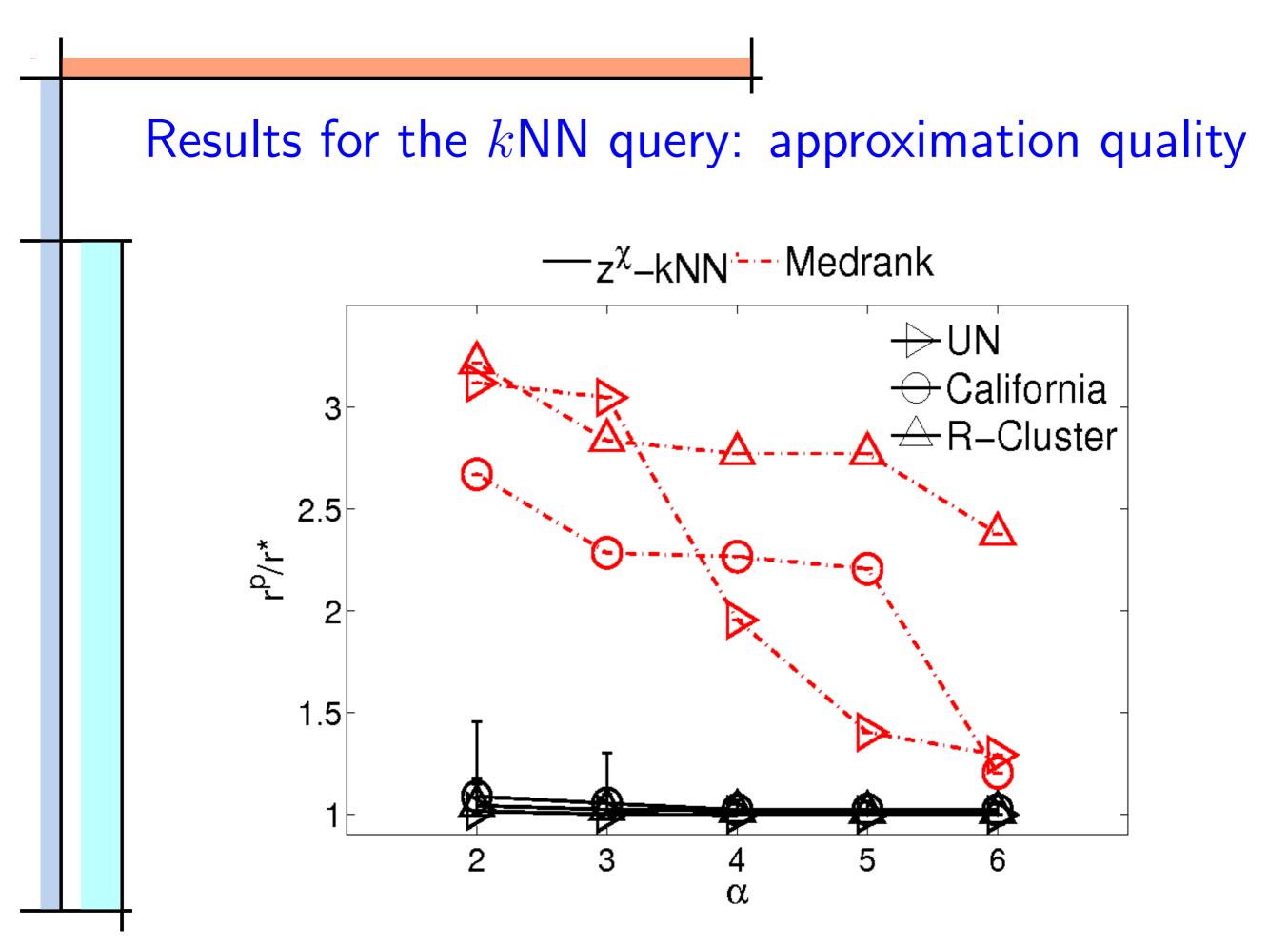
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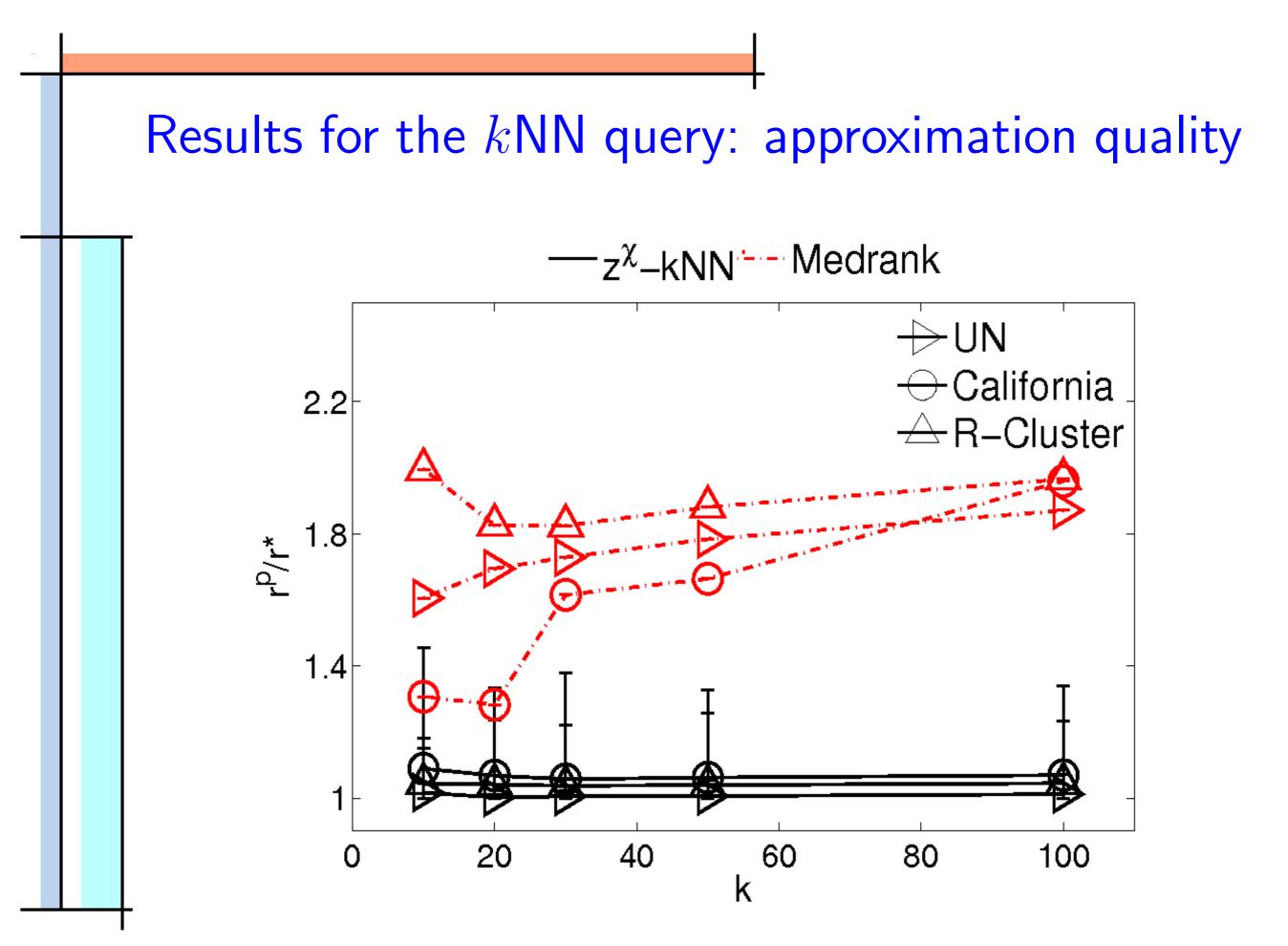
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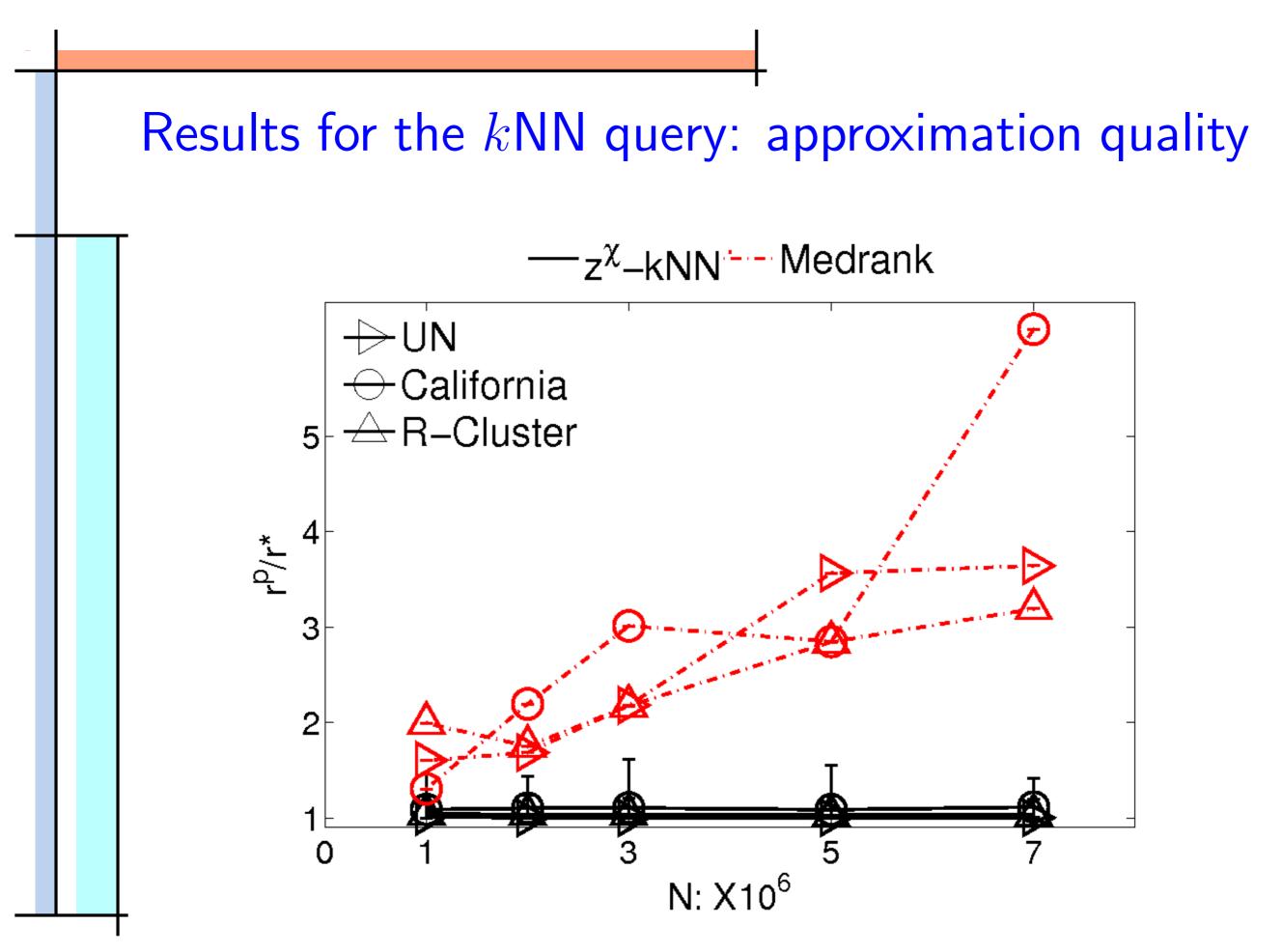
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- Compare against the Medrank and iDistance algorithms (implemented by SQL statement and store precedure).

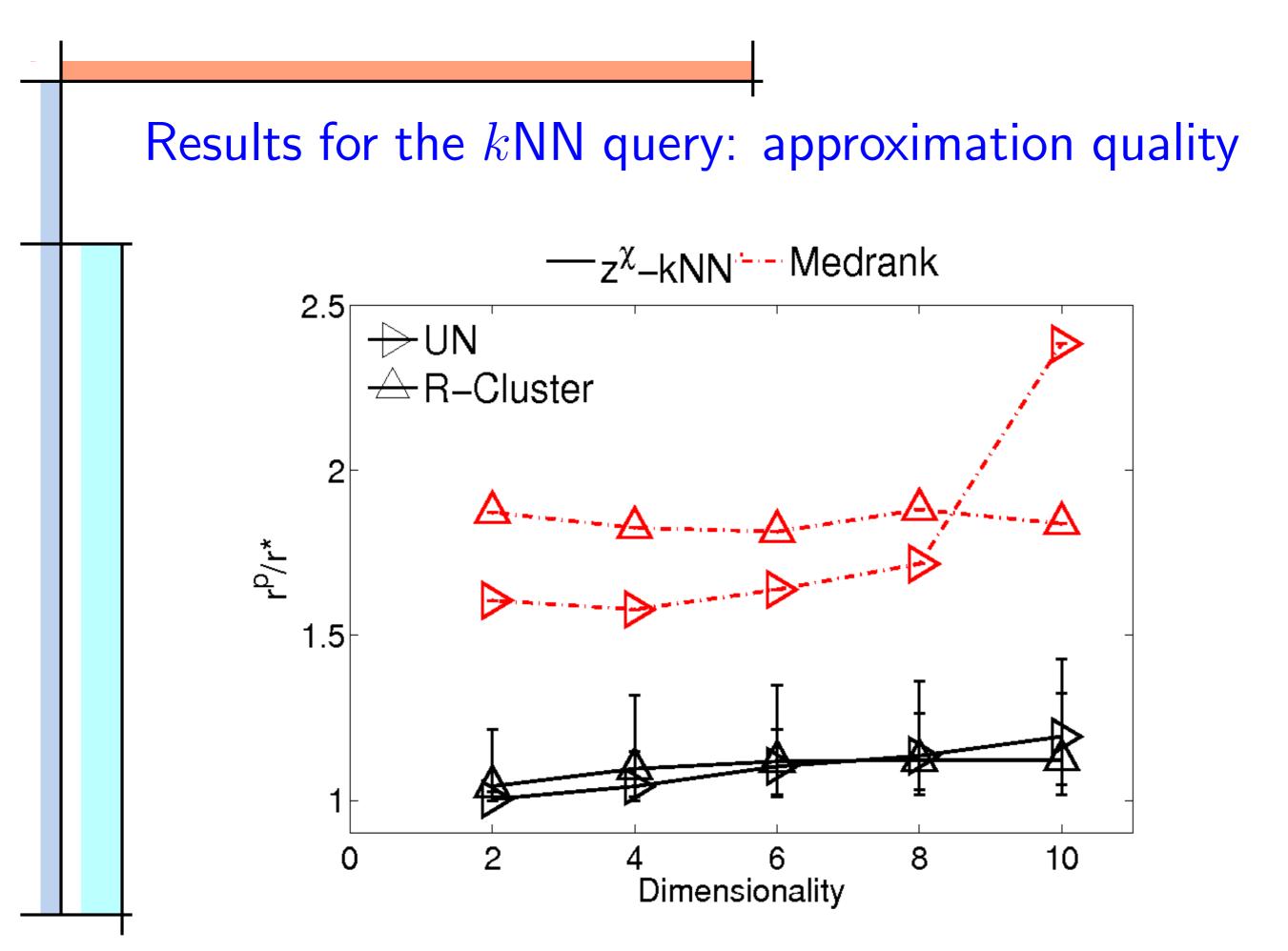
The default experimental parameters are summarized below

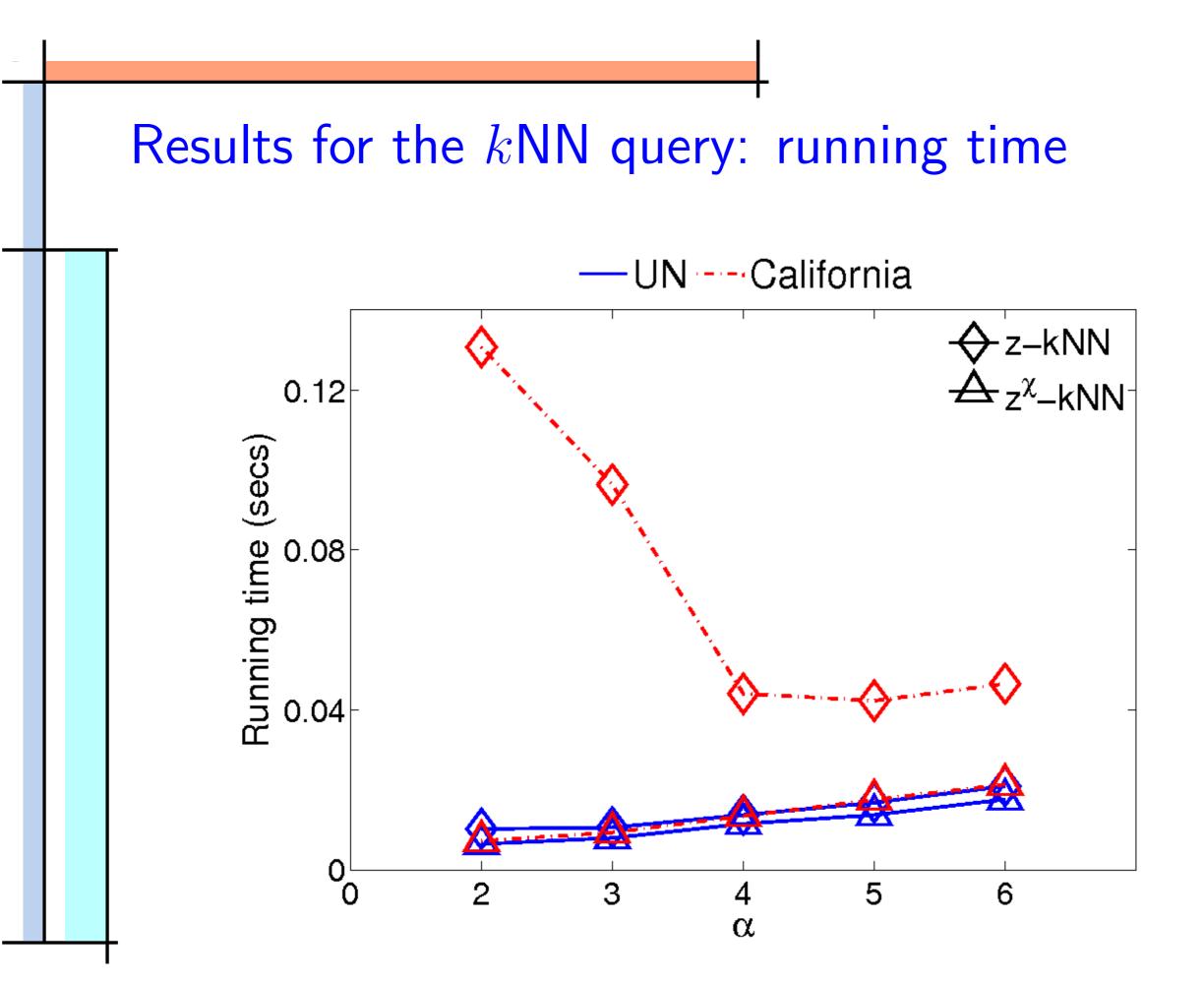
Symbol	Definition	Default Value
k	number of neighbors	10
Ν	size of points set	1,000,000
lpha	randomly shifted copies	2
$\gamma$	number of points up and down	2k
d	dimensionality	2

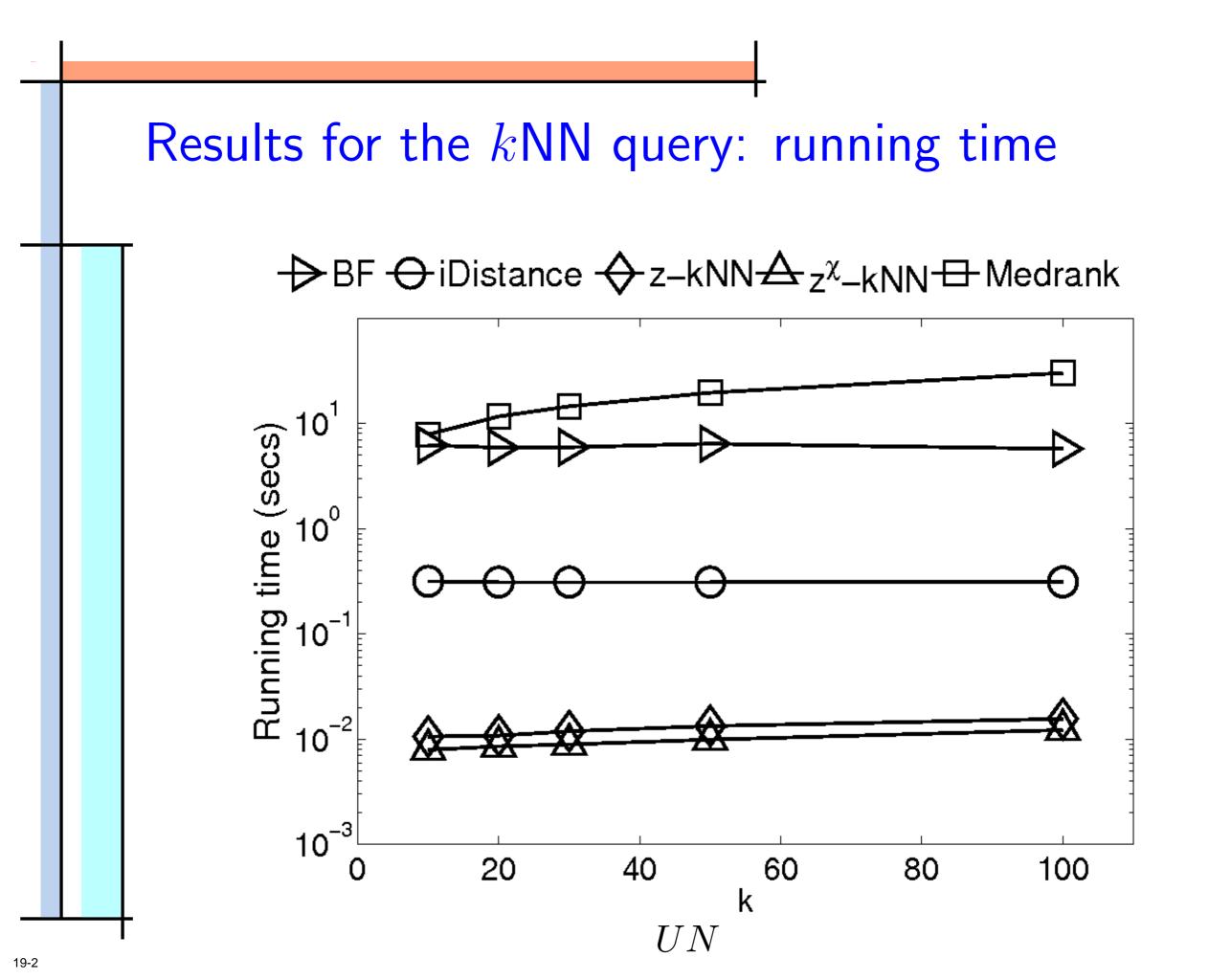


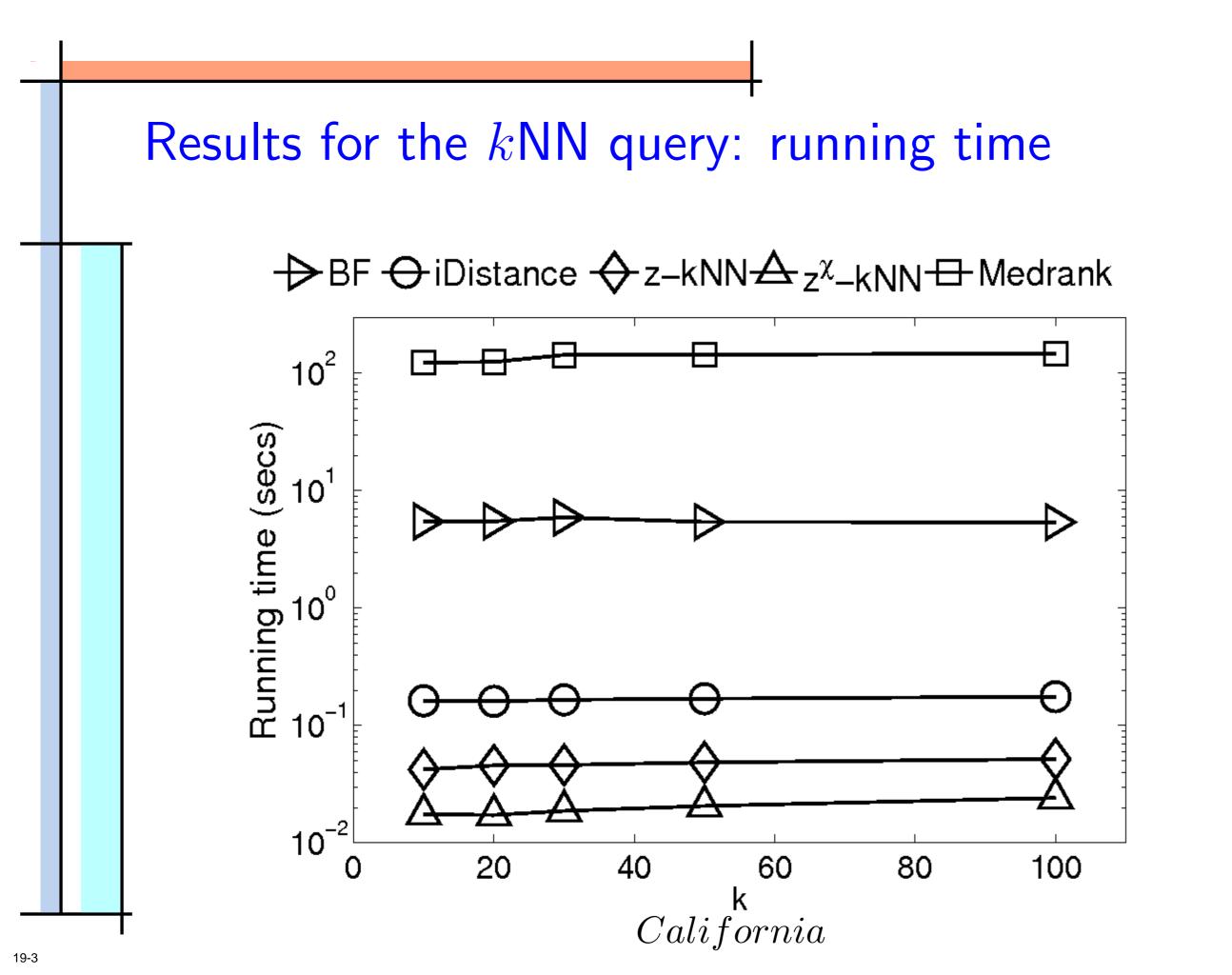


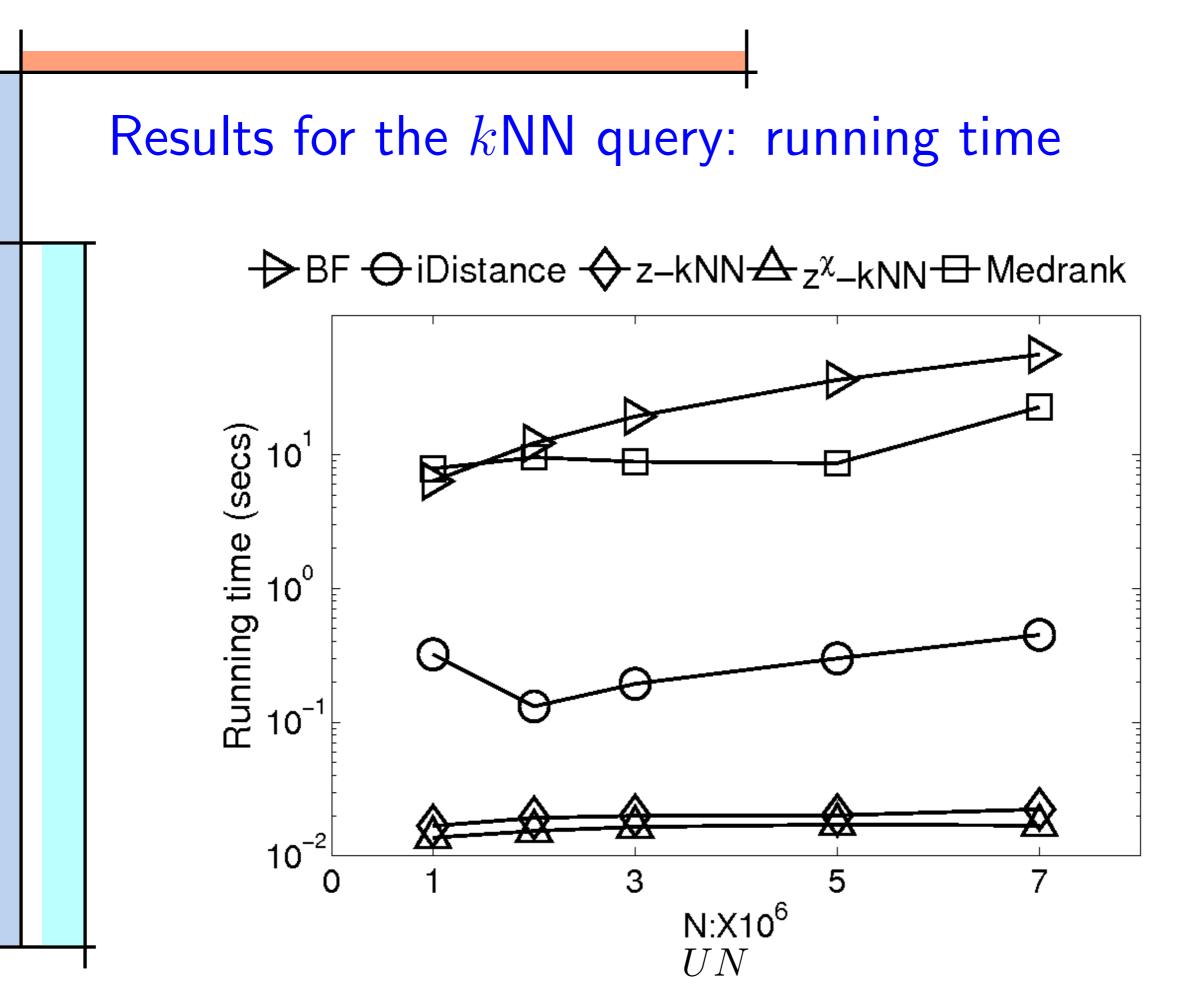


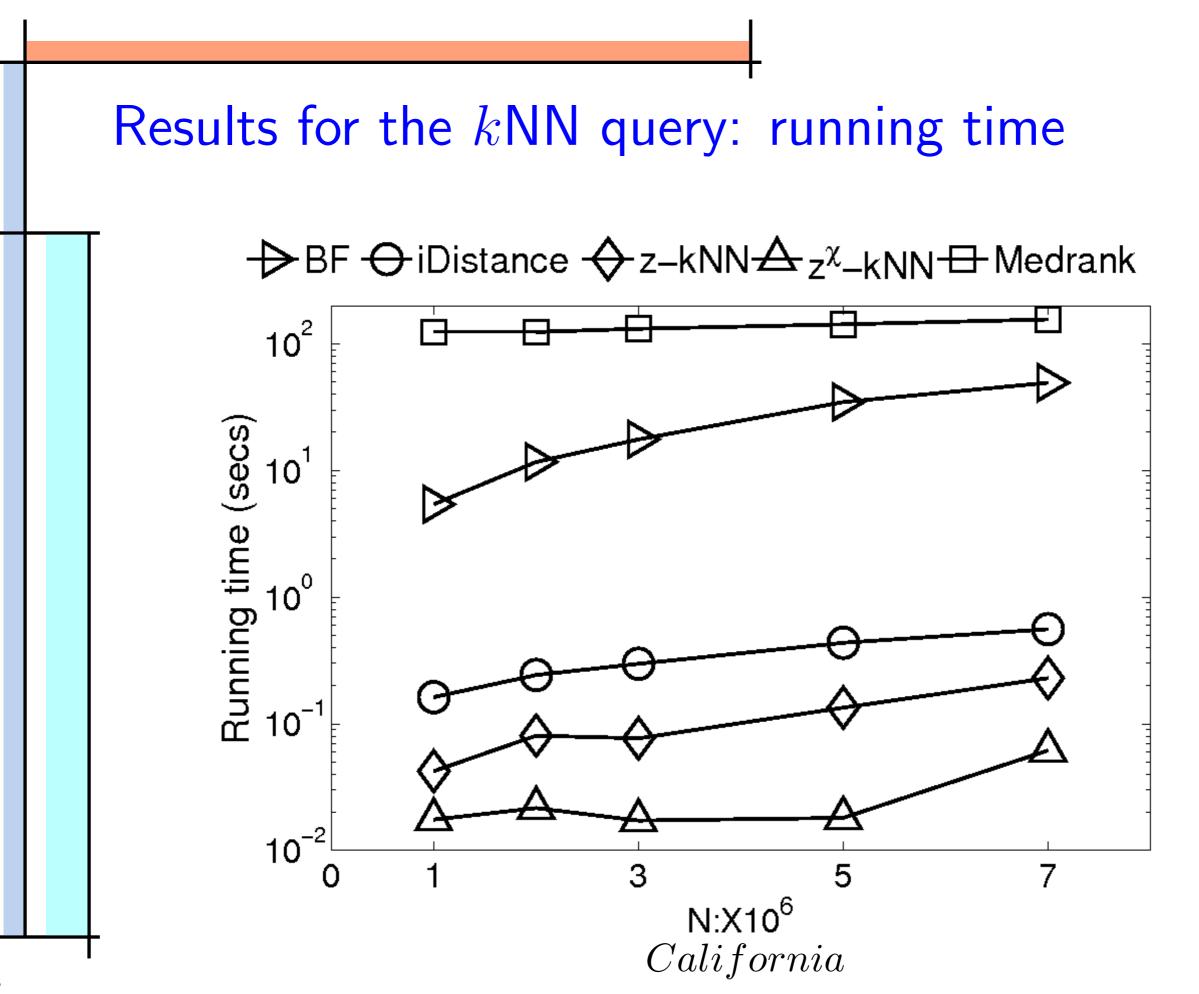


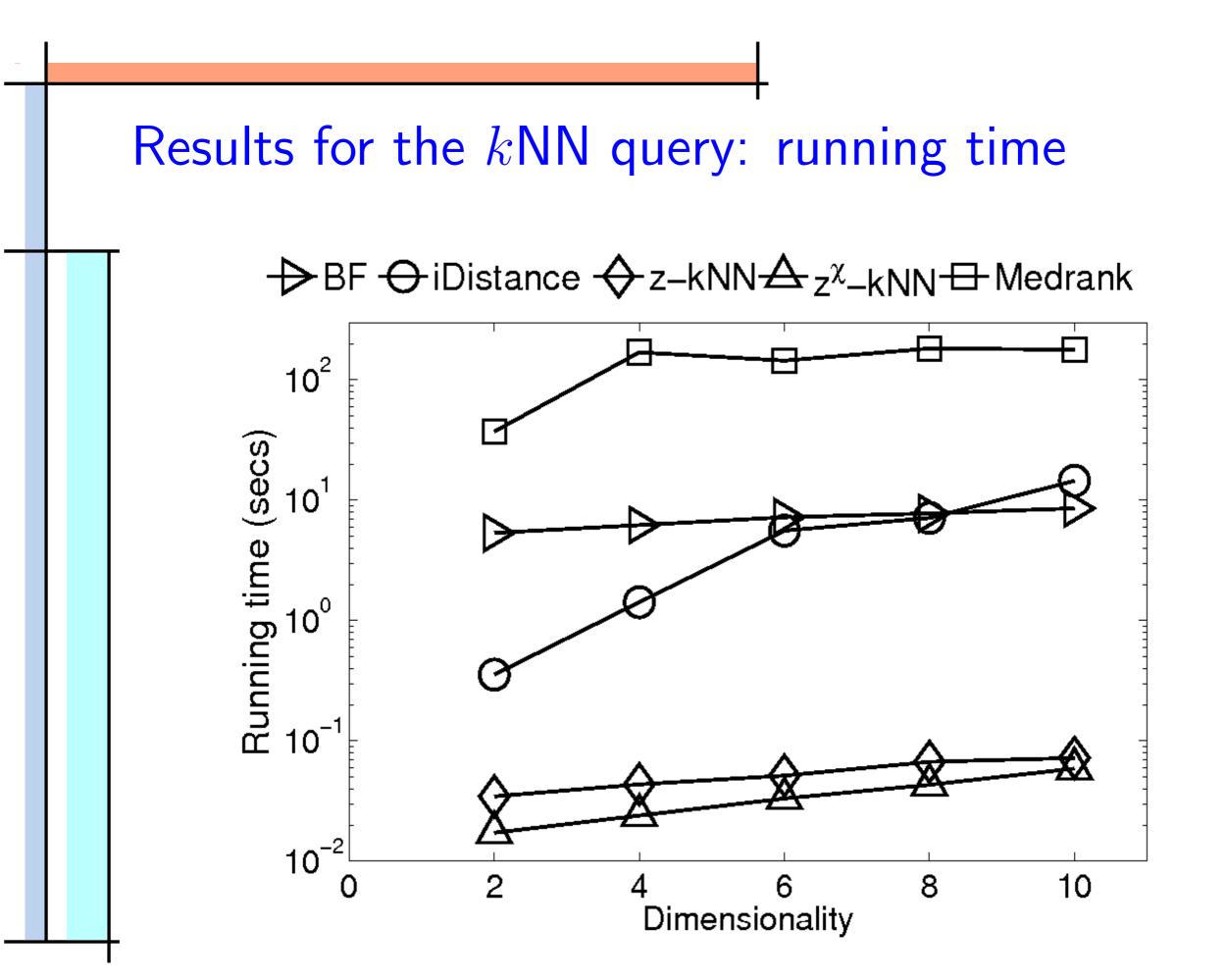












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- Future research:
  - Study other related, interesting queries in this framework, e.g., the reverse nearest neighbor queries.
  - Examine the relational algorithms to the data space other than the  $L_p$ -norms, such as the road networks.

