## CHAPTER 8: NETWORK SECURITY

- Introduction
- Cryptography
- Symmetric-key algorithms
- Public-key algorithms
- Digital signatures
- Management of public keys
- Communication security
- Authentication protocols
- Email security
- Web Security
- Social Issues


## INTRODUCTION

## Some people who cause security problems and why.

| Adversary | Goal |
| :--- | :--- |
| Student | To have fun snooping on people's e-mail |
| Cracker | To test out someone's security system; steal data |
| Sales rep | To claim to represent all of Europe, not just Andorra |
| Businessman | To discover a competitor's strategic marketing plan |
| Ex-employee | To get revenge for being fired |
| Accountant | To embezzle money from a company |
| Stockbroker | To deny a promise made to a customer by e-mail |
| Con man | To steal credit card numbers for sale |
| Spy | To learn an enemy's military or industrial secrets |
| Terrorist | To steal germ warfare secrets |

## Introduction

－Network security problems can be divided roughly into four interwined areas：secrecy，authentication，nonrepudiation，and integrity control．
－Secrecy（保密）：to keep information out of the hands of unauthorized users．
－Authentication（认证）：to determine whom you are talking to before revealing sensitive information or entering into a business deal．（to authenticate people by recognizing their faces，voices，and handwriting）．
－Nonrepudiation（不可否认性）：to deal with signature． （personal signature）．
－Integrity（完整性控制）：How can you be sure that a message you received was really the one sent and not something that a malicious adversary modified in transit or concocted．

## Introduction

- Every layer has something to contribute:
- Physical layer: Wiretapping can be foiled by enclosing transmission lines in sealed tubes containing argon gas at high pressure. (not always work)
- Data link layer: Packets on a point-to-point line can be encoded as they leave one machine and decoded as they enter another. (not routed)
- Network layer: Firewalls can be installed to keep packets in or keep packets out.
- Transport layer: Entire connections can be encrypted, end to end, that is, process to process.
- Application layer: Issues such as authentication and nonrepudiation can only be solved at the application layer.


## CRYPTOGRAPHY

- Introduction to Cryptography
- Substitution Ciphers
- Transposition Ciphers
- One-Time Pads
- Two Fundamental Cryptographic Principles


## Cryptography: Introduction

The encryption model


## Cryptography: Introduction

- Encryption and decryption:
- Encryption: $\mathrm{E}_{\mathrm{k}}(\mathrm{P})=\mathrm{C}$.
- Decryption: $\mathrm{D}_{\mathrm{k}}(\mathrm{C})=\mathrm{D}_{\mathrm{k}}\left(\mathrm{E}_{\mathrm{k}}(\mathrm{P})\right)=\mathrm{P}$.
- The basic model is a stable and publicly known general method parametrized by a secret and easily changed key. (A suitcase with a secret key).
- From the cryptanalyst's point of view, the cryptanalysis problem has three principal variations:
- ciphertext only,
- known plaintext, and
- chosen plaintext.
- To achieve security, the cryptographer should be conservative and make sure that the system is unbreakable even if his opponent can encrypt arbitrary amounts of chosen plaintext.


## Cryptography: Substitution Ciphers

- In a substitution cipher, each letter or group of letters is replaced by another letter or group of letters to disguise it.
- The Caesar cipher (Julius Caesar):
abcdefghijklmnopqrstuvex y z
D E F G H I J K L M N O P Q R S T U V W X Y Z A B C
attack (plaintext) $\rightarrow$ DWWDFN (ciphertext)
- A slight generalization of the Caesar cipher allows the ciphertext alphabet to be shifted by k letters, instead of always $3 . \rightarrow \mathrm{k}$ becomes a key to the general method of circularly shifted alphabets.


## Cryptography: Substitution Ciphers

- Monoalphabetic substitution: The next improvement is to have each of the symbols in the plaintext map onto some other letter, with the key being the 26-letter string corresponding to the full alphabet.
abcdefghijklmnopqrstuvexyz
Q W E R T Y U I OPASDFGHJKLZXCVBNM
attack (plaintext) $\rightarrow$ QZZQEA (ciphertext)
- The key combination: $26!=4^{*} 10^{26}$. Even at 1 nsec per solution, a computer would take $10 \wedge 13$ years to try all the keys.


## Cryptography: Substitution Ciphers

- Given a surprisingly small amount of ciphertext, the cipher can be broken easily.
- To use statistical properties of natural languages:
- The most common letters: e, $\mathrm{t}, \mathrm{o}, \mathrm{a}, \mathrm{n}, \mathrm{i}$, etc.
- The most common two letter combinations (digrams): th, in , er, re, an, etc.
- The most common three letter combinations (trigrams): the, ing, and, ion, etc.
- To guess a probable word or phase: the word financial from an accounting firm.


## Cryptography: Substitution Ciphers

- An example


| 41 | 46 | 51 | 56 | 61 | 66 | 71 | 76 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\begin{aligned} & \text { Q J S G S } \\ & = \end{aligned}$ | T J Q Z Z | M N Q J S | V L N S X | V S Z J U | $\begin{gathered} \text { J D S T S } \\ - \end{gathered}$ | JQU U S | J U B X J |
| 81 | 86 | 91 | 96 | 101 | 106 | 111 |  |
| D S K S U | J S N T K | B G A Q J | Z B G Y Q | T L C T Z | B N Y B N | Q J S W |  |

## Cryptography: Transposition Ciphers

- Transposition ciphers reorder the letters but do not disguise them. (Substitution ciphers preserve the order of the letter but disguise them.)
- A transposition cipher. (See the next slide)
- The cipher is keyed by a word or phase not containing any repeated letters. The purpose of the key is to number the columns, column 1 being under the key letter closest to the start of the alphabet, and so on. (MEGABUCK)
- The plaintext is written horizontally, in rows.
- The ciphertext is read out by columns, starting with the column whose key letter is the lowest.


## Cryptography: Transposition Ciphers



## Cryptography: Transposition Ciphers

- To break a transposition cipher,
- The cryptanalyst must first be aware that he is dealing with a transposition cipher. By looking at the frequency of $\mathrm{E}, \mathrm{T}, \mathrm{A}, \mathrm{O}, \mathrm{I}, \mathrm{N}$, etc, it is easy if they fit the normal pattern for plaintext.
- To make a guess at the number of columns. In many cases, a probable word or phase may be guessed at from the context of the message.
- To order the columns


## Cryptography: One-Time Pads

- Constructing an unbreakable cipher is easy; the technique has been known for decades.
- Choose a random bit string as the key.
- Convert the plaintext into a bit string.
- Compute the EXCLUSIVE OR of these two strings, bit by bit.
- The resulting ciphertext cannot be broken, because every possible plaintext is an equally probable candidate. The ciphertext gives the cryptanalyst no information at all.


## Cryptography: One-Time Pads

The use of a one-time pad for encryption and the possibility of getting any possible plaintext from the ciphertext by the use of some other pad.

```
Message 1: 1001001 0100000 1101100 1101111 1110110 1100101 0100000 1111001 1101111 1110101 0101110
Pad 1: }\quad1010010100101111100101010101101001011000110001011010101010101111100110 010101
Ciphertext: 0011011 1101011 00111100111010010010000001100101011 1010011 011100000100110000101
Pad 2: }10111100000111110100010100111010111 010011010001110111010 10011101110110 1110110
Plaintext 2: 1000101 1101100 1110110 1101001 1110011 0100000 1101100 1101001 11101101100101 1110011
```


## Cryptography: One-Time Pads

- The one-time pad has a number of practical disadvantages:
- The key cannot be memorized, so both sender and receiver must carry a written copy with them.
- The total amount of data that can be transmitted is limited by the amount of key available.
- The method is sensitive to lost or inserted characters.


## Cryptography: Quantum Cryptography

Bit
number $\begin{array}{lllllllllllllllll}0 & 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 10 & 11 & 12 & 13 & 14 & 15\end{array}$
Data
(a)

(b)


Bob's bases
(c)


What
c)
d)

| No | Yes | No | Yes | No | No | No | Yes | Yes | No | Yes | Yes | Yes | No | Yes | No |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | Correct

basis?
(e) $\square$ Onetime pad
(f)


Trudy's
(g)

| $x$ | 0 | $x$ | 1 | $x$ | $x$ | $x$ | $?$ | 1 | $x$ | $?$ | $?$ | 0 | $x$ | $?$ | $x$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | Trudy's

pad

## Cryptography: Two principles

- All encrypted messages must contain some redundancy, that is, information not needed to understand the message. All messages must contain considerable redundancy so that active intruders cannot send random junk and have it be interpreted as a valid message.
- Some measures must be taken to prevent active intruders from playing back old messages.


## SYMMETRIC-KEY ALGORITHMS

- DES - The Data Encryption Standard
- AES - The Advanced Encryption Standard
- Cipher Modes
- Other Ciphers
- Cryptanalysis


## Symmetric-Key Algorithms: Introduction

Basic elements of product ciphers. (a) P-box. (b) S-box. (c) Product.


## Symmetric-Key Algorithms: DES

The data encryption standard. (1977)
(a) General outline.
(b) Detail of one
 exclusive OR.
The circled + means

(b)

## Symmetric-Key Algorithms: DES

- Function f
- To expand the 32-bit R(i-1) according to a fixed transposition and duplication rule $\rightarrow 48$ bit number E
- To XOR E and $\mathrm{K}_{\mathrm{i}}$
- To partition the XORed result into 8 groups of 6 bits each, each of which is fed into a different S-box. Each of the 64 possible inputs to an S-box is mapped onto a 4-bit output.
- Finally, these 8x4bits are passed through a P-box


## Symmetric-Key Algorithms: DES

- In each of the 16 iterations, a different key $\mathrm{K}_{\mathrm{i}}$ is used
- A 56-bit transposition is applied to the key.
- The key is partitioned into two 28-bit units, each of which is rotated left by a number of bits dependent on the iteration number i.
- By applying yet another 56-bit transposition to the rotated key, $\rightarrow \mathrm{K}_{\mathrm{i}}$
- A different 48-bit subset of the 56 bits is extracted and permuted on each round.


## Symmetric-Key Algorithms: DES

- In 1972, NIST's design requirements (National Institute of Standards and Technology)
- In 1974, IBM submitted the Lucifer algorithm (later called as DES)
- 1976 -1997, DES was used by the NIST
- Problems with DES
- 128 bit key $\rightarrow 56$ bit key (NSA), Possible backdoor (NSA), Nondisclosure of design
- Breaking DES
- In 1998, 3 days
- In 1999, 22 hours and 15 minutes (PC networks)
- 3.5 hours with the dedicated cracker.


## Symmetric-Key Algorithms: DES

(a) Triple encryption using DES. (b) Decryption.

(a)

(b)

## Symmetric-Key Algorithms: DES

- Why only 2 keys?
- Even the most paranoid cryptographers believe that 112 bits is adequate for routine commercial applications for the time being.
- Why EDE?
- Backward compatibility with existing single-key DES systems.


## Symmetric-Key Algorithms: AES

Rules for AES (Advanced Encryption Standard) proposals (In 1997)
1.The algorithm must be a symmetric block cipher.
2.The full design must be public.
3. Key lengths of 128, 192, and 256 bits supported.
4.Both software and hardware implementations required.
5.The algorithm must be public or licensed on nondiscriminatory terms.

## Symmetric-Key Algorithms: AES

- In 1997, 15 serious proposals.
- In August 1998, 5 finalists.
- Rijndael (from Joan Daemen and Vincent Rijmen, 86 votes).
- Serpent (from Ross Anderson et al, 59 votes).
- Twofish (Bruce Schneier, 31 votes).
- RC6 (RSA Laboratories, 23 votes).
- MARS (from IBM, 13 votes).
- In October 2000, Rijndael.
- In November2001, Rijndael became a U.S. government standard published as FIPS 197.


## Symmetric－Key Algorithms：AES

－Rijndael supports key lengths and block sizes from 128bits to 256 bits in steps of 32 bits．
－Rijndael is based on Galois（伽罗瓦）field theory
－Rijndael uses substitution and permutations and it also uses multiple rounds．
－void rijndael（
byte plaintext［LENGTH］，
byte ciphertext［LENGTH］，
byte key［LENGTH］）

## Symmetric-Key Algorithms: AES

## An outline of Rijndael.

```
#define LENGTH 16
#define NROWS 4
#define NCOLS 4
#define ROUNDS 10
typedef unsigned char byte;
    /* # bytes in data block or key */
    /* number of rows in state */
    /* number of columns in state */
    /* number of iterations */
    /* unsigned 8-bit integer */
rijndael(byte plaintext[LENGTH], byte ciphertext[LENGTH], byte key[LENGTH])
{
    int r; /* loop index */
    byte state[NROWS][NCOLS]; /* current state */
    struct {byte k[NROWS][NCOLS];} rk[ROUNDS + 1]; /* round keys */
    expand_key(key, rk);
    copy_plaintext_to_state(state, plaintext); /* init current state */
    xor_roundkey_into_state(state, rk[0]); /* XOR key into state */
    for (r=1; r <= ROUNDS; r++) {
        substitute(state);
    /* apply S-box to each byte */
        rotate_rows(state); /* rotate row i by i bytes */
        if (r < ROUNDS) mix_columns(state); /* mix function */
        xor_roundkey_into_state(state, rk[r]); /* XOR key into state */
    }
    copy_state_to_ciphertext(ciphertext, state); /* return result */
}
```


## Symmetric-Key Algorithms: AES

Creating of the state and rk arrays.


## Symmetric-Key Algorithms: Cipher Modes

- Electronic code book mode
- Cipher block chaining mode
- Cipher feedback mode
- Stream cipher mode
- Counter mode


## Symmetric-Key Algorithms: Cipher Modes

- Electronic Code Book Mode
- The plaintext of a file encrypted as 16 DES blocks.



## Symmetric-Key Algorithms: Cipher Modes

## Cipher block chaining. (a) Encryption. (b) Decryption.



## Symmetric-Key Algorithms: Cipher Modes

Cipher Feedback Mode (a) Encryption. (c) Decryption.

(a)

(b)

## Symmetric-Key Algorithms: Cipher Modes

A stream cipher. (a) Encryption. (b) Decryption.

(a)

(b)

## Symmetric-Key Algorithms: Cipher Modes

Encryption using counter mode.



## Symmetric-Key Algorithms: Other Cipher

## Some common symmetric-key cryptographic algorithms.

| Cipher | Author | Key length | Comments |
| :--- | :--- | ---: | :--- |
| DES | IBM | 56 bits | Too weak to use now |
| RC4 | Ronald Rivest | $1-2048$ bits | Caution: some keys are weak |
| RC5 | Ronald Rivest | $128-256$ bits | Good, but patented |
| AES (Rijndael) | Daemen and Rijmen | $128-256$ bits | Best choice |
| Serpent | Anderson, Biham, Knudsen | $128-256$ bits | Very strong |
| Triple DES | IBM | 168 bits | Good, but getting old |
| Twofish | Bruce Schneier | $128-256$ bits | Very strong; widely used |

## PUBLIC-KEY ALGORITHMS

- RSA
- Other Public-Key Algorithms


## Public-Key Algorithms: Introduction

- The key distribution has always been the weak link in the most cryptosystems.
- In 1976, two researchers at Stanford University, Diffie and Hellman, proposed a radically new kind of cryptosystem, one in which the encryption and decryption keys were different, and the decryption key could not be derived form the encryption key.
- Three requirements for this cryptosystem:
$-\mathrm{D}(\mathrm{E}(\mathrm{P}))=\mathrm{P}$
- It is exceedingly difficult to deduce D from E .
- E cannot be broken by a chosen plaintext attack.


## Public-Key Algorithms: Introduction

- The method works like this:
- A person, say, Alice, wanting to receive secret messages, first devises two algorithms, $\mathrm{E}_{\mathrm{A}}$ and $\mathrm{D}_{\mathrm{A}}$, meeting the above requirements.
- The encryption algorithm and key, $\mathrm{E}_{\mathrm{A}}$, is them made public, hence the name public-key cryptography ( to contrast it with traditional secret-key cryptography).
- Alice publishes the decryption algorithm (to get the free consulting), but keeps the decryption key secret. Thus, $E_{A}$ is public, but $D_{A}$ is private.


## Public-Key Algorithms: Introduction

- How Alice and Bob establish a secure channel?
- Both Alice's encryption key, $\mathrm{E}_{\mathrm{A}}$, and Bob's encryption key, $\mathrm{E}_{\mathrm{B}}$, are assumed to be in a publicly readable file.
- Now Alice takes her first message, $P$, computes $E_{B}(P)$, and sends it to Bob.
- Bob then decrypts it by applying his secret key DB [i.e. $\mathrm{D}_{\mathrm{B}}\left(\mathrm{E}_{\mathrm{B}}(\mathrm{P})\right.$ ) $=\mathrm{P}]$.
- No one else can read the encrypted message $\mathrm{E}_{\mathrm{B}}(\mathrm{P})$, because the encryption system is assumed strong and because it is too difficult to derive $\mathrm{D}_{\mathrm{B}}$ from the publicly known $\mathrm{E}_{\mathrm{B}}$.
- Public-key cryptography requires two keys:
- a public key, used by the entire world for encrypting messages to be sent to that user, and
- a private key, which the user needs for decrypting messages


## Public-Key Algorithms: RSA

- The RSA algorithm
- Choose two large primes, $p$ and $q$ (typically 1024 bits)
- Compute $n=p^{*} q$ and $z=(p-1)^{*}(q-1)$
- Choose a number relatively prime to $z$ and call it $d$.
- Find e such that $e^{*} d=1 \bmod z$
- To encrypt a message $C=p^{e}(\bmod n)$
- To decrypt a message $P=C^{d}(\bmod n)$
- Factoring large numbers is very difficult.


## Public-Key Algorithms: RSA

An example of the RSA algorithm.

$$
\begin{gathered}
P=3, q=11, n=33, z=20 \\
D=7, e=3 .
\end{gathered}
$$

| Plaintext (P) |  | Ciphertext (C) |  |  | After decryption |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Symbolic | Numeric | P3 | $\mathrm{P}^{3}(\bmod 33)$ | $\mathrm{C}^{7}$ | $\mathrm{C}^{7}(\bmod 33)$ | Symbolic |
| S | 19 | 6859 | 28 | 13492928512 | 19 | S |
| U | 21 | 9261 | 21 | 1801088541 | 21 | U |
| Z | 26 | 17576 | 20 | 1280000000 | 26 | Z |
| A | 01 | 1 | 1 | 1 | 01 | A |
| N | 14 | 2744 | 5 | 78125 | 14 | N |
| N | 14 | 2744 | 5 | 78125 | 14 | N |
| E | 05 | 125 | 26 | 8031810176 | 05 | E |
|  | Sender' | computa |  | Receiver | tation |  |

## Public-Key Algorithms: Other Algorithms

- Knapsack
- Someone owns a large number of objects, each with different weight. The owner encodes the message by secretly selecting a subset of the objects and placing them in the knapsack.
- The total weight of the objects in the knapsack if made public, as is the list of all possible objects.
- The list of objects in the knapsack is kept secret.
- With certain additional restrictions, the problem of figuring out a possible list of objects with the given weight was thought to computational infeasible and the formed the basis of the public-key algorithm.


## Public-Key Algorithms: Other Algorithms

- Knapsack (Ralph Merkle)
- \$100 reward $\rightarrow$ Adi Shamir (the " S " in RSA)
- \$1000 reward for the new strengthened algorithm $\rightarrow$ Ronald Rivest (the "R" in RSA)
- \$10000 reward ? ( poor Leonard Adleman)


## DIGITAL SIGNATURES

- Symmetric-Key Signatures
- Public-Key Signatures
- Message Digests
- The Birthday Attack


## Digital Signatures

- Three conditions for digital signatures
- The sender cannot later repudiate the contents of the message.
- The receiver can verify the claimed identity of the sender.
- The receiver cannot possibly have concocted the message himself.


## Digital Signatures: Symmetric-key signatures

- BB (Big Brother) is the central authority that knows everything and whom everyone trusts.
- Each user chooses a secret key and carries it by hand to BB's office. Thus , only Alice and BB know Alice's secret key, K_A and, so on.
- Alice sends a signed plaintext message P to Bob.
- Alice $\rightarrow \mathrm{K}_{\mathrm{a}}\left(\mathrm{B}, \mathrm{R}_{\mathrm{a}}, \mathrm{t}, \mathrm{P}\right) \rightarrow \mathrm{BB}$
$-\mathrm{BB} \rightarrow \mathrm{K}_{\mathrm{b}}\left(\mathrm{A}, \mathrm{R}_{\mathrm{a}}, \mathrm{t}, \mathrm{P}, \mathrm{K}_{\mathrm{BB}}(\mathrm{A}, \mathrm{t}, \mathrm{P})\right) \rightarrow \mathrm{Bob}$



## Digital Signatures: Symmetric-key signatures

- What happens if Alice later denies sending the message?
-BB will not accept a message from Alice unless it is encrypted. So there is no possibility of Trudy sending BB a false message from Alice without BB detecting it immediately.
$-K_{B B}(A, t, P)$
- Trudy replaying either message.
- Use t to reject very old messages.
- Use Ra to check all recent messages.


## Digital Signatures: Public key signatures

## Digital signatures using public-key cryptography



## Digital Signatures: Public key signatures

- Suppose that Alice later denies having sent the message P to Bob
- When the case comes up in court, Bob can produce both P and $\mathrm{Da}(\mathrm{P})$
- The judge can verify that Bob has a valid message encrypted by Da by simply applying Ea to it.
- How about?
- if Alice discloses her secret key?
- if Alice modifies her secret key?


## Digital Signatures: Message Digests

- MD (message digest) has 4 important properties
- Given $P$, it is easy to compute $M D(P)$.
- Given $M D(P)$, it is effectively impossible to find $P$.
- Given $P$ no one can find $P^{\prime}$ such that

$$
M D\left(P^{\prime}\right)=M D(P)
$$

- A change to the input of even 1 bit produces a very different output.


## Digital Signatures: Message Digests



Digital signatures using message digests

## Digital Signatures: SHA-1



Use of SHA-1 and RSA for signing nonsecret messages.

## Digital Signatures: SHA-1

- SHA-1 (RFC3174)
- To pad the message by adding a 1 bit to the end, followed by as many 0 bits as needed to make the length a multiple of 512 bits.
- To OR the lower-order 64-bits with the a 64 bit number containing the original message length
- Each of the blocks Mi is now process in turn.
- Copy 16 words to the W[0..15]
- Compute the rest W[16..79]
- Use A through E to initialize $\mathrm{H}_{0}$ to $\mathrm{H}_{4}$
- To do 80 times of a loop (too much to list)
- The result is in $\mathrm{H}_{0}$ though $\mathrm{H}_{4}$


## Digital Signatures: SHA-1

- (a) A message padded out to a multiple of 512 bits.
- (b) The output variables.
- (c) The word array.



## Digital Signatures: The Birthday Attack

- Only $2^{\wedge}(\mathrm{m} / 2)$ operations will be needed to subvert an mbit message digest using the birthday attack.
- One university has one tenure position. Tom and Dick are the two candidates. Tom was hired two years before Dick.
- Tom asks Marilyn to write him a letter of recommendation to the Dean. Marilyn tells her secretary, Ellen, to write the Dean a letter, outlining the what she wants in it. When it is ready, Marilyn will review it, and compute and sign the 64-bit digest and send it to the Dean. Ellen can send the letter later by e-mail.


## Digital Signatures: The Birthday Attack

- Ellen is romantically involved with Dick. So she writes the letter below with 32 bracket options.
- Letter A: ... Prof. Wilson is an [outstanding|excellent] researcher of great [talent|ability] ...
- Letter B: ...Prof. Wilson is an [poor|weak] researcher of not well known in his [field|area] ...
- The two letters can have the same digest.
- Marilyn approve the letter A. However Ellen sends the letter B
- Ending:
- Tom was unlucky.
- Or other ?


## MANAGEMENT OF PUBLIC KEYS

- Certificates
- X. 509
- Public Key Infrastructures


## Management of Public Keys



A way for Trudy to subvert public-key encryption.

## Management of Public Keys: Certificates

- CA: an organization to certify public keys
- Suppose Bob wants to allow Alice and other people to communicate with him securely.
- He can go to the CA with his public key along with his passport or driver's license and ask to be certified.
- The CA issues a certificate and signs its SHA-1 hash with the CA private key.
- Bob gets a floppy disk containing the certificate and its signed hash (and pays the CA’s fee)


## Management of Public Keys: Certificates

## A possible certificate and its signed hash.

```
I hereby certify that the public key
    19836A8B03030CF83737E3837837FC3s87092827262643FFA82710382828282A
belongs to
    Robert John Smith
    12345 University Avenue
    Berkeley, CA 94702
    Birthday: July 4, 1958
    Email: bob@ superdupernet.com
```

    SHA-1 hash of the above certificate signed with the CA's private key
    
## Management of Public Keys: X. 509

- X. 509 is the standard for managing the certificates
- X. 509 describes the certificate fields.
(See the next slide )
- ASN. 1 (Abstract Syntax Notation 1) is used to encode the certificates


## Management of Public Keys: X. 509

| Field | Meaning |
| :--- | :--- |
| Version | Which version of X.509 |
| Serial number | This number plus the CA's name uniquely identifies the certificate |
| Signature algorithm | The algorithm used to sign the certificate |
| Issuer | X.500 name of the CA |
| Validity period | The starting and ending times of the validity period |
| Subject name | The entity whose key is being certified |
| Public key | The subject's public key and the ID of the algorithm using it |
| Issuer ID | An optional ID uniquely identifying the certificate's issuer |
| Subject ID | An optional ID uniquely identifying the certificate's subject |
| Extensions | Many extensions have been defined |
| Signature | The certificate's signature (signed by the CA's private key) |

## The basic fields of an X. 509 certificate

## Management of Public Keys: PKI

(a) A hierarchical PKI. (b) A chain of certificates.


## Management of Public Keys: PKI

- Where certificates are stored?
- To have each user store his own certificates.
- DNS
- LDAP
- How to revoke certificates?
- To have each CA periodically issue a CRL (Certificate Revocation List) giving the serial numbers of all certificates that it has revoked.
- How to store CRL?


## COMMUNICATION SECURITY

- IPsec
- Firewalls
- Virtual Private Networks
- Wireless Security


## Communication Security: IPsec

- IETF has known for years that security was lacking for the Internet.
- Where to add it?
- Application layer?
- Total solution.
- Rewrite many many applications.
- Transport layer?
- Help security-unaware users to some extent.
- No need to rewrite so many applications.
- $\rightarrow$ IPsec (IP Security)


## Communication Security: IPsec

- The complete IPsec design is a framework for multiple services, algorithms and granularities
- Multiple services: Not everyone wants to pay the price for having all the services all the time, so the services are available a la carte. The major services are secrecy, data integrity, protection from replay attack.
- Multiple algorithms: The framework can survive even if some particular algorithm is later broken.
- Multiple granularities: to make it possible to protect a single TCP connection, all traffic between a pair of hosts, or all traffic between a pair of secure routers, among other possibilities.


## Communication Security: IPsec

- IPsec is connection oriented.
- A "connection" in the context of IPsec is called an SA (security association).
- An SA is a simplex connection.
- An SA has an identifier associated with it.
- Security identifiers are carried in packets traveling on secure connections and are used to look up keys and other relevant information when a secure packet arrives.
- IPsec has two parts.
- To establish keys (ISAKMP, Internet Security Association and Key Management protocol)
- To describe two new headers: AH and ESP.


## Communication Security: IPsec

- IPsec can be used in two modes:
- In transport mode: The IPsec header is inserted just after the IP header. The protocol field in the IP header is changed to indicate that an IPsec header follows the normal IP header (before the TCP header). The IPsec header contains security information, primary the SA identifier, a new sequence number, and possible an integrity check of the payload.
- In tunnel mode: the entire IP packet, header and all, is encapsulated in the body of a new IP packet with a completely new IP header.


## Communication Security: Ipsec: AH

The IPsec authentication header in transport mode for IPv4.


## Communication Security: Ipsec: AH

- Authentication Header
- Next header: to sore the previous value that the IP Protocol field had before it was replaced with 51 to indicated that an AH header follows.
- Payload length: the number of 32-bit words in the AH header minus 2.
- Security parameter index or SA: the connection identifier.
- Sequence number: to number all the packets sent on an SA.
- Authentication header: to contain the payload's digital signature.
- Useful when integrity checking is needed but not secrecy is not needed.


## Communication Security: Ipsec: ESP

(a) ESP in transport mode.
(b) ESP in tunnel mode.


ESP: ESP header, ESP Payload, ESP trailer, ESP Authentication.

## Communication Security: Ipsec: AH or ESP?

- Originally, AH handled only integrity and ESP handled only secrecy. Now, ESP has added integrity and thus can do everything.
- A product supporting AH but not ESP might have less trouble getting an export license because it cannot do encryption.
- $\rightarrow$ AH is likely to be phased out in the future.


## Communication Security: Firewalls

- The ability to connect any computer, anywhere to any other computer, anywhere is a mixed blessing.
- For individuals at home, wandering around the Internet is lots of fun.
- For corporate security managers, it can be a nightmare
- Let some information leaking out.
- Let some information leaking in.
- IPsec is good for protecting data in transit. Something is required for disallowing some data transfer.


## Communication Security: Firewalls

## A firewall protecting an internet network



## Communication Security: Firewalls

- The packet filter on the inside
- Check the outgoing packets.
- The application gateway
- For further examination of packets.
- The packet filter on the outside:
- Check the incoming packets.


## Communication Security: Firewalls

- Packet filter routers are typically driven by tables configured by the system administrator
- To list source and destinations that are acceptable.
- To list source and destinations that are blocked.
- Default rules about what to do with packets coming from or going to other machines.
- Application gateways (or proxy routers)
- Header fields,
- Message size,
- Content (nuclear, bomb, terror).


## Communication Security: Firewalls

- Even if the firewall is perfectly configured, plenty of security problems still exist.
- An intruder outside the firewall can put in false source addresses to bypass this check .
- An insider can encrypt or even photograph documents and then ship them.
- DoS (Denial of Service): an intruder can send so many TCP SYN packets that the server will send SYN+ACK packets and wait for the respond.
- DDoS (Distributed Denial of Service)
- The intruder bring down many computers.
- And command all of them to attack the same target.


## Communication Security: VPN

a) A virtual private network.
b) Topology as seen from the inside


## Communication Security: VPN

- VPN
- Frame relay, ATM, Internet.
- Firewall + IPsec
- To equip each office with a firewall and create tunnels through the Internet between all pairs offices.
- To use IPsec for the tunnelling


## Communication Security: Wireless Security

- 802.11 Security:
- WEP (Wired Equivalent Privacy): data link level security protocol
- Bluetooth Security


## Communication Security: Wireless Security

- Breaking the WEP is easy!
- Statements from IEEE
- We told you that WEB security was no better than Ehternet's
- A much bigger thread is forgetting to enable security at all.
- Try using some other security (e.g. transport layer security)
- The next version, 802.11i, will have better security.
- Future certification will mandate the use of 802.11i.
- We will try to figure out what to do until 802.11i arrives.


## Communication Security: Wireless Security

- Bluetooth security
- Three security modes, ranging from nothing at all to full data encryption and integrity control.
- No security: locking the barn door after the horse has escaped.
- Physical layer: frequency hopping.
- Passykeys:
- Checking for the passkey.
- Selecting a random 128 bit session key for encryption.
- Encryption use a stream cipher called E0; integrity control uses SAFER + .


## AUTHENTICATION PROTOCOLS

- Authentication Based on a Shared Secret Key
- Establishing a Shared Key: Diffie-Hellman
- Authentication Using a Key Distribution Center
- Authentication Using Kerberos
- Authentication Using Public-Key Cryptography


## Authentication Protocols: Shared Secret Key

Two-way authentication using a challengeresponse protocol. (Incorrect)


## Authentication Protocols: Shred Secret Key

A shortened two-way authentication protocol. (Incorrect)


## Authentication Protocols: Shared Secret Key

The reflection attack.


## Authentication Protocols: Shared Secret Key

Two-way authentication using a challengeresponse protocol.


## Authentication Protocols: Shared Secret Key

- Designing a correct authentication protocol is harder than it looks.
- Four general useful rules
- Having the initiator prove what he is before the responder has to.
- Have the initiator and responder use different keys for proof, even if this means having two shared keys.
- Have the initiator and responder draw their challenges from different sets.
- Make the protocol resistant to attacks involving a second parallel session in which information obtained in one session is used in a different one.


## Authentication Protocols: Shared Secret Key

Authentication using HMACs. (CORRECT)
(Hashed Message Authentication Code)


## Authentication Protocols: Establishing a shared key

The Diffie-Hellman key exchange. (Has problems)

- Two large numbers: $n$ and $g$
$-n$ and ( $n-1$ )/2 are prime.
- Certain conditions for $g$.



## Authentication Protocols: Establishing a shared key

The bucket brigade or man-in-the-middle attack.


## Authentication Protocols: KDC

A first attempt at an authentication protocol using a KDC. (has problems)


## Authentication Protocols: KDC

- Replay attack for the first attempt at an authentication protocol using a KDC:
- Trudy has done some work for Alice.
- Alice ask Bob to pay it by bank transfer.
- Trudy records the messages.
- Trudy replays them again and again.
- Bob gives Trudy a big loan to expand his "business".


## Authentication Protocols: KDC

The Needham-Schroeder authentication protocol.
(has problems)


## Authentication Protocols: KDC

- The problem for the Needham-Schroeder authentication protocol
- If Trudy ever managers to obtain an old session key in plaintext,
- she can initiate a new session with Bob by replaying the message 3 corresponding to the compromised key and convince him that she is Alice.
- This time she can plunder Alice's bank account without having to perform the legitimate service even once.


## Authentication Protocols: KDC

The Otway-Rees authentication protocol (slightly simplified and Correct).


## Authentication Protocols: Kerberos

- AS (Authentication Server): verifies users during login. Similar to KDC
- TGS (Ticket-Granting Server): Issues "proof of identity tickets"
- Bob the server: actually does the work Alice wants performed.


## Authentication Protocols: Kerberos

The operation of Kerberos V5.


## Authentication Protocols: Public-key

## Mutual authentication

using public-key cryptography.


## E-Mail Security

- PGP - Pretty Good Privacy
- PEM - Privacy Enhanced Mail
- S/MIME


## E-Mail Security: PGP

- PGP is the brainchild of one person, Phil Zimmermann.
- PGP is a complete e-mail package that provides privacy, authentication, digital signatures, and compression, all in an easy-to-use form. Released in 1991.
- PGP
- Encryption is done by IDEA (International Data Encryption Algorithm)
- Key management is done by RSA
- Data integrity uses MD5.


## E-Mail Security: PGP

## PGP in operation for sending a message

$\mathrm{K}_{\mathrm{M}}$ : One-time message key for IDEA
Bob's public
Concatenation


## E-Mail Security: PGP

## A PGP message



## E-Mail Security: PEM and S/MIME

- PEM (Privacy Enhanced Email), developed in the late 1980s, is an official Internet standard and described in 4 RFCs: RFC 1421 through RFC 1423.
- PEM has long-since gone to that big bit bin in the sky.
- Why? Key management problem
- S/MIME (Secure/MIME) is described in RFCs 2632 though 2643.


## Web Security

- Threats
- Secure Naming
- SSL - The Secure Sockets Layer
- Mobile Code Security


## Web Security：Security

－Hacker（电脑高手，电脑黑客）vs cracker（解密高手）
－In 1999，a Swedish cracker broke into Microsoft’s Hotmail Web site．
－A 19－year－old Russian cracker named Maxim broke into an e－commerce Web site and stole 300，000 credit card numbers．
－A 23－year－old California student emailed a press release to a news agency falsely stating that the Emulex
Corporation was going to post a large quarterly loss and that the C．E．O．was resigning immediately．

## Web Security: Secure Naming



1. Give me Bob's IP address
2. 36.1.2.3 (Bob's IP address)
3. GET index.html
4. Bob's home page
(a)

5. Give me Bob's IP address
6. 42.9.9.9 (Trudy's IP address)
7. GET index.html
8. Trudy's fake of Bob's home page
(b)

## Web Security: Secure Naming



1. Look up foobar.trudy-the-intruder.com (to force it into the ISP's cache)
2. Look up www.trudy-the-intruder.com (to get the ISP's next sequence number)
3. Request for www.trudy-the-intruder.com (Carrying the ISP's next sequence number, n)
4. Quick like a bunny, look up bob.com (to force the ISP to query the com server in step 5)
5. Legitimate query for bob.com with $\mathrm{seq}=\mathrm{n}+1$
6. Trudy's forged answer: Bob is 42.9.9.9, seq $=n+1$
7. Real answer (rejected, too late)

## Web Security: Secure DNS

- DNSsec is based on public-key cryptography. Every DNS zone has a public/private key pair. All information sent by a DNS server is signed with the originating zone's private key, so the receiver can verify its authenticity.
- DNSsec offers three fundamental services:
- Proof where the data originated
- Public key distribution
- Transaction and request authentication.


## Web Security: Secure DNS

- DNS records are grouped into sets called RRSets
(Resource Record Sets)
- An RRSet may contain multiple records.
- Each RRSet is crptographically hased and the hash is signed by the zon'es private key (e.g. using RSA)
- Upon receipt of a signed RRSet, the client can verify whether it was signed by the private key of the originating zone.


## Web Security: Secure DNS

An example RRSet for bob.com. The $K E Y$ record is Bob's public key. The SIG record is the top-level com server's signed has of the $A$ and $K E Y$ records to verify their authenticity.

| Domain name | Time to live | Class | Type | Value |
| :--- | :--- | :--- | :--- | :--- |
| bob.com. | 86400 | IN | A | 36.1.2.3 |
| bob.com. | 86400 | IN | KEY | 3682793A7B73F731029CE2737D... |
| bob.com. | 86400 | IN | SIG | 86947503A8B848F5272E53930C... |

## Web Security: Self-Certifying Names

A self-certifying URL containing a hash of server's name and public key.


## Web Security: SSL

Layers (and protocols) for a home user browsing with SSL. HTTPS (Secure HTTP) is the HTTP used over SSL.

| Application (HTTP) |
| :---: |
| Security (SSL) |
| Transport (TCP) |
| Network (IP) |
| Data link (PPP) |
| Physical (modem, ADSL, cable TV) |

## Web Security: SSL

## A simplified version of the SSL connection establishment subprotocol.



## Web Security: SSL

Data transmission using SSL.


## Web Security: Mobile Code Security

Applets inserted into a Java Virtual Machine interpreter inside the browser.


## Social Issues

- Privacy
- Freedom of Speech
- Copyright


## Social Issues: Privacy

## Users who wish anonymity chain requests through multiple anonymous remailers.



## Social Issues: Freedom of Speech

- Possibly banned material:
1.Material inappropriate for children or teenagers.
2.Hate aimed at various ethnic, religious, sexual, or other groups.
3.Information about democracy and democratic values.

4. Accounts of historical events contradicting the government's version.
5.Manuals for picking locks, building weapons, encrypting messages, etc.

## Social Issues: Freedom of Speech

(a) Three zebras and a tree.
(b) Three zebras, a tree, and the complete text of five plays by William Shakespeare.


## Social Issues: Copyright

- Copyright or Copyleft?

